

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Summarize the objectives and outcomes identified in the Plan

The Utah Housing and Community Development Division (HCD) has completed this 2017 Annual Action Plan as required by the U.S. Department of Housing and Urban Development (HUD). This plan and its accompanying priorities and goals are based on the quantitative data produced by HUD and reviewed by HCD Staff. This plan is an update to the five year Consolidated Plan completed for the years 2015-2020 and approved by HUD in 2015. Within are the policies and goals of Utah's HOME, CDBG, ESG and HOPWA Programs. HCD coordinated the creation of this document with Utah's seven regional Associations of Governments (AOGs) which are: Bear River AOG, Five County AOG, Mountainland AOG, Six County AOG, Southeastern Utah AOG, Uintah Basin AOG, and the Wasatch Front Regional Council.

2. Evaluation of past performance

The programs within HCD are continually evolving and improving. The focus of efforts has remained consistent for the last few years, and while yearly outcomes vary year by year, outputs are fairly consistent in relation to funding. The State of Utah HOME program has consistently assisted in the construction of about 650 units of affordable multi-family units, and 150 units of affordable single family units. The HOME program has improved its leveraging and the prospects of the program are steadily improving. The CDBG program has seen its outputs decrease as Utah and Davis Counties have become entitlement jurisdictions resulting in the loss of funds for the state program. Nevertheless the CDBG program continues to emphasize public infrastructure and housing programs. The CDBG program plays a critical role in promoting the continued sustainability of rural Utah communities. Meanwhile the ESG program is making great strides in accomplishing its goal of reducing chronic homelessness. ESG is in year nine of their ten year goal of eliminating chronic homelessness and is focusing its efforts on the rapid rehousing of homeless people in Utah. Moving forward, HCD continues to look at leveraging funds and improving partnerships in order to continue increasing productivity.

3. Summary of Citizen Participation Process and consultation process

HCD proactively solicits public participation in the process of developing its Annual Action Plan. Throughout the program year HCD is in constant communication with local government and private community partners regarding both their needs and HCD's performance. Evaluation of performance is incorporated into the development of subsequent plans. Upon completion of the FY 2017 Annual Action Plan, HCD shared that plan to staff, government partners, social services agency staff and clientele,

elected officials, and the general public. The Annual Action Plan itself is prominently listed on HCD's website and is noticed on the State Public Notice Website.

The advertisement of the completed plan begins a thirty day public comment period. The advertisement indicates where to find the plan, who to contact for comment, as well as when and where the public comment meeting will take place. HCD staff attends the public comment meetings and record and responds to any and all public comment.

Much of the public outreach is conducted at the local level by Utah's seven regional Associations of Governments (AOGs). The AOGs, in cooperation with the state, write and publicize their own plans and efforts for the various counties and cities in Utah. This allows the general public to study, appreciate and comment on plans which are more specific to their communities. As part of their efforts, the AOGs advertise 30-day public comment periods and hold public meetings to gather input on their Annual Action Plans. The AOGs also work with local Public Housing Agencies in creating their plans. After completing this process the AOGs submit their plans to HCD. These regional plans influence the statewide plan.

This year, the 30 day public comment period began on April 1st and extended to May 1st culminating in a public hearing at HCD's main office, 1385 S State Street, Salt Lake City.

4. Summary of public comments

No public comments were received.

5. Summary of comments or views not accepted and the reasons for not accepting them

Not Applicable

6. Summary

The State of Utah Housing and Community Development Division has chosen to focus on providing affordable rental housing, the creation of public facilities and infrastructure in Rural Utah, and the rapid rehousing of homeless individuals and families.

PR-05 Lead & Responsible Agencies - 91.300(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	UTAH	Housing and Community Development Division
HOPWA Administrator	UTAH	Housing and Community Development Division
HOME Administrator	UTAH	Housing and Community Development Division
ESG Administrator	UTAH	Housing and Community Development Division

Table 1 – Responsible Agencies

Narrative

The Utah Division of Housing and Community Development Division houses the four HUD entitlement programs.

Consolidated Plan Public Contact Information

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AP-10 Consultation - 91.110, 91.300(b); 91.315(l)

1. Introduction

The various programs within HCD interact in diverse and unique ways with public and private housing organization, health agencies, service agencies, and the general public. In these interactions the state's relationship with the regional Associations of Governments play a critical role. Utah works closely with the AOGs to gain local public input on the various programs HCD operates. As part of our funding assistance to the AOGs, HCD requires that they consult with private and public service agencies in their regions. The AOGs efforts are catalogued in their Consolidated Plans and Annual Action Plan which we require them to complete on an annual basis.

Recently HCD has made efforts to ensure that all types of service agencies are being consulted as part of the AOGs process of completing their Annual Action Plans. Based off of HUDs online template, HCD has developed a "Consultation Tracking Form". This form asks the AOGs to list each consultation they make with the various organizations in their service area. The agencies, groups, and organizations that the AOGs consult include housing, disability, health, financial, employment, elderly, child welfare, planning, education, victims of domestic violence, civic leaders, neighborhood organizations and other nonprofit service agencies. In consulting with these organizations we ask the AOGs to indicate which part of their annual action plan was addressed, and what was the intended result and actual result of the consultation. The AOGs have strong relationships with the communities and service organizations in their various regions and are constantly in contact with them regarding their needs and priorities.

The ESG program, due to the manner in which it operates, has an especially extensive network of service providers with which it coordinates and administers its program. Homeless efforts in Utah are overseen by the State Homeless Coordinating Committee. The main funding sources for this committee are comprised of the state ESG funds, Pamela Atkinson Trust funds, Utah HOPWA funds, and Critical Needs funds. These funds are then divided among nine Community Action Agencies. These agencies are scattered throughout the state and focus on homelessness in their geographic region.

These agencies are each governed by a tri-partite board. This tri-partite board is composed of government officials, low income local citizens, and public community partners. The community partners are frequently members of government health, mental health, and service agency providers. As part of their responsibilities these boards discuss the needs of the homeless in the area and complete three year needs assessments. In completing these assessments the Community Action Agencies and other agencies conduct surveys, and host community forums in order to engage the public. In some cases the Community Action Agencies are also AOGs.

This highly organized network of funding sources, community partners, agencies, and public citizens results in a highly coordinated and integrated push for ending homelessness in Utah.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

The State coordinates directly with all three Continuums of Care (CoC) on an ongoing basis by participating in Continuum meetings, leading strategic planning efforts, and supporting the Homeless Management Information System (HMIS) and CoC funding priorities. State staff are very active in CoC meetings and attend regularly to stay connected to community needs and offer information and support as needed. This often informs gaps in strategic planning that then direct State efforts to fund specific initiatives or identify national experts that can be consulted or brought in to train to the issue. Some examples of these include support around coordinated assessment, new shelter planning, and SOAR training. Where the State houses HMIS, it is in a unique position to facilitate information sharing. This includes the creation of performance measure reports and opportunities for data warehousing with other agencies. Such efforts allow communities to focus on making data-driven decisions about how to most effectively deliver services and to whom. Staff are consistently identifying best practice to serve these target populations through national conferences and web-based materials. Utah has a robust plan for ending chronic homelessness and has directed several resources to this end. In addition to supporting housing subsidy, supportive service gaps are increasingly supported in light of CoC funding being directed away from supportive services and toward housing. Through the efforts of the state engaging national leaders, Utah has implemented a point in time count method whereby homeless persons are not only counted, but named and surveyed for services. This applies to all sub-populations of homeless persons and allows for a more direct matching of resource to need. The state specifically supports homeless families in innovative ways through utilization of the Temporary Assistance for Needy Families (TANF) program and through coordinating rapid rehousing dollars from both the CoC and ESG programs. Veterans have also been a special area of emphasis in recent years with the VA Boot Camp push and participation in subsequent 100-day goals to end chronic homelessness among veterans and implement structural supports to more rapidly identify and connect veterans to services. Both veterans and unaccompanied youth will be addressed as part of a strategic planning effort among CoCs and the State Community Services Office. Collaborations with the VA Homeless Services Office and youth services providers facilitate better identification and assessment of both of these subpopulations. Finally, the state directly coordinates with, and supports, various homeless prevention efforts for persons at imminent risk of homelessness. Coordination and supports are facilitated through the Community Services Block Grant (CSBG) and TANF programs. In order to better ground these programs in evidence-based practices, the State has led an initiative to create a coordinated assessment tool among homeless prevention providers, which is in process and should be in effect toward the end of the calendar year.

Describe consultation with the Continuum(s) of Care that serves the State in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

State ESG funds are allocated through the State's Unified Funding process, which includes allocation recommendations from the State Homeless Coordinating Committee's Allocation Committee and approval by the State Homeless Coordinating Committee (SHCC). The SHCC is chaired by the Lt. Governor and is representative of homeless stakeholders state-wide. By design, a CoC president or leader from each of the three CoC's holds a voting seat on the State Homeless Coordinating Committee and thereby has direct authority for approving ESG allocation. In addition to ESG, the Unified Funding process includes other State resources, namely the Pamela Atkinson Homeless Trust Fund and Critical Needs Housing. These combined sources represent a three-year average of roughly 3.5 million dollars in homeless resource on an annual basis.

Prior to funding recommendations being made, each CoC is asked to consult directly with the SHCC Allocation Committee. Each year, in conjunction with the Unified Funding cycle, the three CoCs in Utah are given a list of applications submitted for Unified funding that come from agencies within their respective CoC boundary. A CoC representative is then invited to present their region's funding priorities to the State Homeless Coordinating Committee's Unified Funding Allocation Committee. This presentation of priorities may include long-term CoC goals, local needs and anticipated gaps. The priorities presented should be in line with research-driven, best-practice models and facilitate greater leveraging of CoC funds. These CoC priorities then inform allocation for ESG and State funds administered through the State Homeless Coordinating Committee. The allocation committee's final allocation recommendation is then presented for approval in a public meeting to the State Homeless Coordinating Committee at which time each CoC has the opportunity to hear how their priorities have influenced the allocation of funds and to vote for or against the recommended allocation.

The State office that receives ESG funding is also the designated HMIS lead agency and has directed the development of performance measures that use HMIS data and are in line with HUD directive and national best practice. Reports include system-wide measures that can be reviewed on the local community, CoC, or State level and will be published quarterly. Reports may also be crafted to isolate funding sources, such as ESG, and all ESG and CoC leads are given access to this data. CoCs have also teamed up with the ESG State agency to participate in monitoring efforts of all ESG recipients within their respective geographic area. Each CoC has a process for ESG recipient monitoring included in the policies and procedures that were submitted as a part of the 2013 CoC competition collaborative application.

The CoC's are responsible for HMIS project oversight and implementation, which encompasses planning, administration, software selection, managing of HMIS data compliance with HMIS standards, and reviewing and approving all policies, procedures and data management plans governing Contributing HMIS Organizations. The CoC's oversight and governance responsibilities are carried out by its Steering Committee, which includes representation from all three CoC's in the state as well as ESG representation, local leaders and the Lead Agency HMIS staff. The steering committee reviews and updates all HMIS policies and procedures.

2. Agencies, groups, organizations and others who participated in the process and consultations

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	FIVE COUNTY ASSOCIATION OF GOVERNMENTS
	Agency/Group/Organization Type	Housing Services - Housing Services-Elderly Persons Services-Persons with Disabilities Services-homeless Regional organization Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Five County Association of Government works with the State of Utah in conducting needs assessments of local governments and administering the CDBG program in rural Utah. They are also required by HCD to complete their own annual action plans which include most of the same elements found on the State Annual Action Plan.

2	Agency/Group/Organization	SIX COUNTY ASSOCIATION OF GOVERNMENT
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Regional organization Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Six County Association of Government works with the State of Utah in conducting needs assessments of local governments and administering the CDBG program in rural Utah. They are also required by HCD to complete their own annual action plans which include most of the same elements found on the State Annual Action Plan.
3	Agency/Group/Organization	BEAR RIVER ASSOCIATION OF GOVERNMENTS
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Regional organization Planning organization

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Bear River Association of Government works with the State of Utah in conducting needs assessments of local governments and administering the CDBG program in rural Utah. They are also required by HCD to complete their own annual action plans which include most of the same elements found on the State Annual Action Plan.
4	Agency/Group/Organization	Mountainland Association of Governments
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Regional organization Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Mountainland Association of Governments works with the State of Utah in conducting needs assessments of local governments and administering the CDBG program in rural Utah. They are also required by HCD to complete their own annual action plans which include most of the same elements found on the State Annual Action Plan.

5	Agency/Group/Organization	SOUTHEASTERN UTAH ASSOCIATION OF LOCAL GOVERNMENTS
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Regional organization Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Southeastern Utah Association of Local Government works with the State of Utah in conducting needs assessments of local governments and administering the CDBG program in rural Utah. They are also required by HCD to complete their own annual action plans which include most of the same elements found on the State Annual Action Plan.
6	Agency/Group/Organization	UINTAH BASIN ASSOCIATION OF GOVERNMENTS
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Planning organization Business Leaders

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Uintah Basin Association of Governments works with the State of Utah in conducting needs assessments of local governments and administering the CDBG program in rural Utah. They are also required by HCD to complete their own annual action plans which include most of the same elements found on the State Annual Action Plan.
7	Agency/Group/Organization	Wasatch Front Regional Council
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Victims of Domestic Violence Services-homeless Regional organization Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Market Analysis Economic Development

<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>The Wasatch Front Regional Council works with the State of Utah in conducting needs assessments of local governments and administering the CDBG program in rural Utah. They are also required by HCD to complete their own annual action plans which include most of the same elements found on the State Annual Action Plan.</p>
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Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	State Homelessness Coordinating Committee	The State Homelessness Coordinating Committee helps determine the strategies and priorities of homeless prevention in Utah. The ESG program is part of this committee.
AOG Plans	7 Regional Associations of Governments	The 7 regional Associations of Governments are each required by the state to write Consolidated Plans. These plans are reviewed and considered during the state planning process. These organizations are listed in the above section.

Table 3 - Other local / regional / federal planning efforts

AP-12 Participation - 91.115, 91.300(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

HCD works with seven regional Association of Governments (AOGs) to conduct citizen outreach and gather information for the state Consolidated Plan. Each AOG's Consolidated Plan details a process for outreach and citizen participation. A review of these plans show that each of the seven local planning agencies has made a concerted effort to seek public input into their planning, priority, and funding processes through mailings, questionnaires, forums, web posting, and public noticed hearings. A 30-day comment period has been adhered to by each agency. Those public comment periods (for regional plans) ended by March 30th, 2017 and comments were forwarded to HCD with each area's Consolidated Plan update and action plan for 2017.

Workshops intended to explain the application process and how to successfully apply for CDBG funds, are held in October of each year in order to give applicants sufficient time to complete their application for the next funding cycle. Also, applicants hold public hearings to solicit input from local residents regarding projects in their area. So every project has been presented to local citizens.

At the state level, HCD has adopted a Public Participation Plan. In adherence to this plan, the process and scheduled meetings for public input and comment have been advertised and were held in accordance with Utah's Open Public Meeting Law and have been posted to the Utah Public Notice Website (<http://www.utah.gov/pmn/index.html>). Concurrent to that posting, the draft is posted to the HCD website (<http://jobs.utah.gov/housing>), and citizens and other public and private entities were invited to contact staff with comments and questions. The state 30-day comment period began March 31st and the state has submitted the 2017 Annual Action Plan on May 1st 2017. The formal public hearing was held at the HCD offices on May 2 at our location at 1385 S State Street, Salt Lake City, Utah. This meeting was publicized in accordance with Utah's Open Public Meeting Law (UT Code § 52-4-101). This meeting is noticed statewide each year with electronic access to rural and remote areas upon request. Comments received at the hearings are posted and incorporated into the final draft plan. The State will provide a timely, substantive written response to every citizen complaint, within 15 days, were practicable.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Public Meeting	Non-targeted/broad community	No attendance	No comments	Not applicable	
2	Internet Outreach	Non-targeted/broad community	No response	No comments	Not applicable	
3	Newspaper Ad	Non-targeted/broad community	No response	No comments	Not applicable	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.320(c)(1,2)

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HTF	public - federal	Housing Multifamily rental new construction Multifamily rental rehab	3,000,000	0	3,000,000	3,000,000	9,000,000	The National Housing Trust fund will likely provide \$3M in funding to Utah for each of the remaining 3 years of the current Consolidated Plan. The state has 3,000,000 in funding from FY16 that was delayed and will be allocated in FY17
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	4,614,650	0	150,000	4,914,650	18,758,600	The expected remaining amount is assuming level funding for the remainder of the Consolidated Plan Period. An additional, \$150,000 is left over from FY 16 and will be allocated in FY17

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	3,023,348	4,500,000	0	7,523,348	30,093,392	The expected remaining amount assumes level funding for the remainder of the Consolidated Plan Period

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	153,375	0	0	153,375	613,500	The expected remaining amount assumes level funding for the remainder of the Consolidated Plan Period

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	1,239,222	0	0	1,239,222	4,956,888	The expected remaining amount assumes level funding for the remainder of the Consolidated Plan Period

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The State HOME Program promotes leveraging through its rating and ranking system. This has proven successful. In the last program year HOME funds were leveraged at \$17.89 to \$1 and over the course of the last 5-year period leveraging averaged over \$13 to \$1. The state CDBG program does not have a match requirement in its method of distribution, however the seven regional rating and ranking committees award points to applications that include leveraged funds. In this highly competitive program, this motivates applicants to pursue other funding sources. Smaller communities with fewer resources are given more points for leveraged funds than larger communities which levels the playing field.

The State of Utah matches its ESG funds through the state funds allocated through the Unified Funding Process (Process described in AP-10). The Pamela Atkinson Homeless Trust Fund (PAHTF) and the Critical Needs Housing (CNH) totals exceeded the match amount requirement for the State ESG's award.

The State of Utah expects NHTF applicants to highly leverage NHTF projects, but does not currently have any leveraging requirements in its application process.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

There are no publically owned land or property located within the state that may be used to address the needs identified in the plan.

Discussion

Annual Goals and Objectives

AP-20 Annual Goals and Objectives – 91.320(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	New Affordable Housing	2015	2019	Affordable Housing Non-Homeless Special Needs	State of Utah	Increase Availability of Affordable Housing	CDBG: \$2,457,325 HOPWA: \$76,688 HOME: \$7,523,348 HTF: \$6,000,000	Rental units constructed: 700 Household Housing Unit Rental units rehabilitated: 240 Household Housing Unit Housing for People with HIV/AIDS added: 4 Household Housing Unit HIV/AIDS Housing Operations: 60 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	Increase Sustainability of Rural Utah	2015	2019	Non-Housing Community Development	Five County Association of Governments Mountainland Association of Governments Southeastern Utah Association of Local Governments Wasatch Front Regional Council Six County Association of Governments Bear River Association of Governments Uintah Basin Association of Governments	Improving Public Infrastructure Economic Development	CDBG: \$2,457,325	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 4000 Households Assisted Public service activities for Low/Moderate Income Housing Benefit: 1000 Households Assisted Jobs created/retained: 10 Jobs Businesses assisted: 3 Businesses Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Prioritize Rapid Rehousing	2015	2019	Homeless	State of Utah	Provide Case Management and Supportive Services Emergency Shelter	HOPWA: \$76,687 ESG: \$681,572	Tenant-based rental assistance / Rapid Rehousing: 1750 Households Assisted Homeless Person Overnight Shelter: 35750 Persons Assisted Housing for People with HIV/AIDS added: 5 Household Housing Unit HIV/AIDS Housing Operations: 60 Household Housing Unit
4	Decrease tenure of Homelessness	2015	2019	Homeless	State of Utah	Rapid Rehousing of Homeless Individuals	ESG: \$557,650	Other: 30 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	New Affordable Housing
	Goal Description	
2	Goal Name	Increase Sustainability of Rural Utah
	Goal Description	
3	Goal Name	Prioritize Rapid Rehousing
	Goal Description	

4	Goal Name	Decrease tenure of Homelessness
	Goal Description	

Table 7 – Goal Descriptions

AP-25 Allocation Priorities – 91.320(d)

Introduction

The FY 2017 Allocation Priorities are based off of the priorities identified in the 2015 5-year Consolidated Plan.

Funding Allocation Priorities

	New Affordable Housing (%)	Increase Sustainability of Rural Utah (%)	Prioritize Rapid Rehousing (%)	Decrease tenure of Homelessness (%)	Total (%)
CDBG	50	50	0	0	100
HOME	100	0	0	0	100
HOPWA	50	0	50	0	100
ESG	0	0	55	45	100
HTF	100	0	0	0	100

Table 8 – Funding Allocation Priorities

Reason for Allocation Priorities

These priorities have been identified through the experience and research of HCD staff. ESG has prioritized rapid rehousing because as an organization it has adopted a housing first model which it believes to be the most effective model to end chronic homelessness. The HOME and NHTF program has chosen to Prioritize the creation of new affordable rental housing in order to address the huge deficit of affordable housing stock. For this reason the NHTF program will not be using NHTF funds to fund administrative costs or single family housing. The CDBG priorities are identified through extensive outreach to rural communities. This outreach is conducted on a yearly basis by AOG staff

How will the proposed distribution of funds address the priority needs and specific objectives described in the Consolidated Plan?

The proposed distribution of funds addresses the priorities and objectives of the Consolidated Plan by funding the programs that accomplish these objectives.

AP-30 Methods of Distribution – 91.320(d)&(k)

Distribution Methods

Table 9 - Distribution Methods by State Program

1	State Program Name:	National Housing Trust Fund
	Funding Sources:	National Housing Trust Fund
	Describe the state program addressed by the Method of Distribution.	The National Housing Trust Fund is designed to address to the lack of affordable housing for extremely low income households.
	Describe all of the criteria that will be used to select applications and the relative importance of these criteria.	As long as a need is identified in the applicants' market study and the project is funding low income units then the applicant becomes eligible to have units bought down to the 30% AMI level through the use of NHTF funds. Applicants must demonstrate financial feasibility and commit to the 30-year affordability period.

	<p>Describe how resources will be allocated among funding categories.</p> <p>All resources will be used to address the need for additional funding for new affordable housing. Additional considerations have been awarded to persons with special needs and for rural areas, and proposals with Project Based Rental Assistance components. These special categories have been included per HUD guidance and were not part of the original priorities outlined in the 5-year Consolidated Plan</p>
	<p>Describe threshold factors and grant size limits.</p> <p>The state of Utah has not adopted a grant size limit for HTF applications.</p>
	<p>What are the outcome measures expected as a result of the method of distribution?</p> <p>We expect to be able to fund the creation of new affordable housing for very low income housing.</p>
<p>2</p>	<p>State Program Name: Olene Walker Housing Loan Fund</p>

Funding Sources:	HOME
Describe the state program addressed by the Method of Distribution.	The Olene Walker Housing Loan Fund (OWHLF) is the HOME grantee for the state of Utah. This fund is part of the division of Utah Housing and Community Development (HCD) Division. OWHLF partners with public and private organizations to create and preserve quality affordable housing for Utah's very low -income, low-income and moderate-income community. To achieve this goal, OWHLF supports the construction, rehabilitation and purchase of affordable multi-family and single-family housing units throughout Utah. These programs are based on fair, open and competitive processes for applicant proposals that create and preserve low-income housing units.
Describe all of the criteria that will be used to select applications and the relative importance of these criteria.	The Olene Walker Housing Loan Fund has a system in place for rating funding applications. For multi-family housing applications there are eight criteria, each of which has a maximum possible score associated with it. A perfect application would have a score of 100 points. The most important criteria are new capacity and loan leveraging, both of which have a possible value of twenty-five points. More new affordable units, and a higher leveraging ratio will earn an applicant more points. AMI targeting, worth a maximum of 10 points, is the next most valuable criteria. Projects which target a lower income population receive higher scores. Rehabilitation, community support and county population are all worth a possible ten points. Rural areas receive additional points. For rehab projects staff reviews which building systems will be replaced and awards points accordingly. Scoring for the "community support" criteria reviews whether project is consistent with identified needs and goals of local affordable housing plans. Unit size is worth 5 points. This criteria awards additional points to applications which are providing units with more bedrooms to accommodate larger families. The final category is a bonus category in which projects can receive up to 5 points for incorporating green energy efficiency elements into their projects.

	<p>Describe how resources will be allocated among funding categories.</p> <p>HCD uses federal HOME funds specifically to support our multi-family housing program.</p>
	<p>Describe threshold factors and grant size limits.</p> <p>OWHLF does not typically award more than one million dollars to any one applicant. Applicants which are building multi-stage projects are allowed to submit for more funds upon initiation of a new phase of their construction. The OWHLF board has discretion to award more than one million to a single project if they so choose. This is an extremely rare occurrence.</p>
	<p>What are the outcome measures expected as a result of the method of distribution?</p> <p>OWHLF has chosen to promote the creation of new affordable multifamily units for very low income, low-income and moderate income households. Our method of distribution reflects this priority. Funds are also distributed to support HCD's goal to end chronic homelessness. We expect applicants to promote green building standards, large unit sizes and large leveraging as a result of our rating system.</p>
<p>3</p>	<p>State Program Name: State of Utah HOPWA Program</p>

Funding Sources:	HOPWA
Describe the state program addressed by the Method of Distribution.	<p>The Housing Opportunities for Persons with AIDS (HOPWA) Program is the only Federal program dedicated to the housing needs of persons living with HIV/AIDS and their families. The HOPWA funds are appropriated annually through the U.S. Department of Housing and Urban Development (HUD) by formula to eligible states that meet the minimum number of cumulative AIDS cases. As an eligible state (grantee), the State of Utah receives a HOPWA formula grant, administered by the State Community Services Office (SCSO), Housing and Community Development Division, Department of Workforce Services. The HOPWA Program aims to assist HOPWA eligible households to: (1) Increase access to healthcare and other supportive services necessary to focus on managing their disease, (2) Avoid becoming homeless while facing severe challenges in meeting personal and medical needs in addition to their housing costs, (3) Gain more stability, continue case management and have better health options.</p>
Describe all of the criteria that will be used to select applications and the relative importance of these criteria.	<p>The selection criteria for awarding of HOPWA funds are based on an analysis of the number of households living with HIV/AIDS and the location of available services. SCSO released a request for proposal to non-profits across the state of Utah. Agencies are awarded funds based upon their demonstrated capacity to achieve the following: (1) Identify people living with HIV/AIDS, (2) Increase inventory of affordable units for people living with HIV/AIDS, (3) Provide direct client support to obtain or maintain housing and prevent homelessness, (4) Identify resources for people living with HIV/AIDS. In order to ensure that households being served with HOPWA funds will avoid the threat of homelessness, particular consideration will be given to those agencies that were funded in the previous program year and demonstrated effective use of funds. An HIV/AIDS Housing Steering Committee (a committee of medical care providers, housing agencies and HOPWA project sponsors) remains in direct contact with people living with HIV/AIDS. Their combined knowledge of the medical and supportive services providers ensures that distribution of funds is equitable among the providers and client needs throughout the state.</p>

<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>Project sponsors are non-profits (including faith-based organizations) or government agencies targeting services to individuals living within the State's metropolitan statistical areas. Project sponsors must demonstrate the ability to manage the HOPWA program and all applicable State and Federal policies and procedures including compliance with Federal and State non-discrimination laws. Project sponsors must have established internal control and fiscal accounting procedures. Project sponsors should demonstrate the ability to coordinate, where appropriate, client services with other services providers and leverage, where possible, other resources toward meeting overall client needs and program goals. Program Sponsors must demonstrate the ability to meet all reporting and record keeping requirements including maintaining the confidentiality of client records. Project Sponsors must demonstrate that they can and will make third party payments without identifying clients as HOPWA recipients or as having AIDS or HIV.</p> <p>The funding allocation is a competitive process that begins with a Request for Proposal (RFP). This competitive process includes the review of proposals and funding recommendations offered by a diverse group of stakeholders: State HOPWA Allocation Committee, Grantee staff, Other State and/or local government representatives, Continuum of Care representatives, Other service providers, Community members. The HOPWA Allocation Committee reviews and assesses against desired program criteria, and awards are made to individual organizations.</p> <p>The State HOPWA program posts public notice for RFP in the local newspaper and online to apply for HOPWA funding two months before the contract fiscal year. Application workshops are held to educate and inform applicants on how to apply for funding. The deadline for application submissions is set before the workshop.</p>
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	<p>Describe how resources will be allocated among funding categories.</p> <p>HCD will only use the authorized administrative cost limit of 3% to manage the program. Project sponsors will be limited to the authorized administrative cost of 7%. This will be monitored when HCD processes requests for funds. Other than administration funds, HOPWA has emphasized rental assistance for persons with HIV/AIDS. HUD provides HOPWA grantees the flexibility to determine how best to apportion recipients.</p>
	<p>Describe threshold factors and grant size limits.</p> <p>There are no limits or threshold factors in the awarding of HOPWA funds.</p>
	<p>What are the outcome measures expected as a result of the method of distribution?</p> <p>As a result of HOPWA's method of distribution HOPWA expects to: (1) increase inventory of affordable units for people living with HIV/AIDS, (2) provide direct client support to obtain or maintain housing and prevent homelessness, and (3) identify resources for people living with HIV/AIDS.</p>
4	<p>State Program Name:</p> <p>The State of Utah Emergency Solutions Grant Program</p>

Funding Sources:	ESG
Describe the state program addressed by the Method of Distribution.	The Emergency Solutions Grant (ESG) Program provides financial assistance and essential services to homeless individuals and families. ESG provides housing relocation services to align homeless households with affordable housing and activities that promote self-sufficiency and stability.
Describe all of the criteria that will be used to select applications and the relative importance of these criteria.	The state of Utah ESG program awards grants through a competitive grant process. We have created a Unified Funding application process that includes ESG and two state homeless funds to coordinate these funds to meet the match as well as better meet strategic focus of funding. The State Homeless Coordinating Committee (SHCC) sets state priorities for the competitive grant process based on HUD Health Act, HUD priorities in regard the chronic, veterans, families and youth as well as the specific needs identified in collaboration with partners and CoC's. HCD looks to fund agencies' applications with ESG that support HUD goals and objectives. HCD coordinated the review process with CoC's to align goals and resources. HCD follows HUD direction to allocate no more that 60% of ESG funds for shelter and outreach. Our primary focus is Rapid Rehousing dollars for those staying in shelters who are literally homeless and street outreach to identify unsheltered households and offer services and housing.
Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)	The State of Utah has consolidated both state and federal sources of homeless program grants into a single application process (Unified Funding Program). A statewide allocation plan was developed by an allocation committee and approved by an interagency council on homelessness (the State Homeless Coordinating Committee). The State Community Services Office oversees the competitive grant process for proposals from programs statewide that serve homeless persons according to HUD's definition of homelessness. All agencies that serve households experiencing homelessness with services that fall within state and federal goals are encouraged to apply. Training is provided for the application process as well as training of ESG regulations and requirements. The State's Homeless Coordinating Council Allocation Committee (SHCC) makes decisions regarding the distribution of ESG. Leadership from each of Utah's Continua of Care (CoC) is invited to participate in the allocation process. The final recommendations for funding are submitted to the SHCC for approval.

	<p>Describe how resources will be allocated among funding categories.</p> <p>As per HUD’s guidelines: no more than 60% will be allocated to Street Outreach and Emergency Shelter activities. The remaining 40% + will be allocated to Rapid Re-housing and HMIS. No ESG funds are allocated to homeless prevention.</p>
	<p>Describe threshold factors and grant size limits.</p> <p>The ESG Program has no threshold factors or grant size limits.</p>
	<p>What are the outcome measures expected as a result of the method of distribution?</p> <p>The expectation is that by 1) targeting only literally homeless and those who are most vulnerable, 2) reducing the number of people living on the streets through street outreach or emergency shelters by rapidly rehousing them, 3) shortening the time people spend homeless, and 4) reducing each program participant’s housing barriers and/or housing; Utah will create a systemic approach to meet federal goals on ending homelessness.</p>
5	<p>State Program Name: Utah Small Cities Community Development Block Grant Program</p>

	Funding Sources:	CDBG
	Describe the state program addressed by the Method of Distribution.	The Utah Small Cities Community Development Block Grant Program is dedicated to the promotion of local community development in rural Utah. The CDBG program funds activities such as infrastructure development, affordable housing, public services, and economic development. This program is an essential part of promoting sustainability in Utah's rural communities.

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>Utah allocates CDBG funds to regional associations of governments AOGs. Each of the seven AOGs receives a base amount of \$300,000 and the remaining funding is divided on a per capita basis. Cities over 50,000 and counties over 200,000 in population receive their own allocations of federal CDBG funds directly from HUD and are not factored into this calculation. Each AOG has developed its own rating and ranking system to determine how to award CDBG funds to applicants. However common among the AOGs are the eight criteria:</p> <p>The first criteria is “Capacity to carry out the grant”. In other words, the grantee must have a history of successful grant administration in order to receive full points in this category.</p> <p>The second criteria is “Job creation”. Points are given to projects that create or retain jobs.</p> <p>The third criteria is “Housing stock”. Housing is a state priority. Housing projects that improve or expand affordable housing stock are given additional points.</p> <p>The fourth criteria is “Affordable housing plan”. Utah House Bill 295 requires all cities and counties to address the problems associated with the availability of affordable housing in their community’s plan.</p> <p>The fifth criteria is “Extent of Poverty”. Points are given for the percentage of low-income, and very low-income persons benefiting either from the project or carried out in a low-income community.</p> <p>The sixth criteria is “Financial commitment to community development”. Points are given to communities who show commitment based on criteria select by the regional AOGs.</p> <p>The seventh criteria is “Project Maturity”. Each application must contain a specific and detailed scope of work that contains a narrative description and a detailed engineer’s cost estimate. The AOG should determine the immediate viability of the project. Leveraging is also considered as part of this criteria.</p> <p>The eighth and last criteria is “Planning”. The AOGs review the 5-year consolidated plan, as well as more recent annual updates to the consolidated plan, when available, and then establish regional priorities and award points accordingly.</p>
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<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>The Utah Small Cities CDBG program goes to great lengths to ensure that all eligible applicants are well notified and prepared to apply for CDBG funds. On an annual basis the State holds 12 How-to-Apply workshops throughout the state. Cities, Counties, and other private and public service providers are invited to attend. In this workshop AOG and State staff present and review the annually updated the Application Policies and Procedures Manual. This manual clearly outlines the procedures for applying for CDBG funds. It explains the CDBG rating and ranking system, important deadlines, and all other information needed to successfully apply for funds. These workshops are well attended. AOG Staff assist eligible applicants with the application process. The application policies and procedures manual is also on CDBGs website at: http://jobs.utah.gov/housing/cdbg/publications.html</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>CDBG funding categories include admin, planning, and technical assistance, economic development, housing, public facilities, public services, and “other”. Each AOG has developed its own rating and ranking system according to the needs of its regions. The rating and ranking systems promote certain project types over others. However, funding priorities are determined in response to the types of projects which come before the various AOGs. The state has final approval authority over these systems, and they must include the state’s mandatory elements (Capacity to Carry Out the Grant, Job Creation, Housing Stock, Affordable Housing Plan, Extent of Poverty, Financial Commitment to Community Development, Project Maturity, Successful participation in quality growth community programs). The rating and ranking systems are evaluated each year and modifications are made. Special efforts continue to eliminate subjectivity and create clearer scoring criteria.</p>
<p>Describe threshold factors and grant size limits.</p>	<p>The state small cities CDBG program does not fund less than \$30,000 to any one project. Some AOGs have chosen to limit the maximum grant size. However, this varies by region.</p>

	<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>As a result of this method of distribution Utah is improving the sustainability of rural communities throughout the state. Local community needs are being adequately evaluated due to the local and regional expertise of the associations of governments through which the State Small Cities CDBG program operates. These needs are being addressed according to the priorities the local AOGs are outlining.</p>
1	<p>State Program Name:</p>	<p>Olene Walker Housing Loan Fund</p>
	<p>Funding Sources:</p>	<p>HOME</p>
	<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The Olene Walker Housing Loan Fund (OWHLF) is the HOME grantee for the state of Utah. This fund is part of the division of Utah Housing and Community Development (HCD) Division. OWHLF partners with public and private organizations to create and preserve quality affordable housing for Utah's very low -income, low-income and moderate-income community. To achieve this goal, OWHLF supports the construction, rehabilitation and purchase of affordable multi-family and single-family housing units throughout Utah. These programs are based on fair, open and competitive processes for applicant proposals that create and preserve low-income housing units.</p>

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>The Olene Walker Housing Loan Fund has a system in place for rating funding applications. For multi-family housing applications there are eight criteria each which has a maximum possible score associated with it. a perfect application would have a score of 100 points. The most important criteria are new capacity and loan leveraging, both of which have a possible value of twenty-five points. More new affordable units, and a higher leveraging ratio will earn an applicant more points. AMI targeting, worth a maximum of 10 points, is the next most valuable criteria. Projects which target a lower income population receive higher scores. Rehabilitation, community support and county population are all worth a possible ten points. Rural areas receive additional points. For rehab projects staff reviews which building systems will be replaced and awards points accordingly. Scoring for the "community support" criteria reviews whether project is consistent with identified needs and goals of local affordable housing plans. Unit size is worth 5 points. This criteria awards additional points to applications which are providing units with more bedrooms to accommodate larger families. The final category is a bonus category in which projects can receive up to 5 points for incorporating green energy efficiency elements into their projects.</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>HCD uses federal HOME funds specifically to support our multi-family housing program.</p>

	Describe threshold factors and grant size limits.	OWHLF does not award more than one million to any one applicant. Applicants which are building multi-stage projects are allowed to submit for more funds upon initiation of a new phase of their construction. The OWHLF board has discretion to award more than one million to a single project if they so chose. This is a extremely rare occurrence.
	What are the outcome measures expected as a result of the method of distribution?	OWHLF has chosen to promote the creation of new affordable multifamily units for very low income, low-income and moderate income households. Our method of distribution reflects this priority. Funds are also distributed to support HCD's goal to end chronic homelessness. We expect applicants to promote green building standards, large unit sizes and large leveraging as a result of our rating system.
2	State Program Name:	State of Utah HOPWA Program
	Funding Sources:	HOPWA

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The Housing Opportunities for Persons with AIDS (HOPWA) Program is the only Federal program dedicated to the housing needs of persons living with HIV/AIDS and their families. The HOPWA funds are appropriated annually through the U.S. Department of Housing and Urban Development (HUD) by formula to eligible states that meet the minimum number of cumulative AIDS cases. As an eligible state (grantee), the State of Utah receives a HOPWA formula grant, administered by the State Community Services Office (SCSO), Housing and Community Development Division, Department of Workforce Services. The HOPWA Program aims to assist HOPWA eligible households to: (1) Increase access to healthcare and other supportive services necessary to focus on managing their disease, (2) Avoid becoming homeless while facing severe challenges in meeting personal and medical needs in addition to their housing costs, (3) Gain more stability, continue case management and have better health options.</p>
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>The selection criteria for awarding of HOPWA funds are based on an analysis of the number of households living with HIV/AIDS and the location of available services. SCSO released a request for proposal to non-profits across the state of Utah. Agencies are awarded funds based upon their demonstrated capacity to achieve the following: (1) Identify people living with HIV/AIDS, (2) Increase inventory of affordable units for people living with HIV/AIDS, (3) Provide direct client support to obtain or maintain housing and prevent homelessness, (4) Identify resources for people living with HIV/AIDS. In order to ensure that households being served with HOPWA funds will avoid the threat of homelessness, particular consideration will be given to those agencies that were funded in the previous program year and demonstrated effective use of funds. An HIV/AIDS Housing Steering Committee (a committee of medical care providers, housing agencies and HOPWA project sponsors) remains in direct contact with people living with HIV/AIDS. Their combined knowledge of the medical and supportive services providers ensures that distribution of funds is equitable among the providers and client needs throughout the state.</p>

<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations).</p>	<p>Project sponsors are non-profits (including faith-based organizations) or government agencies targeting services to individuals living within the State's metropolitan statistical areas. Project sponsors must demonstrate the ability to manage the HOPWA program and all applicable State and Federal policies and procedures including compliance with Federal and State non-discrimination laws. Project sponsors must have established internal control and fiscal accounting procedures. Project sponsors should demonstrate the ability to coordinate, where appropriate, client services with other services providers and leverage, where possible, other resources toward meeting overall client needs and program goals. Program Sponsors must demonstrate the ability to meet all reporting and record keeping requirements including maintaining the confidentiality of client records. Project Sponsors must demonstrate that they can and will make third party payments without identifying clients as HOPWA recipients or as having AIDS or HIV.</p> <p>The funding allocation is a competitive process that begins with a Request for Proposal (RFP). This competitive process includes the review of proposals and funding recommendations offered by a diverse group of stakeholders: State HOPWA Allocation Committee, Grantee staff, Other State and/or local government representatives, Continuum of Care representatives, Other service providers, Community members. The HOPWA Allocation Committee reviews and assesses against desired program criteria, and awards are made to individual organizations.</p> <p>The State HOPWA program posts public notice for RFP in the local newspaper and online to apply for HOPWA funding two months before the contract fiscal year. Application workshops are held to educate and inform applicants on how to apply for funding. The deadline for application submissions is set before the workshop.</p>
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	<p>Describe how resources will be allocated among funding categories.</p> <p>HCD will only use the authorized administrative cost limit of 3% to manage the program. Project sponsors will be limited to the authorized administrative cost of 7%. This will be monitored when HCD processes requests for funds. Other than administration funds, HOPWA has emphasized rental assistance for persons with HIV/AIDS. HUD provides HOPWA grantees the flexibility to determine how best to apportion recipients.</p>
	<p>Describe threshold factors and grant size limits.</p> <p>There are no limits or threshold factors in the awarding of HOPWA funds.</p>
	<p>What are the outcome measures expected as a result of the method of distribution?</p> <p>As a result of HOPWA's method of distribution HOPWA expects to; (1) increase inventory of affordable units for people living with HIV/AIDS, (2) provide direct client support to obtain or maintain housing and prevent homelessness, and (3) identify resources for people living with HIV/AIDS.</p>
<p>3</p>	<p>State Program Name: The State of Utah Emergency Solutions Grant Program</p>

Funding Sources:	ESG
Describe the state program addressed by the Method of Distribution.	The Emergency Solutions Grant (ESG) Program provides financial assistance and essential services to homeless individuals and families. ESG provides safe and affordable housing and provides self-sufficiency to the homeless population.
Describe all of the criteria that will be used to select applications and the relative importance of these criteria.	The state of Utah ESG program awards grants through a competitive grant process. We have created a Unified Funding application process that includes ESG and two state homeless funds to coordinate these funds to meet the match as well as better meet strategic focus of funding. HCD sets state priorities for the competitive grant process based on HUD Hearth Act, HUD priorities in regard the chronic, veterans, families and youth as well as the state’s 10 year plan to end chronic homelessness. HCD looks to fund agencies’ applications with ESG that support HUD goals and objectives. HCD coordinated the review process with CoC’s to align goals and resources. HCD follows HUD direction to allocate no more that 60% of ESG funds for shelter and outreach. Our primary focus is Rapid Rehousing dollars for those staying in shelters who are literally homeless.
Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations.	The State of Utah has consolidated both state and federal sources of homeless program grants into a single application process (Unified Funding Program). A statewide allocation plan was developed by an allocation committee and approved by an interagency council on homelessness (the State Homeless Coordinating Committee). The State Community Services Office oversees the competitive grant process for proposals from programs statewide that serve homeless persons according to HUD’s definition of homelessness. All agencies that serve homeless in our state are encouraged to apply. Training is provided for the application process as well as training of ESG regulations and requirements. The State’s Homeless Coordinating Council Allocation Committee (SHCC) makes decisions regarding the distribution of ESG. Leadership from each of Utah’s Continua of Care (CoC) is invited to participate in the allocation process. The final recommendation for funding are submitted to the SHCC for approval.

	<p>Describe how resources will be allocated among funding categories.</p>	<p>As per HUD’s guidelines: no more than 60% will be allocated to Street Outreach and Emergency Shelter activities. The remaining 40%+ will be allocated to Rapid Re-housing and HMIS.</p>
	<p>Describe threshold factors and grant size limits.</p>	<p>The ESG Program has no threshold factors or grant size limits.</p>
	<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>The expectation is that by 1) targeting those who need the assistance most, 2) reducing the number of people living on the streets or emergency shelters, 3) shortening the time people spend homeless, and 4) reducing each program participant’s housing barriers and/or housing; Utah will achieve its stated goal of ending chronic homelessness.</p>
<p>4</p>	<p>State Program Name:</p>	<p>Utah Small Cities Community Development Block Grant Program</p>

Funding Sources:	CDBG
Describe the state program addressed by the Method of Distribution.	The Utah State Small Cities Community Development Block Grant Program is dedicated to the promotion of local community development in rural Utah. The CDBG program funds activities such as infrastructure development, affordable housing, public services, and economic development. This program is an essential part of promoting sustainability in Utah's rural communities.

Describe all of the criteria that will be used to select applications and the relative importance of these criteria.

Utah awards CDBG funds to regional associations of governments AOGs. Each of the seven AOGs in Utah receive a base amount of \$300,000 and the remaining funding is divided on a per capita allocation basis. Entitlement areas are not factored into this calculation. All funds are expended in rural communities. Each AOG has developed its own rating and ranking system to determine how to award CDBG funds to applicants. However common among the AOGs are the eight criteria:

The first criteria is "Capacity to carry out the grant". In other words the grantee must have a history of successful grant administration in order to receive full points in this category.

The second criteria is "Job creation". Points are given to projects that create or retain jobs.

The third criteria is "Housing stock". Housing is a state priority. Housing projects that improve or expand the communities affordable housing stock is given additional points.

The fourth criteria is "Affordable housing plan". Utah House Bill 295 requires all cities and counties to address the problems associated with the availability of affordable housing in their community's plan.

The fifth criteria is "Extent of Poverty". Points are given for the percentage of low-income, and "very low-income" persons benefiting either from the project or carried out in a low-income community.

The sixth criteria is "Financial commitment to community development". Additional points are given to communities who show commitment based on criteria select by the regional AOGs.

The seventh criteria is "Project Maturity". Each pre-application must contain a specific and detailed scope of work that contains a narrative description and a detailed engineer's cost estimate. The AOG should determine the immediate viability of the project. Leveraging is also considered as part of this criteria.

The eighth and last criteria is "Planning". The AOGs review the 5-year consolidated plan, as well as more recent annual updates to the consolidated plan, when available, and then establish regional priorities and award points accordingly.

In the rating and ranking of CDBG applications, each region will recognize an applicant's accomplishments consistent with these principles by adding additional points for the following: (1) Demonstration of local responsibility for planning and land-use in their communities in coordination and cooperation with other governments. (2) Development of efficient infrastructure including water and energy conservation. (3) Incorporation of fair housing opportunity and affordability into community planning. (4) Protection and

<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria?</p>	<p>The State Small Cities CDBG program in Utah goes to great lengths to ensure that all rural communities are well notified and prepared to apply for CDBG funds. On an annual basis the State holds how-to-apply workshops in each region of the state. Counties, cities, towns, and private and public service providers are invited to attend. In this workshop, State staff present and review the annually updated CDBG Application Policies and Procedures. This manual clearly outlines the procedures for applying for CDBG funds. It explains the CDBG rating and ranking system, important deadlines, and all other information needed to successfully apply for funds. These workshops are well attended and AOG Staff are all made available to applicants. The application policies and procedures manual is also on the CDBG website at: http://jobs.utah.gov/housing/cdbg/documents/CDBG_WebGrants_Application_Instructions.pdf</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>CDBG funding categories include admin, planning, and technical assistance, economic development, housing, public facilities, public services, and “other”. Each AOG has developed their own rating and ranking system according to the needs in their various regions of the state. The rating and ranking systems promotes certain project types over others. However, funding is awarded in response to the types of projects which come before the various AOGs. The state has final approval authority over these systems, and they must include the state’s mandatory elements (Capacity to Carry Out the Grant, Job Creation, Housing Stock, Affordable Housing Plan , Extent of Poverty, Financial Commitment to Community Development, Project Maturity, Planning). The rating and ranking systems are evaluated yearly and modifications are made to reflect the priorities of each region.</p>
<p>Describe threshold factors and grant size limits.</p>	<p>The state small cities CDBG program does not fund less than \$30,000 to any one project. Some AOGs have chosen to limit the maximum grant size. However, this varies by location.</p>

<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>As a result of this method of distribution Utah is improving the sustainability of rural communities throughout the state. Local community needs are being adequately evaluated due to the local and regional expertise of the associations of governments through which the State Small Cities CDBG program operates. These needs are being addressed according to the priorities the local AOGs are outlining. The funds are being expended appropriately and rural Utah is reaping the benefits.</p>
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Discussion

The HUD funds for HOME, CDBG, ESG, and HOPWA are governed by each program’s allocation plan. Those plans are created in a public process that provides at least an annual hearing. Hearings are advertised statewide in accordance with Utah’s Open Public Meeting law. Comments are considered in finalizing changes and updates to each allocation plan. In addition, each program’s distribution of funds is governed by state Boards with membership appointed by the Governor and other advisory committees which make final decisions for project funding in an open public meeting format. A representative of the Attorney General’s Office also provides consultation to HCD staff and the related Boards on open public meeting laws and processes.

AP-35 Projects

Introduction

HCD does not have a list of projects which will be funded in the coming program year. Program priorities and needs have been identified, but specific projects to be funded are always subject to change up until the actual applications are received and the regional rating and ranking committees and program boards deliberate on their merits.

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

HOME has prioritized the creation of new affordable housing. CDBG has prioritized public infrastructure and other community needs in rural areas. ESG has prioritized the rapid rehousing of homeless and the elimination of chronic homelessness. HOPWA is dedicated to providing affordable housing for persons with HIV/AIDS.

These priorities are the same priorities identified in the five year consolidated plan. These priorities were identified by HCD after careful consultation with local leaders, public representatives, and a thorough analysis of housing, demographic, and economic information provided by the Census and other legitimate data sources. HCD has found that increasing the number of affordable housing units and the rapid rehousing of homeless to be the most effective means of providing stable housing to disadvantaged Utahns. CDBG's partnership with regional AOGs has allowed extensive consultation with local leaders to take place and has proven effective in ensuring that the greatest needs in rural Utah are addressed by the CDBG program.

Obstacles to addressing underserved needs are found in poor census information, onerous regulations attached to federal funds, and a lack of funding to meet the needs.

Poor census information is an impediment to the carrying out of HCD programs. Rural areas in particular suffer from extreme unreliability. This is due to the elimination of the long form of the census and the increased use of the American Community Survey. The survey, while effective in highly populated regions, does not accurately reflect the demographic, housing, and economic situation of rural areas. This discourages a good understanding of the housing market and the individual needs which exist in rural Utah. Poor information regarding disability, the existence of dilapidated housing, the number of minorities, homelessness, and single parent or large households have all been barriers to addressing underserved needs.

Regulations attached to federal funds has been another obstacle. This has been especially true for the CDBG program which operates in Rural Utah. Applicants from rural areas often do not have the administrative capacity to understand the various regulations imposed by HUD. Extensive and sometimes expensive civil rights compliance is often discouraging for small town part-time officials.

The last obstacle to addressing underserved needs is a lack of effective advocacy in rural areas. While highly populated areas are well served by non-profit advocacy groups who highlight and champion the cause of a variety of needy underserved populations, these groups do not exist in many rural regions of the state.

HCD, the CDBG program, and regional AOGs are all working diligently to overcome these barriers and work with rural communities to ensure the underserved needs are properly identified and that any obstacles to program utilization are worked out.

AP-40 Section 108 Loan Guarantee – 91.320(k)(1)(ii)

Will the state help non-entitlement units of general local government to apply for Section 108 loan funds?

No

Available Grant Amounts

Not Applicable

Acceptance process of applications

Not Applicable

AP-45 Community Revitalization Strategies – 91.320(k)(1)(ii)

Will the state allow units of general local government to carry out community revitalization strategies?

No

State’s Process and Criteria for approving local government revitalization strategies

Not Applicable

AP-50 Geographic Distribution – 91.320(f)

Description of the geographic areas of the state (including areas of low-income and minority concentration) where assistance will be directed

Geographic Distribution

Target Area	Percentage of Funds
Five County Association of Governments	4
Mountainland Association of Governments	6
Southeastern Utah Association of Local Governments	4
Wasatch Front Regional Council	4
Six County Association of Governments	4
Bear River Association of Governments	4
Uintah Basin Association of Governments	4
State of Utah	70

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

HOME, HOPWA and ESG funds are not distributed geographically. Per statute CDBG funds are allocated to the seven associations of government regions. The percentage of funds which they receive in relation to the total funds the four State programs receive is listed above in table 10. As stated earlier in the “Method of Distribution” section of this document, those funds are allocated on a per capita basis. NHTF will not set aside funds geographically, however it does consider whether projects are based in rural areas and is awarding additional points as part of its project evaluation process to rural projects.

Affordable Housing

AP-55 Affordable Housing – 24 CFR 91.320(g)

Introduction

HCD has made great progress towards providing affordable housing. The creation of new affordable units is the primary focus of the Olene Walker Housing Loan Fund and the National Housing Trust Fund, and is also supported by the other programs at HCD. The NHTF will award special points for elderly, disabled and/or homeless individuals. Rental assistance is common in helping both the homeless and HIV/AIDS populations. Rehab of existing units is also supported by HCD funds.

One Year Goals for the Number of Households to be Supported	
Homeless	300
Non-Homeless	1,145
Special-Needs	0
Total	1,445

Table 11 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	359
The Production of New Units	642
Rehab of Existing Units	367
Acquisition of Existing Units	77
Total	1,445

Table 12 - One Year Goals for Affordable Housing by Support Type

Discussion

HCD has chosen to focus on the creation of affordable housing and on ending chronic homelessness. HCD does promote the special needs population in its rating and ranking of funding applications; however, HCD does not set aside funds specifically for projects which are dedicated towards special needs households. Special needs groups such as veterans, youth aging out of foster care, single mothers, victims of domestic violence, the elderly, and disable households all add value to the application process. The reason this is not reflected in HCD's goals is that funds are designated for affordability and not set-aside or earmarked for any of these special needs populations.

AP-60 Public Housing - 24 CFR 91.320(j)

Introduction

Actions planned during the next year to address the needs to public housing

The State of Utah CDBG program has historically provided funding to cities and counties that pass through grants to the following rural housing authorities that operate public housing units: Beaver City (18), Carbon County (121), Emery County (24) and Tooele (22). Most of the funding is used for multi-family housing rehabilitation. For 2017, \$100,000 has been awarded to the Cedar City HA to purchase and renovate 5 units of rental housing.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

All public housing residents are given notice of the HA board meetings and are invited to attend. Most of the PHA's have resident meetings that are held as needed to discuss any issues. Aside from a homeownership assistance program at Weber Housing Authority, we are unaware of any homeownership assistance programs being offered by the other housing authorities.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

There are no troubled Public Housing Authorities in Utah. CDBG does not provide assistance to troubled housing authorities.

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.320(h)

Introduction

The ESG Program is the lead State agency in efforts to end chronic homelessness. This effort is coordinated with other agencies and the state approaches homelessness through the rapid rehousing model. The National Housing Trust Fund application process promotes the creation of affordable housing for homeless individuals. It is expected that the affordable units funded with NHTF funds will assist extremely low income households and prevent many from experiencing bouts of homelessness.

Describe the jurisdiction's one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The State of Utah's ESG funding has been specifically designated to assisting the literally homeless. As such, a large percentage of this funding has been designated to street outreach to identify unsheltered households' needs through conducting assessments and offering services ranging from basic hygiene items to permanent supportive housing contingent upon the level of supports they need. State performance measures include a measure that looks at the percentage of program participants who received a VI-SPDAT assessment and how quickly the assessment was administered. Our investment in street outreach staffing is aimed at reaching out to homeless persons and using these coordinated assessment tools designated by the CoC in an effort to align them with the services they need. Additionally, The State Community Services Office has partnered with the State's Division of Substance Abuse and Mental Health to apply for and receive a CABHI and GABHI grant which are focusing on the areas with the most concentrated populations of unsheltered persons experiencing homelessness.

Addressing the emergency shelter and transitional housing needs of homeless persons

The State of Utah's ESG funding will continue to be allocated to emergency shelters throughout the state for case management and operations and maintenance. With Utah's weather conditions being potentially life-threatening, it is imperative that these facilities and/or emergency motel vouchers exist to ensure that no lives are lost due to inadequate sheltering. Utilizing state funds, we are also funding shelter diversion programs and bringing in nationally recognized experts to train our communities on diversion best practices. When we work to ensure that only the persons who have no other resources are the ones staying in shelter and then offer rapid housing solutions to those who would remain homeless but for our assistance we are able to maximize shelter facilities and vouchers. HCD encourages best practices be used in temporary sheltering or when housing individuals and families and work to ensure that training opportunities and resources are available to shelter providers. HCD has awarded emergency shelters with rapid rehousing dollars as well in order to facilitate a timely and appropriate exit from shelter and accomplish HCD's goal to reduce the length of stay in shelter. HCD does not fund

transitional housing except in limited instances where studies have shown that it is effective among certain sub-populations.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

HCD is following the ESG requirement that all recipients must participate in their CoC's adopted coordinated entry system. As ESG recipients use their coordinated entry/assessment to make housing decisions, this should allocate resources to those in most need, provide the appropriate level of intervention, and decrease the amount of time a household will experience homelessness. Additionally, State of Utah ESG rapid rehousing funds are awarded to emergency shelters in an effort to facilitate moving chronically homeless individuals and families, veteran individuals and families and homeless youths into permanent housing. Additionally, we have implemented quarterly reporting for all of our state funded programs. These reports are broken into service types and focus heavily on how well we are progressing persons experiencing homelessness into housing and how well our supportive services are stabilizing their housing. For example, street outreach reports measure the percentages of chronic and vulnerable people served, exits to housing or shelter, timing and percent of those served receiving VI-SPDATS and the number of new contacts. Rapid Rehousing reports on exits to permanent housing, rates of return into homelessness, connections to income and mainstream benefits and the average length of homelessness prior to housing. There are measures for emergency shelter, transitional housing, domestic violence shelters, permanent supportive housing and any other pilot of innovative programs as well. Our goal as we track these outcomes is to have data driven conversations about how well our programs help their participants transition into permanent housing, decrease length of stay in homelessness and monitor our rates of return to inform discussions around adequate levels of service.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

In many of the communities state-wide there are several systems in place aimed towards homeless prevention. Community Action Programs and other non-profits provide wrap around services to address poverty and HCD is committed to supporting these efforts. TANF, HOPWA and CSBG grants are distributed state-wide to provide financial assistance to those who are extremely low-income and

require short-term assistance in order to stabilize their housing.

The Utah Department of Human Services' (DHS) Division of Child and Family Services (DCFS) Practice Guidelines require a transition plan be developed at least 90 days prior to discharge with youth exiting foster care at age 18 and prohibits discharge to homelessness. Transition discharge plan to include: support services; housing; health care/insurance; vocational/educational needs; employment/workforce support. Persons exiting foster care are routinely discharged to family members, foster parents, independent living situations such as apartments, student housing, and other supervised living conditions. They may also be discharged to group homes or community residences that include supports and supervision. HCD also supports the Homeless Youth Resource Center (HYRC) run through Volunteers of America. The HYRC provides case management, street outreach, and a drop-in center for at risk youth and homeless youth.

Utah Department of Corrections in conjunction with the Utah Board of Pardons and Parole commit to not release state inmates on parole to a homeless shelter or into a homeless situation. Paroling inmates must have a residence that has been verified by AP&P agents prior to release or be assigned to a UDC Community Corrections Center for housing. Efforts are made to ensure that the residence is suitable housing. Additionally, services are provided to inmates to reduce recidivism and housing stability including: education, substance abuse treatment, vocational training/certification, employment (job readiness and resume courses) and transitional cognitive courses. Discharge options include residential treatment, boarding homes, halfway houses, market rate apartments, and family /friends. There have been several programs implemented to provide additional layers of support as well. Women's Assistance and Reentry Mentoring (WARM) is a housing and mentoring program targeting women being released from prison run through the Housing Authority of the County of Salt Lake (HACSL), Re-Entry Assistance Program (REAP) assists those exiting jail run through Golden Spike Outreach, and Your Parole Requires Extensive Preparation (YPREP) is aimed towards furthering inmate education run through the Utah Department of Corrections are a few examples of programs that assist those transitioning from incarceration.

For a description of the programs that ensure that persons returning from mental and physical health institutions receive appropriate supportive housing see MA-35.

HCD will continue to support the efforts made by partnering agencies and provide assistance when applicable. The DWS supportive services committee continues to refine protocol to support employment for those leaving incarceration, juvenile justice, and foster care.

Set-aside housing units will be targeted during 2015-16 when DHDC conducts on-going compliance monitoring. HCD will ensure that housing units originally targeted to support discharged populations continue to target those populations. HCD will ensure that service providers are tapped into the State of Utah's affordable housing database of property and unit listings.

Discussion

AP-70 HOPWA Goals – 91.320(k)(4)

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	30
Tenant-based rental assistance	22
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	8
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	4
Total	64

AP-75 Barriers to affordable housing – 91.320(i)

Introduction

Affordability is an issue which HCD takes very seriously. A large majority of HCD's funds go towards promoting affordable housing in the form of the creation of new affordable units and other methods or housing assistance. HCD works with cities to eliminate barriers to fair housing. State law requires communities to compete for affordable housing plans. HCD has taken the initiative in promoting the completion of quality plans. A new affordable housing plan database and template has been created by staff, and trainings and outreach has been effective in improving the quality of plans.

In addition, the new NHTF monies are being used to create new affordable housing for extremely low income households. While this is an important part of creating new affordable housing, NHTF funds will not be used in efforts to remove barriers to affordable housing at the local level.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

In reviewing affordable housing plans which have been submitted by communities throughout the state, HCD staff has noticed improvements in the quality of the submitted plans. A template has been created with an associated scoring rubric. Scores for submitted plans have improved. This shows a renewed commitment by communities to promote affordability.

The main method through which the Housing and Community Development Division attempts to ameliorate the negative effects of public policies, that serve as barriers to affordable housing, is through the promotion of good and effective local municipal Moderate Income Housing Plans.

Utah State Law requires municipalities to write affordable housing plans which are meant to be updated every two years. HCD staff receive these plans and evaluate them. Staff provide technical training and guidance to municipalities regarding the appropriate analyses and evaluations which they are meant to undertake. Staff have recently created a sophisticated rubric and scoring mechanism for evaluating these plans and are actively giving feedback to municipalities as to how to improve their plans. Furthermore, staff have created an advanced online template which allows communities to gather pertinent data, in both text, and graphic format. This template is very easy to use and is currently in use by communities throughout the state. Trainings on this new technology are being held to educate municipalities on how to improve their affordable housing plans. These trainings are being held statewide, including at the annual Utah American Planning Association.

The affordable housing plans are supposed to evaluate land use controls, tax policies, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment.

Additionally, both the HOME and CDBG programs award points, in their rating and ranking systems, to applicants whose communities have written a highly rated affordable housing plan. Also, one of HCD's programs, the Community Driven Housing Program is only made available to communities which have written highly rated affordable housing plans. This encourages communities to evaluate their community needs as well as any policies which may discourage affordable housing.

Discussion

AP-85 Other Actions – 91.320(j)

Actions planned to address obstacles to meeting underserved needs

The biggest obstacle to underserved needs in the small cities CDBG program is the lack of adequate funding. As cities and counties have grown in population and left the state program, the annual allocation has dropped from a high of \$8.2 million in 2003 to only \$4.47 million in 2015. There simply isn't enough funding to meet the community development needs of the communities. Critical infrastructure projects; water, sewer, and public safety continue to be a high priority. With only \$500,000 - \$600,000 available in most regions, only one or two of these projects can be funded in each region, and their size and scope is limited. The regional rating and ranking systems award additional points for leveraged funds and this encourages applicants to seek matching funds for their projects. This system maximizes the CDBG impact throughout the state.

Actions planned to foster and maintain affordable housing

The HOME, ESG, and HOPWA programs are all focused on different segments of the housing market. These programs employ their own unique methods to fostering and maintaining affordable housing. The HOME program is dedicated to the creation of new affordable housing. Each year the HOME program is responsible for the creation of 60-750 new affordable housing units. The HOME program works with all the properties it funds to ensure that the properties are operated successfully. HOME staff study all properties to determine that they are economically viable. HOME also inspects all properties it funds to ensure that affordable housing is maintained in good condition and that all residents have submitted appropriate paperwork and are correctly placed within their units. Units approved by HOME target (and are affordable to) individuals which on average earn 40 percent of the area median income.

The ESG program is responsible for the creation of new homeless units and the efficient use of existing homeless facilities. Homeless facilities are extremely affordable in that the residents for the most part are not expected to pay for their accommodations. These facilities are also monitored and closed maintained

The HOPWA program assists the placement of persons with HIV/AIDS into affordable housing units.

The CDBG program is focused on both community needs and infrastructure. In addition, the Bear River and Uintah Basin Associations of Governments support a down payment assistance program for low income residents.

The state CDBG program continues to preserve affordable housing units through funding single family housing rehab in four of the seven AOG regions across the state. Low and moderate income (LMI) families depend on the CDBG program to make critical improvements to their homes such as water/sewer lines, roof replacement, ADA modifications, and heating systems. These programs make it possible for many elderly households to stay in their homes. Additionally, in 2015, eight affordable multi-family units will be preserved in the City of Milford through an acquisition/rehab project that has been funded.

These efforts are ongoing and each year HCD works to foster and maintain affordable housing.

Actions planned to reduce lead-based paint hazards

The state of Utah has one of the lowest rates for lead poisoning for children under the age of 6 years, just one percent. Unlike the eastern United States, the housing stock in Utah is relatively new. Most of the housing stock is located in the Wasatch Front region where 76 % of the population resides. These urban, entitlement areas have received federal grants and are served by successful lead hazard reduction programs. The balance of the state that is served by the Utah small cities CDBG program has a small population and limited funding available for such targeted programs. Instead, most lead-based paint hazards are mitigated as a by-product of the single family housing rehab programs operated in four of the rural regions in the state. We encourage partnerships between the Weatherization, CDBG HOME and NHTF programs so that, whenever possible, lead-based paint hazards can be mitigated in the homes that are being rehabilitated. NHTF funds are to be used primarily to create new housing so reducing lead-based paint hazards through the expenditure of NHTF funds will be rare. When such instances do occur they will be treated in the same manner as rehabilitation projects using other state funding such as HOME funding.

Actions planned to reduce the number of poverty-level families

By promoting affordable housing and the rapid rehousing of homeless households, HCD promotes stability and a footing for families to build the stability to earn enough to no longer be impoverished. Also case management and counseling is provided to assist households in this transition.

The small annual CDBG allocation combined with Utah's method of distribution makes it difficult to fund programs designed to reduce the number of poverty level families. By statute, the state's allocation is divided by seven regional organizations and these organizations have the authority and control over which projects will be funded. Since public service type projects are limited to 15% of the annual allocation, job training programs are uncommon. Instead, the priorities for 2017 continue to be community infrastructure improvements and affordable housing. Single family housing rehab programs throughout the state preserve the affordable housing stock in the rural areas and improve the housing conditions for poverty-level families; but do not reduce their numbers.

The creation of affordable housing relieves the housing burden on low income households. By creating affordable housing through the HOME program and NHTF program HCD is allowing poverty level households a level of stability not otherwise possible. This stability ought to allow these households to improve their situation so as to no longer be poverty level families.

Actions planned to develop institutional structure

The Community Development Block Grant (CDBG) method of distribution (MOD) is a decentralized system. Funding is allocated to seven regional organizations that determine the local community

needs. Points are awarded to applications through each organization's rating and ranking system. These systems and processes are reviewed and revised annually to ensure that projects that address the local priorities and make the greatest impact will be funded each year.

The addition of the NHTF in coordination with the HOME program gives HCD an additional tool to address housing needs.

Actions planned to enhance coordination between public and private housing and social service agencies

The State ESG Program is a partner on the Utah State Homelessness Coordinating Committee. The State Homeless Coordinating Committee is committed to coordinating the efforts of public private and social service agencies in addressing homelessness. HCD's efforts have been a valuable contribution towards federal goals to end homelessness. However, equally important, this Committee has pioneered efforts in Utah in showing the value and feasibility of coordination between public private and social service agencies. The Olene Walker housing loan fund also reaches out to both public private and social service agencies in its efforts. HCD works with cities, non-profits, and private developers and contractors in the course of completing its work. Additionally, HCD has toured the state, offering a permanent supportive housing toolkit where communities successful in partnering private/public housing and social services can present their successes in an effort to expand these partnerships.

The CDBG program allocates funding to seven regional organizations. Application workshops are held throughout the state and the 10 housing authorities located in the non-entitlement areas are invited to apply for funding through eligible applicants (cities and counties). Decent, safe, affordable housing is a priority in Utah and CDBG funding is used by the housing authorities to acquire, retain and rehabilitate affordable housing throughout the state. Since CDBG funds cannot be used to construct housing, acquisition projects are often leveraged with HOME funds for new single and multi-family housing projects carried out by private developers. Area social service agencies are also invited to apply and in 2015 CDBG funds will be used to purchase a meals-on-wheels truck for an aging services program.

The NHTF is a new tool used in coordination with the HOME program to create affordable housing. HCD expects many of the applicants for these funds to come from private housing agencies, social service organizations, and local communities. Extensive coordination will take place as these program operate and the new NHTF funds will allow this coordination to go forward to a greater degree than previously.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.320(k)(1,2,3)

Introduction

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.320(k)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	90.00%

HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.320(k)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

OWHLF does not engage in other forms of investment beyond those identified in Section 92.205

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Contracts issued by the Division of Housing and Community Development for projects funded by the Olene Walker Housing Loan Fund Board (using HOME funds and state match including program income) include language that requires adherence to recapture provisions per CFR 92.254 (a) (5). The promissory note for loans also restates the recapture requirement and the affordability period. Under the recapture option selected by HCD, the division will recover all of the HOME assistance or share in net proceeds at the time of the sale by the borrower/grantee. Depending upon each particular project, HCD will apply one of the basic options for recapture:

1. HCD can recapture the balance remaining on the entire amount of the HOME assistance from the borrower/grantee if the property is sold during the HUD affordability period,
2. HCD can elect to reduce the amount of the HOME assistance to be repaid on a pro-rata basis according to the amount of the affordability period the borrower/grantee has owned and occupied the property,
3. HCD and the borrower/grantee can share the net proceeds of the sale of the property based upon the ratio of the HOME assistance provided to the sum of the borrowers/grantee's investment plus the HOME assistance, or
4. HCD may allow the borrower/grantee to recover his/her entire investment before any of the HOME assistance is repaid to the HCD from the remaining net proceeds of the sale of the property.

In most cases, HCD will apply option #1 above. There are no restrictions on the price of the property or an income requirement of the buyer. Upon recapture, the affordability period is terminated. HCD will identify the returned funds as program income and use the returned funds for other HOME-eligible activities.

In cases of foreclosure, HCD will recapture the amount from net proceeds available from the sale rather than the entire amount of the HOME investment. If there are no net proceeds from the foreclosure, repayment to the HOME account is not required and HOME affordability requirements are considered satisfied.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Only rarely does HCD apply resale provisions. Resale option is typically used in areas where it is difficult to obtain affordable housing such as areas with high home prices, rapidly appreciating housing costs, shortage of affordable homes and no land available. In this case, the property must remain affordable for the length of the HUD designated affordability period. If the original borrower/grantee sells the property, it must be sold to a buyer with an AMI between 65%-80%. Depending upon each particular project, HCD will ensure that the resale price must provide the original borrower with a "fair return on investment" which includes any initial investment by the borrower as well as any capital investment. The fair return will be based on the percentage change in the Consumer Price Index over the period of ownership. In a declining market, a loss of investment may constitute a "fair return on investment". Capital investments must increase the value of the home, prolong the life of the home, adapt it to new uses and last longer than one year. Capital improvements may include, but are not limited to the following: new roof, additions to the home, kitchen or bathroom modernization, landscaping, fence.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The state does not use federal funds to refinance multi-family housing projects.

Emergency Solutions Grant (ESG)
Reference 24 CFR 91.320(k)(3)

1. Include written standards for providing ESG assistance (may include as attachment)

This will be included as an attachment.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system. Below are statements made by each of the three CoC's in their most recent CoC Application regarding their centralized or coordinated assessments:

Balance of State:

The State's three CoCs have contracted for a quick assessment system to be built within HMIS. This quick assessment was vetted through the LHCCs by way of the coordinated assessment workgroup. The BoS CoC is also currently experimenting with the VI-SPDAT as an initial assessment tool, which will be used in conjunction with the point in time count as a way of identifying vulnerable and chronically homeless persons that will be placed on a community-based housing wait list.

Specialized service groups are being consulted for ideas to address prioritization for homeless prevention services and participation from agencies that provide services to victims of domestic violence. Each CoC is to develop a specific policy on how its particular system will address the needs of individuals and families who are fleeing, or attempting to flee, domestic violence, dating violence, sexual assault or stalking, but who are seeking shelter or services from non-victim service providers.

Mountainland:

The Mountainland CoC operates the coordinated intake and assessment system through 2-1-1 in partnership with the housing and homeless service providers. A client may present for services at any CoC service provider or contact the coordinated intake and assessment system through United Way 2-1-1. Following the initial standardized assessment (including a quick assessment for emergency services), the system is consulted to give clear direction for accessing appropriate services. Persons are then tracked as they progress toward housing and/or support services. Prioritization is given to certain populations, such as vulnerable chronic homeless persons, DV survivors where housing is an element of their overall safety, and homeless families with children where family unity is essential.

Salt Lake and Tooele:

Salt Lake and Tooele COC has developed a collaborative, written Coordinated Access Plan. Consensus exists for a COC wide, multi-access entry point quick assessment method for any homeless individual or family in need of emergency shelter or service. Our 211 system, service providers, government agencies, etc. publicize all existing access points. Anyone in need has clear direction for accessing appropriate services. After entry into an appropriate emergency service, individuals are tracked as they progress toward housing and/or support interventions. A community wide housing prioritization and placement process has been in place for two years. All homeless families and those individuals prioritized for PSH placements are guided toward this centralized process and placed into one of several housing programs depending on assessment. Standardized assessments include a quick assessment for emergency services and eligibility and enrollment materials for housing placements.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations). The state of Utah does not offer sub-awards.

State ESG funds are allocated through the State's Unified Funding process, which includes allocation recommendations from the State Homeless Coordinating Committee's Allocation Committee and approval by the State Homeless Coordinating Committee (SHCC). The SHCC is chaired by the Lt. Governor and is representative of homeless stakeholders state-wide including the CoC president or

leader from each of the three CoC's. Priorities for funding are presented first to the SHCC's Allocation Committee. Once approved, the priorities are presented to the SHCC for approval. The State Community Services office then issues a press release publicizing the request for proposal and a state-wide training. Any interested parties are invited to attend this training and apply for funding, including nonprofit organizations, community and faith-based organizations. The training covers specific guidance for and presentation of funding priorities and any changes in application process. The conclusion of the training also marks the day the online application will be open. After an appropriate amount of time, the application is closed and SCSO staff begins reviewing the applications and score them based on past performance and strength of application. The SHCC Allocation Committee then schedules hearings if further information is required. A list of recommendations is finally taken to the SHCC for final approval.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

As a state, Utah is not required to consult with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded. However, all state sub-recipients, are required to have homeless or previously homeless individuals on their boards.

5. Describe performance standards for evaluating ESG.

State ESG recipient is consulting with all local CoCs to develop performance standards that will provide a measure to evaluate each ESG service provider's effectiveness including how well the provider succeeded at 1) targeting those who need the assistance most, 2) reducing the number of people living on the streets or emergency shelters, 3) shortening the time people spend homeless, and 4) reducing each program participant's housing barriers or housing stability risks. SCSO recognizes that performance measures will continue to evolve as we become more proficient in administering the program and better able to identify and address the underlying issues of homelessness.