



Arizona  
Department  
of Housing

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# State of Arizona Annual Action Plan

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*Federal FY 2018*

*July 1, 2019 - June 30, 2020*

Date Issued: July 23, 2019 (date of contract with HUD)

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## **Executive Summary**

### **AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)**

#### **Introduction**

The State of Arizona 2019-2020 Annual Action Plan (Action Plan) is a document required by the U.S. Department of Housing and Urban Development (HUD) to be submitted by all jurisdictions that directly receive HUD formula funds. The Arizona Department of Housing (ADOH) developed the five year 2015-2019 Consolidated Plan (Consolidated Plan), of which the Annual Action Plan is the annual update. The Consolidated Plan determines priorities, establishes strategic goals, and allocates resources for the HUD funded programs administered by ADOH, namely: the Community Development Block Grant Program (CDBG); the HOME Investment Partnerships Program (HOME); Housing Opportunities for Persons with AIDS Program (HOPWA); and the Emergency Solutions Grant Program (ESG) administered by the Arizona Department of Economic Security (ADES); and the Housing Trust Fund (HTF).

The Annual Action Plan covers the timeframe from July 1, 2019 to June 30, 2020, a one year period.

The primary focus of the HUD programs is on assisting low-income households. Throughout this document, there are several references to various income levels: 1) extremely low-income households are those earning at or below thirty percent (30%) of the area median income; 2) very low-income households are those earning thirty-one percent (31%) to fifty percent (50%) of the area median income; and 3) low-income households are those earning fifty-one percent (51%) to eighty percent (80%) of the area median income.

ADOH anticipates receiving the following funds in the following amounts: 1) CDBG: \$ 10,108,167; 2) HOME: \$5,607,336; 3) HOPWA: \$349,656; 4) ESG: \$1,835,776; and 5) HTF: \$3,801,428.

#### **Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

#### **Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.



Arizona made progress on its priority needs and objectives. The amounts actually expended from July 1, 2017 through June 30, 2018 are as follows: CDBG: \$10,140,609; HOME: \$3,117,248; ESG: \$6,323,151; and HOPWA: \$204,571.

Approximately \$3.4 million in federal HOME and CDBG funding was committed to preservation and improvement in the form of owner-occupied housing rehabilitation. Approximately \$4.9 million in CDBG funds was committed to public infrastructure projects, as they are the primary focus of rural counties and communities participating in the State and small cities CDBG program through ADOH. Infrastructure improvements including streets, sidewalks, water, wastewater, curbs, gutters, and drainage improvements were funded addressing the Department's priority to promote sustainable and accessible communities. Additionally, approximately \$1.0 million in CDBG funds was committed to community facilities, \$1.1 million to removal of barriers and accessibility and \$438,000 to planning and capacity building.

Capacity deficiencies in some of the smallest rural local governments remain a barrier for economic development projects. ADOH received no economic development project applications for CDBG funds.

The Rental Division of ADOH led the efforts on the development of new rental units with a variety of resources. These include HOME, Low-Income Housing Tax Credits (LIHTC), NSP, and HTF. This will result in 2,019 multi-family rental units. Of those, 1,156 units were new construction and 863 units were preserved through rehabilitation.

The Special Needs Division of ADOH worked to increase the agency capacity of homeless service providers that assist families and individuals in need and worked to prevent homelessness.

HOPWA monies provided \$232,592 in funds for tenant-based rental assistance, leasing assistance, and supportive services for two (2) different agencies in three (3) contracts. ADOH contracted with three (3) organizations to administer the HOPWA programs which serve five (5) of the thirteen (13) non-entitlement counties.

McKinney-Vento monies provided \$ 4,863,700 to 983 households through twenty seven (27) contracts. Most of this money went for rental assistance while less than ten percent (10%) was directed toward supportive services. Five percent (5%) of the budget is for the Homeless Management Information System and \$126,491 is specifically for planning.

### **Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

ADOH held two public hearings at different stages of the Action planning process (August 2018 and April 2019). The public hearings addressed community development and housing needs, development and proposed activities and a review of program performance. ADOH encouraged citizen participation throughout the development of the Action Plan by consulting stakeholders, local and regional governments, holding public meetings and encouraging public comment during the public review period. The following two (2) public meetings informed the plan: 1) Tucson, Arizona: August 23, 2018 at 4:00 p.m., Marriott Starr Pass Resort; 2) Phoenix, Arizona, April 4, 2019 at 10:00 a.m., Arizona Department of Housing, 1110 West Washington Street, Suite 250. Newspaper advertisements, email bulletins and website information were published at least fifteen (15) days prior to the meetings held to receive public input. The draft Action Plan was made available to the public for a thirty (30) day comment period beginning March 22, 2019 and ending April 22, 2019. Among those receiving email notifications were governments, Continuums of Care, businesses, developers, institutions, non-profit organizations and all agencies serving low income, special needs (including persons with disabilities) and minority residents.

### **Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Comments were positive, no disagreements with Method of Distribution.

### **Summary of comments or views not accepted and the reasons for not accepting them**

#### **Summary**

## PR-05 Lead & Responsible Agencies - 91.300(b)

### Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

**Table 1 – Responsible Agencies**

CDBG Administrator	ARIZONA	Kathy Blodgett, CD&R Programs Administrator
HOPWA Administrator	ARIZONA	Karia Basta, Special Needs Administrator
HOME Administrator	ARIZONA	Andrew Rael, Assistant Deputy Director - Programs
ESG Administrator	ARIZONA	Betsy Long, Arizona Department of Economic Security

### Narrative

#### Consolidated Plan Public Contact Information

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 602-771-1010

## **AP-10 Consultation - 91.110, 91.300(b); 91.315(l)**

### **Introduction**

ADOH is involved on a continual basis in numerous collaborations with other State agencies and departments; local, regional, and county governments; housing and social service providers; businesses; and citizens. These collaborations in the form of contractual relationships, planning bodies, work teams, etc., result in a more coordinated and efficient delivery of funding and services for homeless persons and persons with disabilities. Agencies with which ADOH collaborates are often recipients of ADOH funding or share in some respect ADOH's mission to address housing and community development needs for low-income and special needs populations throughout the state.

**Provide a concise summary of the state's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).**

**Provide a concise summary of the state's activities to enhance coordination with local jurisdictions serving Colonias and organizations working within Colonias communities.**

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The Arizona Department of Housing (ADOH) is the lead support agency and the collaborative applicant, as well as the HMIS Lead Agency, providing backup staff to the various committees and work groups of the AZBOSCOC and performing necessary functions such as business and operations management for the AZBOSCOC, HMIS administration, performance monitoring, engagement and education of stakeholders, and submission of the funding applications. The Continuum is responsible for planning and managing homeless assistance resources and services effectively and efficiently. This includes a system of outreach, Coordinated Entry, engagement, and assessment; emergency shelter; rapid rehousing; transitional housing; permanent housing; and prevention strategies to address the various needs of persons who are homeless or at risk of homelessness. The Continuum geographic area includes thirteen (13) counties, which include: Apache, Coconino, Cochise, Gila, Graham, Greenlee, La Paz, Mohave, Navajo, Pinal,

Santa Cruz, Yavapai, and Yuma. The following statewide goals and objectives were adopted and introduced at meetings of all three (3) Continua of Care (COC).

**END CHRONIC HOMELESSNESS:** 1) Move 300 chronically homeless individuals or families into permanent housing each year for the next five years; 2) implemented statewide use of a common assessment tool to prioritize housing placement based on vulnerability; and 3) support, monitor, and assess through coordinated entry the prioritization of those who are chronically homeless as well as other vulnerable homeless persons in Permanent Supported Housing.

**PREVENT and END VETERAN HOMELESSNESS:** 1) Insure all mainstream and community resources identify veteran status and connect veterans to resources; and 2) target and prioritize use of HUD VASH vouchers for chronically homeless veterans. B

**PREVENT AND END HOMELESSNESS FOR FAMILIES, YOUTH, CHILDREN:** 1) Develop common reporting standards for family units versus beds to determine housing needs; 2) adopt common definition of homeless youth; and 3) add 300 units of permanent housing to the housing system each year for the next ten years.

**MEASUREMENT STANDARDS, DATA COLLECTION, ACCURATE REPORTING:** Each year all three Arizona continuums organize a comprehensive statewide street and shelter survey, which is analyzed and compared with previous years and also used to set goals for the future. The three continuums share this data at the Housing Forum and statewide homeless conference each year.; AZBOSCOC has increase data quality to 96.85%.

**HOMELESS PREVENTION SYSTEM:** 1) Expand Coordinated Entry system to become housing options centers with access to all forms of affordable housing, rental assistance, and emergency housing solutions; 2) implement a statewide re-entry process for jail and prison inmate release to prevent release to homelessness starting with a pilot project at one prison. A curriculum is being developed for those about to be released from prison on apartment renting; and 3) expand the number of individuals trained in SOAR in order to obtain social security benefits for eligible individuals.

**Describe consultation with the Continuum(s) of Care that serves the State in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

**Agencies, groups, organizations and others who participated in the process and consultations**

Table 2 – Agencies, groups, organizations who participated

1	<b>Agency/Group/Organization</b>	Arizona Coalition to End Homelessness
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims Health Agency Other government - State Other government - County Other government - Local Regional organization

<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy HOPWA Strategy Market Analysis
<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	ADOH and ADES are members of AZCEH and are sponsors of the AZCEH annual Statewide conference. Additionally ADES and the ADOH Special Needs Program Administrator participate on committees within AZCEH that coordinate and plan the conference. Coordination with AZCEH provides access to all major non-profits, service providers, developers and agencies in Arizona who are working to end homelessness and provide services to persons experiencing homelessness. ADOH and DES membership in ACEH continues to provide education, development of the Statewide Homeless Strategy and identification of the housing and services needs for populations experiencing homelessness in particular veterans, persons with disabilities, victims of domestic violence and unaccompanied youth.

2	<b>Agency/Group/Organization</b>	Arizona Housing Alliance
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Victims of Domestic Violence Services-homeless Services-Health Services-Employment Service-Fair Housing Services - Victims Other government - State Other government - County Other government - Local Regional organization Business Leaders Business and Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis



	<p><b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b></p>	<p>The Arizona Housing Coalition (named changed to Arizona Housing Coalition) is an organization formed to support housing initiatives and programs in the State of Arizona. The Alliance is made up of 151 member entities that consist of Developers; non-Profits; Real Estate Professionals; Property Management Companies; Financial Institutions; Service Providers for persons experiencing homelessness or persons with disabilities; foreclosure and homebuyer counseling agencies; City, Town, and County Governments; Regional Councils of Government; and private citizens who are involved with or interested in furthering efforts to solve housing issues for low to moderate income persons and vulnerable populations such as persons experiencing homelessness, veterans or persons with disabilities. Examples of member agencies include A New Leaf, Arizona Coalition to End Homelessness, Bank of America, Merrill Lynch, Bethel Development, Chicanos Por La Causa, City of Casa Grande, Dohrmann Architects, Foundation for Senior Living, Habitat for Humanity, Housing Authority of Maricopa County, Housing Solutions of Northern Arizona, Native American Connections, etc. ADOH has consulted frequently with AHA especially regarding multi-family housing. AHA has attended consolidated plan and annual action plan hearings as well as the annual ADOH Housing Forum. Consultation with AHA gives ADOH access to a wide cross-section of housing practitioners who help to define the most pressing housing and service needs within Arizona.</p>
3	<b>Agency/Group/Organization</b>	NORTHERN ARIZONA COUNCIL OF GOVERNMENT

<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Service-Fair Housing Other government - County Other government - Local Regional organization
<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Northern Arizona Council of Governments (NACOG) is a partner with ADOH on the delivery of Community Development Block Grant funds in Apache, Coconino, Navajo and Yavapai counties as well as the communities within those counties. NACOG is frequently the project administrator for those counties and communities. NACOG coordinates with ADOH on the method of distribution for the CDBG funds allocated to the region on an annual basis. NACOG is also the responsible agency for the Head Start and Area Agency on Aging programs in northern Arizona. Their input into housing needs assessment, and housing and community development needs is critical to the development of the consolidated plan and annual action plan.

4	<b>Agency/Group/Organization</b>	Central Arizona Association of Governments
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-Education Service-Fair Housing Other government - County Other government - Local Regional organization Planning organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Central Arizona Council of Governments (CAG) is a partner with ADOH on the delivery of Community Development Block Grant funds in Gila and Pinal counties as well as the communities within those counties. CAG is frequently the project administrator for those counties and communities. CAG coordinates with ADOH on the method of distribution for the CDBG funds allocated to the region on an annual basis. CAG is also the responsible agency of the region for Workforce Investment Act, Transportation Planning, GIS data and mapping and is a Federal Economic Development District. Their input into housing need assessment and community development needs is critical to the development of the consolidated plan and annual action plan.

5	<b>Agency/Group/Organization</b>	WESTERN ARIZONA COUNCIL OF GOVERNMENTS
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-homeless Other government - County Other government - Local Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Market Analysis Economic Development

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Western Arizona Council of Governments (WACOG) is a partner with ADOH on the delivery of Community Development Block Grant funds in LaPaz, Mohave and Yuma counties as well as the communities within those counties. WACOG coordinates with ADOH on the method of distribution for the CDBG funds allocated to the region on an annual basis. WACOG is the responsible agency for the Head Start and Area Agency on Aging programs in western Arizona as well as the agency responsible in the region for social services block grant funds. WACOG also provides Housing Counseling services and is a member of the Regional Council on Aging. Their input into housing need assessment, and housing and community development needs is critical to the development of the consolidated plan and annual action plan.
6	<b>Agency/Group/Organization</b>	SouthEastern Arizona Governments Organization (SEAGO)
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Elderly Persons Services-Persons with Disabilities Services-homeless Other government - County Other government - Local Regional organization Planning organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis Economic Development

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	South Eastern Arizona Governments Organization (SEAGO) is a partner with ADOH on the delivery of Community Development Block Grant funds in Cochise, Graham, Greenlee and Santa Cruz counties as well as the communities within those counties. SEAGO is frequently the project administrator for those counties and communities. SEAGO coordinates with ADOH on the method of distribution for the CDBG funds allocated to the region on an annual basis. SEAGO is also the responsible agency for the Area Agency on Aging and Social Services Block Grant programs in southeastern Arizona. SEAGO is also a member of the Transportation Advisory Committee for the region and is a Federal Economic Development District. Their input into housing need assessment, and housing and community development needs is critical to the development of the consolidated plan and annual action plan.
7	<b>Agency/Group/Organization</b>	Arizona Home Foreclosure Prevention Funding Corporation (AHFPFC)
	<b>Agency/Group/Organization Type</b>	Housing Services-Persons with HIV/AIDS Grantee Department
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Arizona Home Foreclosure Prevention Funding Corporation (AHPFC) offers assistance to homeowners facing foreclosure in Arizona's Hardest Hit Markets. AHPFC provides assistance in the form of Mortgage Modification, Principal Forbearance, Mortgage Payment Relief and Second Lien Elimination. AHPFC makes constant programmatic adjustments in order to remain relevant to current market conditions and the mitigation needs of those homeowners facing foreclosure. AHPFC input into the consolidated planning process provides key information and data on current housing market conditions.
8	<b>Agency/Group/Organization</b>	Arizona Department of Economic Security
	<b>Agency/Group/Organization Type</b>	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Arizona Department of Economic Security (ADES) is the State agency responsible for administering federal Emergency Solutions Grant funding. Data collected from ADES includes critical information on the number of persons in Arizona receiving emergency homeless services to prevent homelessness or to rapidly re-house individuals and families experiencing homelessness. ADOH consults with ADES on the ESG program several times annually to prepare either for the annual Action Plan or the CAPER.
9	<b>Agency/Group/Organization</b>	ARIZONA DEPT OF HOUSING /ARIZONA HOUSING FINANCE AUTHORITY
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Arizona Finance Authority (AzFA) is the mortgage bond authority for the thirteen (13) rural counties of the State. ADOH consults with the AzFA continuously throughout the year through data sharing to understand the homebuyer market and needs in the rural parts of our State.



10	<b>Agency/Group/Organization</b>	Balance of State Continuum of Care (BoSCoC)
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy HOPWA Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	ADOH serves as the Collaborative Applicant and Homeless Management Information System (HMIS) lead agency for the Continuum of Care for the thirteen (13) non-metro counties in the State. A Continuum of Care is a community planning process to organize and deliver housing and services to meet the specific needs of people experiencing homelessness as they move to stable housing and maximum self-sufficiency. This process brings together local governments, community businesses, faith-based organizations, non-profits, current and/or formerly homeless persons to develop local solutions to end homelessness.
11	<b>Agency/Group/Organization</b>	Arizona Division of Behavioral Health Services (AzDBHS)
	<b>Agency/Group/Organization Type</b>	Housing Services-homeless Services-Health Health Agency Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs HOPWA Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Arizona Division of Behavioral Health Services (AzDBHS) is the ADOH connection to behavioral health service providers who become part of the BOSCO. Consultation provides critical information on the housing and service needs of behavioral health clients who are either non-homeless or experiencing homelessness.
12	<b>Agency/Group/Organization</b>	MARICOPA COUNTY
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	ADOH partners with Maricopa County to fund the development or rehabilitation of multifamily affordable housing through the Low Income Housing Tax Credit and HOME programs. DES ESG funds are used within the county to support Emergency Shelter, Rapid Re-housing, Outreach and HMIS. Finally, ADOH is the entity that provides Maricopa County with the Certification of Consistency with the Consolidated Plan.
13	<b>Agency/Group/Organization</b>	Pima County Community Development & Neighborhood Conservation Department
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	ADOH partners with Pima County to fund the development or rehabilitation of multi-family affordable housing through the Low Income Housing Tax Credit and HOME programs. DES ESG funds are used within the county to support Emergency Shelter, Rapid Re-housing and Outreach. Finally, ADOH is the entity that provides Pima County with the Certification of Consistency with the Consolidated Plan.
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### Identify any Agency Types not consulted and provide rationale for not consulting

ADOH, through consultation with the Arizona Housing Coalition, rural Councils of Government, Arizona Division of Behavioral Health Services, Arizona Housing Finance Authority, Arizona Home Foreclosure Prevention Funding Corporation, Balance of State Continuum of Care, Maricopa County, Pima County and the Arizona Department of Economic Security is confident that all agency types have been given the opportunity to assist with determination of needs for the State.

### Other local/regional/state/federal planning efforts considered when preparing the Plan

Table 3 – Other local / regional / federal planning efforts

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care		

### Narrative

**AP-12 Participation - 91.115, 91.300(c)**

**Summary of citizen participation process/Efforts made to broaden citizen participation**  
**Summarize citizen participation process and how it impacted goal-setting**

**Summary citizen participation process and efforts made to broaden citizen participation in Colonias**

Arizona has sixty-three (63) designated Colonias existing in the southern counties of Cochise, Gila, Graham, Greenlee, La Paz, Pima, Santa Cruz, and Yuma as well as on the Cocopah, and Tohono O'odham Indian Reservations. The State, in consultation with the University of Arizona Drachman Institute, developed a Colonia housing assessment guide and water/sewer/housing assessment questionnaire that is completed by Colonias communities and used to determine the eligibility and needs of the Colonias. Most of the State CDBG eligible Colonias communities partner with their corresponding city, town or county governments to apply for funding through the State set aside program. Additionally, the State of Arizona has a partnership with the regional Councils of Governments (COGs) in Arizona to provide both technical and administrative assistance to these CDBG eligible grantee communities. Finally, the State is a participating member of the Rural Water Infrastructure Committee (RWIC) where other state agencies, non-profit and for profit entities come together to discuss current water and wastewater projects or technical assistance needs for rural communities including Colonias. The State sets aside ten percent (10%) of its CDBG funds annually for infrastructure and housing activities within Colonias and holds a competitive application round bi-annually to facilitate the ability to award funding in an amount sufficient for the Colonias to address their water, sewer or housing need in its entirety.

## Citizen Participation Outreach

Table 4 – Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>Meeting was held in Tucson, Arizona: August 23, 2018 at 4:00 p.m., Marriott Starr Pass. There were 17 in attendance representing local government, private business, the development community and non-profits.</p>	<p>Comments pertained to the CDBG non-profit capacity building.</p>	<p>All comments were accepted.</p>	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>Meeting was held in Phoenix, Arizona, April 4, 2019 at 10:00 a.m., Arizona Department of Housing, 1110 West Washington Street, Suite 250. There were 11 in attendance representing local government, private business, the development community and non-profits.</p>			



## Expected Resources

### AP-15 Expected Resources – 91.320(c)(1,2)

#### Introduction

ADOH anticipates receiving the following funds in the following amounts: 1) CDBG: \$ 10,108,167; 2) HOME: \$5,607,336; 3) HOPWA: \$349,656; 4) ESG: \$1,835,776; and 5) HTF: \$3,801,428.

#### Anticipated Resources

Table 5 - Expected Resources – Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	<ul style="list-style-type: none"> <li>• Acquisition</li> <li>• Admin and Planning</li> <li>• Economic Development</li> <li>• Housing</li> <li>• Public Improvements</li> <li>• Public Services</li> </ul>	10,108,167	0	2,547,595	12,655,762	10,108,167	State of Arizona CDBG State and Small Cities Program

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan	Narrative Description
			Annual Allocation:	Program Income:	Prior Year Resources:	Total:		
			\$	\$	\$	\$	\$	
CDBG Colonias Set-aside	public - federal	<ul style="list-style-type: none"> <li>• Admin and Planning</li> <li>• Homeowner rehab</li> <li>• Multifamily rental rehab</li> <li>• Public Improvements</li> </ul>	1,174,349	0	0	1,174,349	1,107,656	CDBG Colonia funds will be distributed to Arizona designated Colonias on a competitive basis.
HOME	public - federal	<ul style="list-style-type: none"> <li>• Acquisition</li> <li>• Homebuyer assistance</li> <li>• Homeowner rehab</li> <li>• Multifamily rental new construction</li> <li>• Multifamily rental rehab</li> <li>• New construction for ownership</li> <li>• TBRA</li> </ul>	5,607,336	871,379	3,268,392	9,747,107	5,607,336	State of Arizona HOME. Arizona will invest HOME funds into affordable rental housing and single-family housing rehabilitation.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	<ul style="list-style-type: none"> <li>• Permanent housing in facilities</li> <li>• Permanent housing placement</li> <li>• Short term or transitional housing facilities</li> <li>• STRMU</li> <li>• Supportive services</li> <li>• TBRA</li> </ul>	349,656	0	46,336	395,992	349,656	State of Arizona HOPWA

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan	Narrative Description
			Annual Allocation:	Program Income:	Prior Year Resources:	Total:		
			\$	\$	\$	\$	\$	
ESG	public - federal	<ul style="list-style-type: none"> <li>• Conversion and rehab for transitional housing</li> <li>• Financial Assistance</li> <li>• Overnight shelter</li> <li>• Rapid re-housing (rental assistance)</li> <li>• Rental Assistance Services</li> <li>• Transitional housing</li> </ul>	1,835,776	0	0	1,835,776	1,835,776	State of Arizona ESG

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan	Narrative Description
			Annual Allocation:	Program Income:	Prior Year Resources:	Total:		
			\$	\$	\$	\$	\$	
HTF	public - federal	<ul style="list-style-type: none"> <li>• Acquisition</li> <li>• Admin and Planning</li> <li>• Homebuyer assistance</li> <li>• Multifamily rental new construction</li> <li>• Multifamily rental rehab</li> <li>• New construction for ownership</li> </ul>	3,801,428	0	3,845,917	7,647,345	3,801,428	ADOH will distribute HTF throughout Arizona on a competitive basis in accordance with Housing Trust Fund Interim Rule (24 CFR Parts 91 & 93) Eligible Activities for HTF are the production, preservation and rehabilitation of affordable rental housing developments in which all or a portion of the rental units are set-aside for individuals and families with extremely low income (30% of the HUD Area Median Income).

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan	Narrative Description
			Annual Allocation:	Program Income:	Prior Year Resources:	Total:		
			\$	\$	\$	\$	\$	
Other	public - federal	<ul style="list-style-type: none"> <li>• Acquisition</li> <li>• Admin and Planning</li> <li>• Homeowner rehab</li> <li>• Multifamily rental new construction</li> <li>• Multifamily rental rehab</li> <li>• Permanent housing placement</li> </ul>	0	0	0	0	0	
Other	public - federal	<ul style="list-style-type: none"> <li>• Acquisition</li> <li>• Homebuyer assistance</li> <li>• Multifamily rental new construction</li> <li>• Multifamily rental rehab</li> </ul>	3,127,107	0	0	3,127,107	3,025,874	ADOH will distribute NSP Program Income throughout Arizona on a competitive basis in accordance with the Unified NSP1 and NSP2 Notice - October 19, 2010.

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

The NSP 1 Program Income leverages mortgage financing as well as construction financing, local government contributions and private equity. The LIHTC/HOME Program leverages construction financing, local government contributions, Federal Home Loan Bank-AHP and private equity. The CDBG program leverages local government contributions. Arizona Housing Trust Fund will provide the twenty-five percent (25%) HOME match requirement and the administrative funds for ADOH's Continuum of Care funds. HTF will leverage private financing and other soft financing.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

The State has no land holdings that will be used to address the needs in this plan.

**Discussion**

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives – 91.320(c)(3)&(e)

#### Goals Summary Information

Table 6 – Goals Summary

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Improve quality of housing stock	2015	2019	<ul style="list-style-type: none"> <li>Affordable Housing</li> <li>Public Housing</li> </ul>	State of Arizona	<ul style="list-style-type: none"> <li>Accessibility for Disabled Persons</li> <li>Owner Occupied Housing Rehabilitation</li> <li>Rental Housing Development and Rehabilitation</li> </ul>	<b>CDBG:</b> \$2,566,948 <b>HOME:</b> \$2,594,844 <b>CDBG Colonias Set-aside:</b> \$800,000	Rental units rehabilitated: 12 Household Housing Unit Homeowner Housing Rehabilitated: 154 Household Housing Unit
2	Construction and Rehabilitation of Rental Units	2015	2019	<ul style="list-style-type: none"> <li>Affordable Housing</li> <li>Public Housing</li> <li>Homeless</li> <li>Non-Homeless</li> <li>Special Needs</li> </ul>	State of Arizona	<ul style="list-style-type: none"> <li>Accessibility for Disabled Persons</li> <li>Rental Housing Development and Rehabilitation</li> <li>Permanent Housing for Chronically Homeless</li> </ul>	<b>HOME:</b> \$12,601,038 <b>HTF:</b> \$4,483,529 <b>NSP 1 Program Income:</b> \$1,528,960	Rental units constructed: 1904 Household Housing Unit Rental units rehabilitated: 863 Household Housing Unit Housing for Homeless added: 140 Household Housing Unit



Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Clearance and Demolition of Substandard Units	2015	2019	<ul style="list-style-type: none"> <li>• Affordable Housing</li> <li>• Non-Housing Community Development</li> </ul>	State of Arizona	<ul style="list-style-type: none"> <li>• Rental Housing Development and Rehabilitation</li> <li>• Permanent Housing for Chronically Homeless</li> </ul>	<b>CDBG:</b> \$0 <b>HOME:</b> \$258,000	Buildings Demolished: two (2) Buildings
4	Public Services for LMI	2015	2019	<ul style="list-style-type: none"> <li>• Non-Housing Community Development</li> </ul>	State of Arizona	<ul style="list-style-type: none"> <li>• Public Services</li> </ul>	<b>CDBG:</b> \$382,409	Public service activities other than Low/Moderate Income Housing Benefit: 520 Persons Assisted
5	Provide Public Infrastructure and Facilities	2015	2019	<ul style="list-style-type: none"> <li>• Non-Housing Community Development</li> </ul>	State of Arizona	<ul style="list-style-type: none"> <li>• Public Facilities and Improvements</li> </ul>	<b>CDBG:</b> \$4,777,401	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 21729 Persons Assisted
6	Housing and Services for Persons with HIV/AIDS	2015	2019	<ul style="list-style-type: none"> <li>• Affordable Housing</li> <li>• Non-Homeless Special Needs</li> </ul>	State of Arizona	<ul style="list-style-type: none"> <li>• Rental Assistance</li> </ul>	<b>HOPWA:</b> \$349,656	<p>Housing for People with HIV/AIDS added: 92 Household Housing Unit</p> <p>HIV/AIDS Housing Operations: 5 Household Housing Unit</p>

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
7	Permanent Housing for Chronically Homeless	2016	2019	• Affordable Housing Homeless	State of Arizona	<ul style="list-style-type: none"> <li>• Emergency Services</li> <li>• Rental Assistance</li> <li>• Rental Housing Development and Rehabilitation</li> <li>• Permanent Housing for Chronically Homeless</li> </ul>	<b>ESG:</b> \$2,853,666	Tenant-based rental assistance / Rapid Rehousing: 1656 Households Assisted Homeless Person Overnight Shelter: 9629 Persons Assisted
8	Further Fair Housing and Address Impediments	2015	2019	• Affordable Housing	State of Arizona	• Further Fair Housing	<b>CDBG:</b> \$20,826	Public service activities other than Low/Moderate Income Housing Benefit: 4857 Persons Assisted
9	Improve the Economic Environment	2015	2019	• Non-Housing Community Development	State of Arizona	• Economic Development and Section 108	<b>CDBG:</b> \$90,000	Public service activities other than Low/Moderate Income Housing Benefit: 65 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
10	Assist New Homebuyers	2015	2019	<ul style="list-style-type: none"> <li>Affordable Housing</li> </ul>	State of Arizona	<ul style="list-style-type: none"> <li>Homebuyer Assistance</li> </ul>	<b>Pathway to Purchase Program:</b> \$52,984,757	Direct Financial Assistance to Homebuyers: 6866 Households Assisted
12	Housing for persons with special needs	2015	2019	<ul style="list-style-type: none"> <li>Affordable Housing</li> <li>Homeless</li> <li>Non-Homeless Special Needs</li> </ul>	State of Arizona	<ul style="list-style-type: none"> <li>Accessibility for Disabled Persons</li> <li>Emergency Services</li> <li>Rental Assistance</li> <li>Rental Housing Development and Rehabilitation</li> <li>Permanent Housing for Chronically Homeless</li> </ul>	<b>CDBG:</b> \$221,354 <b>HOPWA:</b> \$349,656 <b>HOME:</b> \$2,345,676 <b>ESG:</b> \$925,286	Rental units constructed: 23 Household Housing Unit Tenant-based rental assistance / Rapid Rehousing: 241 Households Assisted Homeless Person Overnight Shelter: 9629 Persons Assisted Homelessness Prevention: 58 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
13	Provide ADA improvements for housing	2015	2019	<ul style="list-style-type: none"> <li>Affordable Housing</li> <li>Non-Housing Community Development</li> </ul>	State of Arizona	<ul style="list-style-type: none"> <li>Accessibility for Disabled Persons</li> <li>Owner Occupied Housing Rehabilitation</li> <li>Public Facilities and Improvements</li> <li>Rental Housing Development and Rehabilitation</li> </ul>	<b>CDBG:</b> \$1,923,345 <b>HOME:</b> \$1,530,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 12519 Persons Assisted  Rental units rehabilitated: 5 Household Housing Unit Homeowner Housing  Rehabilitated: 10 Household Housing Unit

### Goal Descriptions

Table 7 – Goal Descriptions

1	Goal Name	Improve quality of housing stock
	Goal Description	The poor quality of the housing stock is generally identified as one of Arizona's largest housing problems. By improving the quality of the housing stock through rehabilitation of existing single family and multi-family units, the community sustains its affordable housing stock while allowing the current residents to be able to afford their homes.
2	Goal Name	Construction and Rehabilitation of Rental Units
	Goal Description	This goal will aid individuals and families with finding affordable housing through the construction and rehabilitation of affordable rental units. HOME and HTF funding will be used for this purpose.

3	<b>Goal Name</b>	Clearance and Demolition of Substandard Units
	<b>Goal Description</b>	Provide the necessary assistance for local governments to clear and demolish substandard buildings alleviating hazards to the health and safety of its residents.
4	<b>Goal Name</b>	Public Services for LMI
	<b>Goal Description</b>	This goal is to assist individuals and families in obtaining the necessary public services to improve their quality of life.
5	<b>Goal Name</b>	Provide Public Infrastructure and Facilities
	<b>Goal Description</b>	Assist local governments with providing and upgrading public facilities including community buildings, parks, water and drainage infrastructure, streets, sidewalks, lighting, water treatment facilities and energy infrastructure.
6	<b>Goal Name</b>	Housing and Services for Persons with HIV/AIDS
	<b>Goal Description</b>	Provide the necessary rental assistance and services for persons suffering with HIV/AIDS.
7	<b>Goal Name</b>	Permanent Housing for Chronically Homeless
	<b>Goal Description</b>	Through coordination with the Continuum of Care, ADOH will address the housing needs of the homeless persons and provide the necessary supportive services to help them attain stability.
8	<b>Goal Name</b>	Further Fair Housing and Address Impediments
	<b>Goal Description</b>	This goal encourages sub-recipients to further fair housing in their communities and address the impediments that may be hindering housing choice for the protected classes.
9	<b>Goal Name</b>	Improve the Economic Environment
	<b>Goal Description</b>	Develop economic opportunities to improve the economic environment for the State of Arizona especially the rural areas.

10	<b>Goal Name</b>	Assist New Homebuyers
	<b>Goal Description</b>	Assist families and individuals with the financial and educational resources to become homebuyers through homebuyer counselling classes, low interest mortgage bond financing and down payment assistance provided by the Arizona Housing Finance Authority (AzHFA) HOME Plus Program and the Hardest Hit Fund Pathway to Purchase Program.
12	<b>Goal Name</b>	Housing for persons with special needs
	<b>Goal Description</b>	Increase the number of housing units that accommodate the following populations: homeless individuals and families, elderly (62 and over), persons with sensory or physical disabilities, persons with developmental disabilities, veterans, victims of violence, persons recovering from substance abuse and seriously mentally ill.
13	<b>Goal Name</b>	Provide ADA improvements for housing
	<b>Goal Description</b>	Provide ADA improvements for housing and public facilities to ensure accessibility to persons with physical and sensory impairments.

## AP-25 Allocation Priorities – 91.320(d)

### Introduction

The State determines allocation priorities through analysis of need, demand, historical funding data and through consultation with public and private partners, units of local government, service providers, for profit and non-profit entities. Funding allocation priorities are shown in the table below.

### Funding Allocation Priorities

**Table 8 – Funding Allocation Priorities**

	Improve quality of housing stock (%)	Construction and Rehabilitation of Rental Units (%)	Clearance and Demolition of Substandard Units (%)	Public Services for LMI (%)	Provide Public Infrastructure and Facilities (%)	Housing and Services for Persons with HIV/AIDS (%)	Permanent Housing for Chronically Homeless (%)	Further Fair Housing and Address Impediments (%)	Improve the Economic Environment (%)	Assist New Homebuyers (%)	Housing for persons with special needs (%)	Provide ADA improvements for housing (%)	Colonias Set-Aside (%)	Total (%)
CDBG	21	1	2	3	54	0	0	1	1	0	5	1	10	99
CDBG Colonias Set-aside	7	0	0	0	93	0	0	0	0	0	0	0	0	100
HOME	45	55	0	0	0	0	0	0	0	0	0	0	0	100
HOPWA	0	0	0	0	0	100	0	0	0	0	0	0	0	100
ESG	0	0	0	0	0	0	55	0	0	0	45	0	0	100
HTF	0	100	0	0	0	0	0	0	0	0	0	0	0	100
Other N	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other NSP 1 Program Income	0	100	0	0	0	0	0	0	0	0	0	0	0	100

### Reason for Allocation Priorities

The State determines allocation priorities through analysis of historical project funding data; Consolidated Annual Performance Evaluation Reports (CAPER); public meetings held

and public comment received during the development of this plan.

**How will the proposed distribution of funds will address the priority needs and specific objectives described in the Consolidated Plan?**



## AP-30 Methods of Distribution – 91.320(d)&(k)

### Introduction

The method of distribution for the State takes into consideration the number of rurally located communities as well as the metropolitan areas which have the highest density populations. To reach all of these areas the State awards funds to sub-recipients who administer the projects and programs. The method of distribution for each funding source, as well as the award selection process is described below. ADOH anticipates receiving the following funds in the following amounts: 1) CDBG: \$ 10,108,167; 2) HOME: \$5,607,336; 3) HOPWA: \$349,656; 4) ESG: \$1,835,776; and 5) HTF: \$3,801,428.

### Distribution Methods

**Table 9 - Distribution Methods by State Program**

1	<b>State Program Name:</b>	Arizona Department of Housing
	<b>Funding Sources:</b>	
	<b>Describe the state program addressed by the Method of Distribution.</b>	This section left blank, IDIS will not allow it to be deleted. See funding sources below.
	<b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b>	

	If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)	
	Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)	
	Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)	
	Describe how resources will be allocated among funding categories.	
	Describe threshold factors and grant size limits.	
	What are the outcome measures expected as a result of the method of distribution?	
2	State Program Name:	CDBG
	Funding Sources:	CDBG

<b>Describe the state program addressed by the Method of Distribution.</b>	CDBG Eligible activities are found in 24 CFR Part 570.482, as amended and typically involve construction and rehabilitation of public facilities (parks, streets, water, sewer, community facilities) as well as public services like job training. ADOH plans to utilize no less than seventy percent (70%) of the CDBG funds for activities that directly benefit persons of low- and moderate-income and no more than thirty percent (30%) toward addressing community blight or other urgent need activities. Those activities listed in SP-25 of this document that have received a priority ranking of high are eligible for funding under this Action Plan.
<b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b>	Applicants must propose projects that are financially viable, necessary and feasible, ready to start, conform to compliance standards, meet a national objective and result in benefit. Projects must adhere to contracted timeliness parameters for both progress and expenditures. Projects must comply with the priorities communicated through this Annual Action Plan. Benefit to low-income persons, preventing or eliminating slum or blight or meeting other urgent community needs must be measurable by the end of the approved contract timeframe.
<b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b>	All application materials are available from the ADOH website. Additionally, the following handbooks are available: CDBG Application Handbook; CDBG Administration Handbook; Environmental Review Handbook and CDBG Procurement Handbook.

<b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b>	Not applicable to this program.
<b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b>	Not applicable to this program.

<p><b>Describe how resources will be allocated among funding categories.</b></p>	<p>The State expects to retain one percent (1%) set aside for technical assistance and two percent (2%) plus \$100,000 for State administration. ADOH will provide the two percent (2%) required match in non-federal matching funds. HUD mandates the State expend at least ten percent (10%) of its CDBG project allocation in areas designated as Colonias. Eighty-five percent (85%) of the remaining amount of CDBG funds after allocations to administration, technical assistance and the Colonia set aside will be distributed into the Regional Account (RA). The RA is divided into four (4) sub-accounts, one (1) for each of the non-metropolitan Council of Governments (COG) areas. To determine the amount of CDBG funding allocated to each COG's sub account, the State uses a formula based on population and persons in poverty. Regional Account allocations are likely to be approximately as follows. Budgets will be proportionally increased or decreased from the estimated funding levels to match actual allocation amounts: CAG \$1,371,334; NACOG \$2,158,613; SEAGO \$1,207,816; and WACOG \$2,652,226. Each COG region must adopt a Method of Distribution (MOD) and submit it to ADOH for approval. The MOD contains a multi-year schedule indicating how CDBG allocations are to be distributed such that all eligible communities within each respective COG region will receive funding. This will allow each community to plan its CDBG projects in advance.</p> <p>After the MOD is determined, local governments must complete applications for funding and route them through the COG to ADOH. The COG reviews each application for completeness, priority project selection and assists the applicant with rectifying any application deficiencies. The COGs have no discretion to approve or reject applications and must</p>
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	<p>forward all applications to the State. Only those communities and counties identified as eligible to receive funding in the current year MOD may submit an application.</p> <p>All applications for the Regional Account are due to ADOH by 4:00 P.M. on the following dates: NACOG- June 1; WACOG - July; SEAGO - August 1; and CAG - September 1.</p> <p>Fifteen percent (15%) of the remaining amount of CDBG funds, after allocations to administration, technical assistance and the Colonia Set Aside will be distributed into the competitive State Special Projects Account (SSP). All communities eligible to receive funding from the State CDBG program are eligible to apply for SSP funding. Eligible categories are as follows: 1) Public facilities and improvements; 2) Community/supportive housing facilities; 3) Housing; 4) Economic development and 5) Neighborhood revitalization and redevelopment. Applications submitted must propose all activities pursuant to 24 CFR 570 that are ready to begin implementation immediately with environmental review requirements completed, Release of Funds issued, land control secured, planning, design and permitting complete and all other related upfront issues resolved. Projects will be selected for SSP funding through a competitive process in which applications are scored by a rating and ranking system that includes project priority, project need, percentage of low/mod income persons served, project readiness, leveraged funds and organizational capacity. Projects must receive a minimum score of seventy (70) points to be eligible for an award. The highest scoring applications that have also achieved the minimum score of seventy (70) points will be awarded until SSP funding is exhausted.</p>
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<b>Describe threshold factors and grant size limits.</b>	<p>ADOH applies performance criteria to all communities that submit CDBG funding applications. ADOH accepts one (1) application per community and three (3) applications per county for the RA and one (1) per applicant for the competitive State Special Project round. Applications will be funded only if the following threshold criteria are met on the date the application is received by ADOH: all reporting required by the Department is up to date; all monitoring findings have been cleared; recipient is compliant with all current contracts; recipient has no existing contracts in which project completion reports have not been submitted to ADOH within 60 days of the final draw; recipient has no current contract in which funds have not been drawn for six (6) months. Additional thresholds apply for recipients with current contracts for projects previously awarded and are as follows: Recipients with contracts that have reached their expiration must be one hundred percent (100%) complete with Scope of Work and CDBG funds must be one hundred percent (100%) expended or de-obligated; Recipients with contracts that are three-fourths of the way through their original term must be in compliance with the project schedule of completion and CDBG funds must be fifty percent (50%) expended; Recipients with contracts with contracts that are one-half of the way through their original term must be in compliance with the project schedule of completion and CDBG funds must be thirty percent (30%) expended; and recipients with new contracts that are one-fourth of the way through their original term must be in compliance with the project schedule of completion and must have submitted at least one (1) pay request for reimbursement of CDBG expenditures. However, ADOH reserves the</p>
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		<p>right to limit the number of applications submitted by any one (1) community or county based on past performance.</p> <p>Grant size for Regional Account projects are determined by the formulas used for each COG's approved method of Distribution. Grant size for Colonia set aside projects is determined by 2 years of pooled ten percent (10%) set asides and then through project application budgets and sources and uses. SSP grant size is a maximum \$300,000 per project.</p>
	<b>What are the outcome measures expected as a result of the method of distribution?</b>	Investment of CDBG funds will create suitable living environments, promote sustainability and make housing affordable and accessible. Over the year covered by this plan, ADOH anticipates assisting 370 households and 149,369 persons through investment of CDBG funds.
3	<b>State Program Name:</b>	CDBG Colonias Set Aside
	<b>Funding Sources:</b>	CDBG Colonias Set-aside
	<b>Describe the state program addressed by the Method of Distribution.</b>	State of Arizona CDBG Colonias Set Aside. HUD mandates the State expend at least ten percent (10%) of its CDBG project allocation in the areas designated as Colonias. Colonia funding will be distributed through a competitive application process. ADOH will issue a funding notice, once every two (2) years, to solicit projects located in eligible, designated Colonias. Eligible projects include water or sewer infrastructure and substantial housing rehabilitation.



<b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b>	<p>According to Section 916 of the Cranston-Gonzalez Act of 1990, a “Colonia” is any identifiable community that: is located within 150 miles of the border between the United States and Mexico, except within any standard metropolitan statistical area that has a population exceeding 1,000,000; is designated by the State or county in which it is located as a Colonia; is determined to be a Colonia on the basis of objective criteria, including the lack of potable water supply, lack of adequate sewage systems and lack of decent, safe and sanitary housing; and is generally recognized as a Colonia before the enactment of the National Affordable Housing Act of 1990.</p> <p>All cities, towns, counties and tribal reservations that contain either geographically defined neighborhoods or unincorporated communities designated as Colonias and that are eligible to receive CDBG Colonia Set Aside funding through ADOH are eligible to apply for these funds. Maricopa and Pinal Counties, as well as the communities and tribal reservations located within those counties, are excluded from eligibility for Colonias funding. Under this funding, ADOH is able to serve eligible designated Colonia in Pima County, although the county is not eligible to receive other CDBG funds from ADOH. The funding notice will further outline the Colonia Set Aside maximum project funding, application requirements as well as the scoring categories which will be designed to award projects for Colonias demonstrating the greatest need due to severe water, sewer and housing issues. Other scoring categories will include, but are not limited to, poverty level, leveraged funds, project need, project priority, project readiness, past performance and organizational capacity. An application for Colonia Set</p>
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	Aside funds will not affect a jurisdiction's eligibility for other CDBG funding. CDBG Colonia resources for will be combined and will be awarded through one (1) competitive funding round announced through a Notice of Funding Availability (NOFA). Water/sewer infrastructure (delivery and/or treatment systems) and substantial housing rehabilitation activities will be considered eligible Colonia Set Aside projects for areas certified as designated Colonia.
<b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b>	All Colonia Set Aside application requirements and materials will be available on the ADOH website; in the NOFA; and in the CDBG Application Handbook. Additionally, the following handbooks are available: CDBG Administration; Environmental Review and CDBG Procurement.
<b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b>	
<b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b>	Not applicable to this program.
<b>Describe how resources will be allocated among funding categories.</b>	Resources will be allocated based on relative needs based on needs assessment data provided in each application.

	<b>Describe threshold factors and grant size limits.</b>	Thresholds are outlined in the NOFA when released as well as in the CDBG Application Handbook. Grant size is limited to the total amount of available funds listed in the NOFA and is usually two (2) years' worth of CDBG Colonia Set Aside funds at ten percent (10%) per year. This will allow sufficient funding for applicants to address water, sewer or housing related issues in their entirety.
	<b>What are the outcome measures expected as a result of the method of distribution?</b>	Colonia Set Aside funding will provide accessibility/affordability, promote sustainability and create suitable living environments. It is anticipated that Colonia Set Aside Funds will provide assistance to approximately 400 Colonia residents every two (2) years.
4	<b>State Program Name:</b>	ESG
	<b>Funding Sources:</b>	ESG
	<b>Describe the state program addressed by the Method of Distribution.</b>	Emergency Solutions Grant (ESG) funds support Street Outreach Services to homeless persons living on the streets; basic shelter and supportive services for homeless persons in the form of operation costs and direct services for homeless persons living in Temporary Emergency Shelters; funding for Homeless Prevention services to assist individuals and families at risk of losing housing and becoming homeless; and Rapid Re-Housing support for individuals and families who are homeless and need assistance to return to housing and independent living. ESG funds also support HMIS data collection, information management and reporting systems and Administrative services necessary to coordinate grant activities. ESG funding for Outreach Essential Services and Temporary Emergency Shelter will not exceed sixty percent (60%) of the grant amount.

<p><b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b></p>	<p>The method of distribution for ESG funding is determined based on the percent of homeless served and counted as represented in the annual demographics report and the annual Point In Time count submitted to the Homeless Coordination Office each year by each Continuum of Care. The cumulative percent is then set as a target for the percent of total available funding to be awarded in each of the three (3) Continua of Care. Funds are then awarded through contracts with specific providers from each Continuum as long as there are enough providers who qualify for funding based on the RFP selection process. The DES will award contracts through a competitive request for proposal (RFP) process. Proposals are reviewed by a panel comprised of internal staff, Continua of Care and community partners. Proposals are assessed using the rating system published in each RFP. The rating system may include service methodology, experience and expertise, cost, capacity and other factors deemed relevant.</p>
<p><b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b></p>	<p>Not applicable to this program.</p>

<p><b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b></p>	<p>ESG funding is allocated based on the percent of homeless served and counted as represented in the annual demographics report and the annual Point In Time count submitted to the Homeless Coordination Office each year by each Continuum of Care.</p> <p>The DES will award contracts through a competitive request for proposal (RFP) process. Proposals are reviewed by a panel comprised of internal staff, Continua of Care and community partners. Proposals are assessed using the rating system published in each RFP. The rating system may include service methodology, experience, expertise, cost, capacity and other factors deemed relevant.</p> <p>Homeless Prevention is being expanded statewide because according to the HUD System Performance Measures from all Continua in the state, the number of First Time Homeless Persons has increased. Rapid Rehousing and Shelter Services are being expanded to counties which we currently do not serve based on the Point In Time count (PIT) for the Balance of State. Increasing Rapid Rehousing and Shelter for existing Maricopa, Pima and Balance of State contracts based on the Point In Time count (PIT), would alleviate the wait times individuals are experiencing for the Rapid Rehousing Program.</p>
<p><b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b></p>	<p>Not applicable to this program.</p>

<b>Describe how resources will be allocated among funding categories.</b>	ESG funds are administered through the Arizona Department of Economic Security (DES). The DES retains seven and one-half percent (7.5%) of the grant award for administrative costs. After administrative funds retention, the remaining ESG Funds will be allocated as follows: approximately one percent (1%) for Street Outreach; approximately forty percent (40%) for Temporary Emergency Shelter; approximately seven and a half percent (7.5%) for Homelessness Prevention; approximately one percent (1%) for the Homeless Management Information System and approximately forty-three percent (43%) for Rapid Re-Housing.
<b>Describe threshold factors and grant size limits.</b>	Contracts are awarded based on the needs of the service area and available service providers. There are no grant size limits other than the budget proposals included in the RFP response. One aspect of the review process is assessing the number of people that each provider commits to serve and the projected cost per person served reflected in the proposed budget.

<p><b>What are the outcome measures expected as a result of the method of distribution?</b></p>	<p>The State will utilize this grant to increase the availability and accessibility of suitable living environments and housing options by providing a wide range of supportive services to persons experiencing homelessness or at risk of homelessness including Street Outreach Services, Temporary Emergency Shelter, Rapid Re-Housing and Homeless Prevention Services. Approximately 13,000 people are anticipated to be served using ESG funding for Emergency Shelter and Street Outreach Essential Services. Additionally, 1,650 to 1,750 households are expected to be served through Rapid Re-Housing activities.</p> <p>Contracts with subgrantees also call out specific performance measures to be accomplished;</p> <p><b>6.0 Performance Measures</b></p> <p><b>General Performance Measures</b></p> <p>At least ninety eight (98) percent of households that exit the program have data entered with exit destinations in the Case Management file and in HMIS within five (5) days of program exit.</p> <p>Increase HMIS Data Completeness and Data Quality by five (5) percent annually.</p> <p>Enter all data in HMIS within five (5) days.</p> <p><b>Rapid Rehousing Performance Measures</b></p> <p>At least sixty (60) percent of Rapid Rehousing households that exit the program have Positive Exit Destinations. That information is entered into HMIS within five (5) days of program exit.</p>
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		<p>At least seventy five (75) percent of households served through Rapid Rehousing remain in housing for six (6) months after rental subsidy ends.</p> <p>At least seventy-five (75) percent of households served through Rapid Rehousing will increase or maintain their incomes through employment or receipt of benefits.</p> <p><b>Temporary Emergency Shelter Performance Measures</b></p> <p>Increase known exit destinations for individuals in HMIS by five (5) percent annually until known destinations reach sixty (60) percent.</p> <p>At least sixty (60) percent of Temporary Emergency Shelter households exit to Positive Exit Destinations.</p> <p><b>Homeless Prevention Performance Measures</b></p> <p>At least fifty (50) percent of Homeless Prevention households that exit the program have Positive Exit Destinations entered into HMIS.</p> <p>At least fifty (50) percent of Homeless Prevention households maintained their Positive Exit Destinations for two (2) months after rental subsidy ends.</p>
5	<b>State Program Name:</b>	HOME
	<b>Funding Sources:</b>	HOME
	<b>Describe the state program addressed by the Method of Distribution.</b>	The Home Investment Partnership (HOME) program provides funding to State and local governments and non-profit organizations for local programs that support the construction or rehabilitation of rental housing and the rehabilitation of ownership housing.



<b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b>	Competitive applications rounds are announced through a Notice of Funds Available (NOFA) and applications are selected through a competitive process. Competitive criteria include readiness, project team capacity, priority populations served and percentage of leverage funds in the project. Competitive point scoring favors non-entitlement areas outside of Maricopa and Pima Counties.
<b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b>	Not applicable to this program.
<b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b>	Not applicable to this program.
<b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b>	Not applicable to this program.

<b>Describe how resources will be allocated among funding categories.</b>	<p>Budgets will be proportionally increased or decreased from the estimated funding levels to match actual allocation amounts: 1) Rental housing development, including Administration (\$560,734) CHDO set-aside rental development (\$841,100): Affordable Rental Housing Development \$705,502; and 2) Owner-occupied housing rehabilitation (OOHR): \$3,500,000. The State will make available owner-occupied housing rehabilitation funds through a competitive Notification of Funds Availability (NOFA), which will be announced and will include information regarding project eligibility, maximum funding amounts, application requirements, etc. A competitive advantage will exist in each NOFA for areas of the state that do not have access to other HOME funds or CDBG funds. HOME funds set aside for rental development are expected to be utilized as gap financing in projects that are successful in securing a competitive Low-Income Housing Tax Credit (LIHTC) allocation. Any remaining funds may be made available through a competitive process NOFA. If CHDO funds remain available, a NOFA strictly for CHDO projects may be released. Ten percent (10%) will be for ADOH administrative costs. Of the multi-family projects, an amount equal to fifteen percent (15%) of the total HOME allocation will be reserved for CHDO sponsored multi-family development projects.</p>
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<p><b>Describe threshold factors and grant size limits.</b></p>	<p>To be eligible to apply for HOME funds (for any project type) the applicant and all of its team members, with the exception of the applicant's consultant ("Applicant Team") must meet the following performance criteria on all SHF and CDBG contracts on the date the application is received by ADOH: all reporting required by ADOH is up to date; all monitoring findings have been cleared; all fees payable to ADOH are paid; Applicant Team is compliant with all current contracts; Applicant Team is in conformance with all original contract project timelines or has obtained ADOH approvals for revisions or amendments to their project timelines; for all previously funded projects, environmental clearances have been obtained and scope of work has begun; Applicant Team has no existing contracts in which project completion reports and data have not been submitted and accepted by ADOH within sixty (60) days of a final draw; Applicant Team has no current contract in which funds have not been drawn for six (6) months; Applicant Team with contracts in their twenty-fourth (24th) or greater month must be one hundred percent (100%) complete with Scope of Work, Contract Close-out Report received and approved and HOME Funds one hundred percent (100%) expended or de-obligated; Applicant Team has no outstanding or unresolved contractual, property, or beneficiary-related compliance issues; the applicant is an eligible party to apply for funding through ADOH; the activity, project and property type is eligible; SHFs are budgeted for eligible uses; the proposed beneficiaries are eligible; the amount of SHF invested per unit does not exceed the program limits and is at least \$1,000/unit; applicant has certified that all development or rehabilitation will meet, at a minimum, all local building codes and the property is properly zoned for the proposed use and meets</p>
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		<p>local ordinances; the applicant (and all of its team members) is not included on the Federal Excluded Parties List located at: <a href="http://www.sam.gov">www.sam.gov</a>; the Environmental Review requirements pursuant to 24 CFR Part 58, (up to Part III HUD Appendix A for Single Family Owner-Occupied Rehabilitation) are complete and an Authority to use Grant Funds from HUD or ADOH is provided; and all funding sources (loans, grants, fee waivers, land donations, etc.) must be secured with written binding commitments at application and the project must be scheduled to start within ninety (90) days of executing a Funding Agreement with the ADOH.</p> <p>Grant sizes are determined in each NOFA but typically are \$500,000 per multi-family project and \$350,000 per Owner Occupied Housing Rehabilitation Project.</p>
	<b>What are the outcome measures expected as a result of the method of distribution?</b>	The State will use its HOME allocation for program funding to increase the availability/accessibility and affordability of decent housing and provide suitable living environments for low-income households. ADOH anticipates assisting approximately one hundred (100) households at or below eighty percent (80%) AMI with owner occupied housing rehabilitation and approximately sixty-six (66) households at or below 80%AMI with construction or new or rehabilitation of multi-family rental units.
6	<b>State Program Name:</b>	HOPWA
	<b>Funding Sources:</b>	HOPWA

<b>Describe the state program addressed by the Method of Distribution.</b>	<p>Housing Opportunities for Persons with HIV/AIDS (HOPWA) funds provide housing and supportive services for persons with HIV/AIDS. These funds go toward acquisition, rehabilitation or new construction of housing units and may also fund rental assistance, permanent housing placement, facility operations, short-term homelessness prevention, medical and mental health services, chemical dependency treatment, nutritional services, case management and other supportive services.</p> <p>Permanent Housing Placement is a new budget line item to be added to this program.</p>
<b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b>	<p>In the rural (Balance of State) areas of Arizona, there are only three service providers qualified to provide services eligible through the HOPWA Program to persons with HIV/AIDS. These providers are Northland Cares, Southern Arizona AIDs Foundation and Mohave County. It is these three entities that receive HOPWA funds through performance based contracts that stipulate the criteria through which they are selected to provide HOPWA prescribed services. The criteria are as follows: 1) capacity and experience sufficient to deliver eligible services; 2) a Scope of Work agreed upon by ADOH and the provider; and 3) a Schedule of Completion agreed upon by ADOH and the provider. The services provided are Tenant Based Rental Assistance, Short Term Rent, Mortgage and Utility Assistance and Permanent Housing Placement.</p>

<b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b>	Not applicable to this program.
<b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b>	Not applicable to this program.
<b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b>	Contracts will be reviewed annually, with additional proposals solicited as necessary. Project sponsors are selected by ADOH after extensive outreach efforts to local governmental entities, non-profits and grassroots organizations.

	<b>Describe how resources will be allocated among funding categories.</b>	ADOH will allocate HOPWA Funds as follows: Three percent (3%) or will be retained by ADOH to cover administrative related expenses of the program; grantees will be allowed to utilize seven percent (7%) or of the grant money for grantee administration costs. The remaining ninety percent (90%) of the grant award will be spent on rental assistance and direct service provision. ADOH will contract with housing providers to administer the HOPWA program within the thirteen (13) non-entitlement counties. Two-thirds (2/3) of the funding will be spent for housing assistance and permanent housing placement, most for tenant-based subsidies, while the remaining one-third (1/3) will be used for supportive services.
	<b>Describe threshold factors and grant size limits.</b>	Contracts are awarded based on the needs of the service area and available service providers. There are no grant size limits.
	<b>What are the outcome measures expected as a result of the method of distribution?</b>	ADOH will utilize HOPWA funds to increase the availability/accessibility and affordability of suitable living environments and decent housing through organizations that provide planning for housing needs, education, and access to essential community resources.  In the FY2015, approximately fifty (50) people are anticipated to be served using available HOPWA funds.
7	<b>State Program Name:</b>	HTF
	<b>Funding Sources:</b>	N

	<b>Describe the state program addressed by the Method of Distribution.</b>	The National Housing Trust Fund (HTF) is an affordable housing program to increase and preserve the supply affordable housing for extremely low-income (ELI). HTF, established under Title I of the Housing and Economic Recovery Act of 2008, will be distributed through the U.S. Department of Housing and Urban Development (HUD) on a formula basis to the states.
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<p><b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b></p>	<p>HTF will be distributed to Eligible Projects through the 4% and 9% LIHTC and/or NOFA process; the parameters of which are outlined below. Applicants will be required to provide the following information through an application process, including, but not limited to:</p> <ol style="list-style-type: none"> <li>1. A description of the Eligible Activities;</li> <li>2. How the project responds to needs of chronically homeless households;</li> <li>3. How the project responds to the Priority Funding Objectives listed below;</li> <li>4. Description of how HTF-assisted units will be integrated with units that have higher income targeting (if applicable);</li> <li>5. Description of how the project affirmatively furthers fair housing;</li> <li>6. Description of the plan and methods for achieving affordability;</li> <li>7. Description of tenant recruitment and selection process;</li> <li>8. Definition of a successful resident;</li> <li>9. Description of services and subsidies that will be provided to maximize resident success;</li> <li>10. Applicant's credentials to carry out project, including evidence of successful completion and operation of similar projects;</li> <li>11. Applicant formation, licensing and business registration;</li> <li>12. Description of development team;</li> <li>13. Site control;</li> </ol>
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		<p>14. Planning and zoning verification;</p> <p>15. Financial ability to proceed; and</p> <p>16. Market demand.</p>
	<b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b>	Not applicable to this program.
	<b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b>	Not applicable to this program.
	<b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b>	Not applicable to this program.
	<b>Describe how resources will be allocated among funding categories.</b>	“Eligible Activities” for HTF are 10% for ADOH administration and 90% for the construction and rehabilitation of affordable rental housing developments with units set aside for households with extremely low income (thirty percent (30%) of the HUD Area Median Income adjusted for family size with utility costs deducted).

<b>Describe threshold factors and grant size limits.</b>	Grant size limits are based upon the following Arizona Maximum per Unit Development Subsidies are based on the actual costs of constructing Permanent Supportive Housing (efficiencies and one (1) bedroom) in both urban and rural (outside of Maricopa and Pima Counties) Arizona. Balance of State: Efficiency (\$183,030), 1-bedroom (\$202,671), 2-bedroom (\$243,777), 3-bedroom (\$265,525). Maricopa and Pima Counties: Efficiency (\$201,233), 1-bedroom (\$222,938), 2-bedroom (\$268,155), 3-bedroom (\$292,078). Threshold factors are as follows: 1) Eligible Applicants must provide audited financial statements; 2) Units assisted by HTF may not have incomes that exceed thirty percent (30%) of the area median income adjusted by family size; 3) Applicant must provide evidence it has legal control of the property; 4) Evidence must be provided to demonstrate that appropriate utilities (water, sewer, electricity, natural gas) will be available to the project site; 5) The development team must demonstrate the ability to develop, own and operate the property in accordance with the requirements of this Allocation Plan.
<b>What are the outcome measures expected as a result of the method of distribution?</b>	The State will use its HTF funding to increase the availability/accessibility and affordability of decent housing and provide suitable living environments for low-income households with incomes at or below thirty percent (30%) of area median income. It is expected that approximately forty (40) units of permanent supportive housing for chronically homeless individuals can be developed using two years of HTF allocations.
<b>State Program Name:</b>	NSP 1 Program Income

8	<b>Funding Sources:</b>	N
	<b>Describe the state program addressed by the Method of Distribution.</b>	Program Income from investment of Neighborhood Stabilization Program 1 funds (NSP1) will be invested in redevelopment or rehabilitation of eligible multi-family housing projects.
	<b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b>	<p>According to the approved NSP1 Action Plan, the State will either directly select eligible multi-family housing projects or will select through a competitive application process as outlined through a NOFA where all application criteria will be announced. Investment of funds will include at least twenty-five percent (25%) of funding set aside to serve persons at or below fifty percent (50%) of area median income. Competitive criteria include readiness, project team capacity, priority populations served and percentage of leverage funds in project.</p> <p>Applications that meet completeness requirements and minimum thresholds are considered for funding. Applications that meet minimum thresholds are underwritten based on the following criteria as relevant to the proposed activity: 1) market need/demand; 2) management/capacity; 3) budget/financial analysis; 4) program design; and 5) all other financing is secured. Once it is determined that an application meets minimum underwriting standards, the application and underwriting results are forwarded to an ADOH funding review.</p>
	<b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b>	Not applicable to this program.

<b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b>	Not applicable to this program.
<b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b>	Not applicable to this program.
<b>Describe how resources will be allocated among funding categories.</b>	One hundred percent (100%) of any NSP1 Program Income received will be invested in NSP1 eligible multi-family redevelopment or rehabilitation activities.

<p><b>Describe threshold factors and grant size limits.</b></p>	<p>To be eligible to apply for NSP1 Program Income funds the applicant and all of its team members, with the exception of the applicant's consultant ("Applicant Team") must meet the following performance criteria on the date the application is received by ADOH: all reporting required by ADOH is up to date; all monitoring findings have been cleared; all fees payable to ADOH are paid; Applicant Team is compliant with all current contracts; Applicant Team is in conformance with all original contract project timelines or has obtained ADOH approvals for revisions or amendments to their project timelines; for all previously funded projects, environmental clearances have been obtained and scope of work has begun; Applicant Team has no existing contracts in which project completion reports and data have not been submitted and accepted by ADOH within sixty (60) days of a final draw; Applicant Team has no current contract in which funds have not been drawn for six (6) months.</p>
<p><b>What are the outcome measures expected as a result of the method of distribution?</b></p>	<p>The State will use its NSP1 Program Income for program funding to increase the availability/accessibility and affordability of decent housing and provide suitable living environments for low-income households with incomes at or below fifty percent (50%) of area median income. ADOH anticipates assisting approximately fifty-two (52) households.</p>

## Discussion

These methods of distribution will allow the State to address affordable housing, community development and homeless issues throughout its entire jurisdictional service area. CDBG funds are distributed to non-entitlement jurisdictions on a non-competitive that ensures all eligible communities receive funding for community development projects in their respective jurisdictions. HOME funds are distributed statewide on a competitive basis for Rental Development and Housing Rehabilitation with point scoring that slightly favors non-entitlement areas outside of Maricopa and Pima Counties. ESG funds are distributed on a competitive basis targeted to areas with a higher percentage of homeless populations. HOPWA contracts are reviewed annually, with additional proposals solicited as necessary. HOPWA project sponsors are selected by ADOH after extensive outreach efforts to local governmental entities, non-profits and grassroots organizations.

## AP-35 Projects – (Optional)

### Introduction

**Table 10 – Project Information**

#	Project Name

### **Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

Obstacles in addressing underserved needs relate to capacity and the fact that the need in Arizona is greater than the funding available to address it. ADOH works in cooperation with the Councils of Governments to ensure that CDBG funds are allocated to all eligible communities. Application scoring criteria for HOME funds for single-family housing rehabilitation include a performance threshold that effectively rotates available funds in accordance with expenditure performance.

HOME funds for rental development are distributed to competitive funding structures in which the need for the project and the capacity of the developer are considered. HOPWA is allocated to the agencies with the greatest need and capacity to serve this vulnerable population. ESG Program Geographic Priorities: ESG funding is determined based on the percent of homeless served and counted as represented in the annual demographics report and the annual Point in Time count submitted to the Homeless Coordination Office each year by each Continuum of Care. The cumulative percent is then set as a target for the percent of total available funding to be awarded in each of the three (3) Continua of Care. Funds are then awarded through an RFP process and contracts are awarded from each Continuum as long as there are enough providers who qualify for funding based on the RFP selection process.

HOPWA will be used for rental assistance and short-term payments to prevent homelessness for persons with HIV/AIDs.



## **AP-38 Project Summary**

### **Project Summary Information**

## **AP-40 Section 108 Loan Guarantee – 91.320(k)(1)(ii)**

**Will the state help non-entitlement units of general local government to apply for Section 108 loan funds?**

### **Available Grant Amounts**

Section 108 Loan Guarantee grant amounts will be available in accordance with 24 CFR 570, subpart M. There is no minimum grant amount and the maximum grant amount would be determined by the funding requirements as presented in the application not to exceed the amount of available uncommitted Section 108 Loan Guarantee Funds.

### **Acceptance process of applications**

Section 108 is the loan guarantee component of the Community Development Block Grant (CDBG) Program. Section 108 provides communities with a source of financing for economic development, housing rehabilitation, public facilities and large-scale physical development projects. Section 108 loan guarantee applications must meet a CDBG National Objective and the activity must be listed as eligible in accordance with the 24 CFR Part 570 and must meet a public benefit standard in accordance with 24 CFR Part 570.209 (b). Potential applicants must present a project concept to the State with a plan of development including resources, consultants and other entities involved with the development of the project and project application. The State will determine whether to move forward with a Section 108 Loan Guarantee based on the strength of the Section 108 Loan application. The project must have positive cash flow and have assets available as security. Applicants must have two (2) forms of collateral in addition to the Section 108 Loan Guarantee provided by the State. It is recommended that a financial consultant with experience in Section 108 Loans be contracted to ensure the project will meet HUD underwriting and feasibility standards. Applications will be forwarded to HUD on a rolling basis dependent upon the amount of available uncommitted Section 108 Loan Guarantee funds.

**AP-45 Community Revitalization Strategies – 91.320(k)(1)(ii)**

**Will the state allow units of general local government to carry out community revitalization strategies?**

Yes

**State's Process and Criteria for approving local government revitalization strategies**

The State provides detailed Neighborhood Revitalization Strategy (NRS) criteria (including details on how the criteria is met) and forms in its CDBG Application Handbook at Section 4 available for download from the ADOH website. The State will approve NRS based on the degree to which it addresses the following requirements per HUD regulation: 1) Consultation; 2) Boundaries; 3) Rationale; 4) Demographic Criteria; 5) Program Linkages; 6) Economic Conditions; 7) Strategy Assessment; 8) Timeline; and 9) Proposed Performance Measures.

## AP-48 Method of Distribution for Colonias Set-aside – 91.320(d)&(k)

### Introduction

### Distribution Methods

**Table 11 - Distribution Methods by State Program for Colonias Set-aside**

State Program Name	Funding Sources
CDBG	CDBG
CDBG Colonias Set Aside	CDBG Colonias Set-aside
ESG	ESG
HOME	HOME
HOPWA	HOPWA
HTF	N
NSP 1 Program Income	N

### State Programs Addressed

This section left blank, IDIS will not allow it to be deleted. See funding sources below.

### Criteria and their importance

**CDBG only: Access of application manuals**

**ESG only: Process for awarding funds to state recipients**

**HOPWA only: Method of selecting project sponsors**

### Resource Allocation among Funding Categories

### Threshold Factors and Grant Size Limits

### Outcome Measures expected as results of Distribution Method

### Discussion

This method of distribution is designed to allow Colonia to receive sufficient funding to address infrastructure or housing issues to an extent that completely addresses the Colonia's particular issue of greatest need. Benefit will be long lasting and viability of the Colonia improved.

## **AP-50 Geographic Distribution – 91.320(f)**

### **Description of the geographic areas of the state (including areas of low-income and minority concentration) where assistance will be directed**

The State of Arizona provides does not target specific geographic areas for allocating investments for the programs that it administers but allocates each funding sources based on criteria that serves the states priorities, including service to low and very low income populations. ADOH distributes funding to all areas of the state.

CDBG Program Geographic Priorities: CDBG funds are allocated to all non-entitlement Units of General Local Government outside of Pima and Maricopa County and the cities of Casa Grande, Douglas, Flagstaff, Prescott, Sierra Vista and Yuma. After the set aside of ten percent (10%) for Colonias projects and State allowable retention of administration (two percent (2%) plus \$100,000) and technical assistance (one percent (1%)) funding, eighty five Percent (85%) of remaining CDBG funds are distributed on a non-competitive basis through the Regional Account to each Council of Government region using a method of distribution developed by each respective COG and approved by the State. Fifteen percent (15%) of the remaining CDBG funds are distributed on a competitive basis through the State Special Projects Account using a Notice of Funding Available. Each non-entitlement unit of local government determines their individual priority needs through their public participation process. A minimum of seventy percent (70%) of all CDBG expenditures will serve persons at or below eighty percent (80%) of Area Median Income.

HOME funding is allocated statewide. Arizona does target special needs populations and service enriched locations through its competitive point structures used for awarding HOME funds. The State HOME funds are targeted to rental development programs and owner occupied housing rehabilitation programs and allocated on a competitive basis.

HOPWA Program Geographic Priorities: HOPWA funds are allocated based on need to service providers located outside of Cochise, Maricopa and Pima Counties.

ESG Program Geographic Priorities: ESG funding is determined based on the percent of homeless served and counted as represented in the annual demographics report and the annual Point in Time count submitted to the Homeless Coordination Office each year by each Continuum of Care. The cumulative percent is then set as a target for the percent of total available funding to be awarded in each of the three (3) Continua of Care. Funds are then awarded through an RFP process and contracts are awarded from each Continuum as long as there are enough providers

who qualify for funding based on the RFP selection process.

HTF funds are allocated in accordance the following Priority Funding Objectives in a manner consistent with state and federal certifications to affirmatively further fair housing: 1) demand; 2) capacity, experience and past performance of applicant; 3) extent and quality of service to chronically homeless individuals and families; 4) proximity of proposed project to transit and amenities; 5) project readiness; 6) sustainable development; 7) the extent to which the eligible project has rental assistance; 8) the duration of the affordability period; 9) the inclusion of non-federal funding in the eligible project.

### Geographic Distribution

**Table 12 - Geographic Distribution**

Target Area	Percentage of Funds
State of Arizona	100

### Rationale for the priorities for allocating investments geographically

ADOH works in cooperation with the Councils of Governments to ensure that CDBG funds are allocated to all eligible communities. Application scoring criteria for HOME funds for single-family housing rehabilitation include a performance threshold that effectively rotates available funds in accordance with expenditure performance. HOME funds for rental development are distributed to competitive funding structures in which the need for the project and the capacity of the developer are considered. HOPWA is allocated to the agencies with the greatest need and capacity to serve this vulnerable population.

ESG Program Geographic Priorities: ESG funding is determined based on the percent of homeless served and counted as represented in the annual demographics report and the annual Point in Time count submitted to the Homeless Coordination Office each year by each Continuum of Care. The cumulative percent is then set as a target for the percent of total available funding to be awarded in each of the three (3) Continua of Care. Funds are then awarded through an RFP process and contracts are awarded from each Continuum as long as there are enough providers who qualify for funding based on the RFP selection process.

Increasing Rapid Rehousing and Shelter for existing Maricopa and Pima Counties contracts based on the Point In Time count (PIT), would alleviate the wait times individuals are experiencing for the Rapid Rehousing Program.

## Discussion

CDBG funds are distributed to non-entitlement jurisdictions both on a non-competitive basis that ensures all eligible communities receive funding for community development projects in their respective jurisdictions as well as a competitive basis allowing all non-entitlement jurisdictions potential access to additional funding. HOME funds are distributed statewide on a competitive basis for Rental Development and Housing Rehabilitation with point scoring that slightly favors non-entitlement areas outside of Maricopa and Pima Counties. ESG funds are distributed on a competitive basis targeted to areas with a higher percentage of homeless populations. HOPWA contracts are reviewed annually, with additional proposals solicited as necessary. HOPWA project sponsors are selected by ADOH after extensive outreach efforts to local governmental entities, non-profits and grassroots organizations.

Although no specific geographic target priorities are established by the State, funding is allocated in such a way as to address areas of greatest need and the needs determined by communities through their public participation processes.

## Affordable Housing

### AP-55 Affordable Housing – 24 CFR 91.320(g)

#### Introduction

Affordable Housing goals are indicated in the table below for the number of homeless, non-homeless and special needs households, and for the number of affordable housing units that will be provided by program type, including production of new units, rehabilitation of existing units or acquisition of existing units. The objective is to increase the number of housing units that accommodate the following populations: homeless individuals and families, elderly (62 and over), persons with sensory or physical disabilities, persons with developmental disabilities, veterans, victims of violence, persons recovering from substance abuse and seriously mentally ill. The HOME goals include multi-family and single family activities.

**Table 13- One Year Goals for Affordable Housing by Support Requirement**

<b>One Year Goals for the Number of Households to be Supported</b>	
Homeless	2,745
Non-Homeless	2,907
Special-Needs	1,031
Total	6,683

**Table 14 - One Year Goals for Affordable Housing by Support Type**

<b>One Year Goals for the Number of Households Supported Through</b>	
Rental Assistance	2,727
The Production of New Units	2,907
Rehab of Existing Units	994
Acquisition of Existing Units	863
Total	7,491

#### Discussion



## **AP-60 Public Housing - 24 CFR 91.320(j)**

### **Introduction**

The State will from time to time provide support and technical assistance to PHAs upon local request.

### **Actions planned during the next year to address the needs to public housing**

While ADOH and its PHA Division do not own or manage any public housing, the PHA has monitoring and compliance oversight of approximately 114 federally-financed properties (through the competitively awarded Project-Based Contract Administration award from HUD), 186 Section 8 Housing Choice Vouchers, and approximately eighty-six (86) port-in vouchers. The Housing Choice Voucher Program (HCVP) administered by the agency is an extremely small program confined to Yavapai County and one that requires in-kind contributions from the State to administer. ADOH does not collect this information from the public housing authorities that service the non-entitlement regions of the State and has no authority over their programs, service areas or outcomes.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

### **Discussion**

Not applicable to State programs covered by this one (1) year consolidated Action Plan.

## **AP-65 Homeless and Other Special Needs Activities – 91.320(h)**

### **Introduction**

**Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

All three (3) Continua of Care in Arizona have adopted the VI-SPDAT tool to effect a coordinated entry system to determine the needs and prioritize housing interventions and support services using a comprehensive universal assessment tool. For each region the Continuum and service delivery systems, through contracts and MOU's between community partners, utilize outreach teams, first responders, and crisis and medical agencies to reach individuals who are unsheltered. Special initiatives have been adopted through these community partners to respond to current priorities, which include ending veteran homelessness and ending chronic homelessness. The Arizona StandDown Coalition has been formed to share and spread resources to smaller communities for StandDown events to reach homeless veterans throughout the State. Larger initiatives are being led by counties and cities in the metropolitan areas of Phoenix, Mesa and Tucson to focus on ending chronic homelessness.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

During the 2019 Balance of State homeless street count, 2,021 2,187 individuals and members of families were identified as homeless. The unsheltered count went down by 175 persons from 2018. There was a 30% increase in the number of volunteers in 2019, from 240 for the previous two years to 345. This allowed us to cover much more of the geography including small towns than ever before naturally resulting in an increased count. Seventy-four percent (74%) of those found living on the streets were single adults, down from 87% last year, and 529 persons identified as chronically homeless. This is an increase from last year of 36%. Data shows that more beds and services need to be allocated to single adults, chronically homeless, families without children, and youth. While the State of Arizona has adopted an aggressive rapid re-housing approach in order to move homeless individuals and families out of emergency shelters and into permanent housing, the tight rental market continues to challenge service providers as they assist households on their journey to self-sufficiency. State contracts for rapid re-housing emphasize priority targets including chronically homeless, veterans, youth between the ages of eighteen (18) and twenty-four (24), victims of domestic violence, persons exiting an institutional setting, and those exiting a substance abuse program. All three (3) Continua are focusing performance measures on improving length of stay in order to encourage rapid re-housing and

improve bed availability in those programs that provide immediate assistance to those currently living on the streets. A collaborative body of State, county and city governments, as well as service providers, is also exploring alternative "Low Demand" shelter alternatives in Maricopa County.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The State of Arizona follows *Opening Doors*, the federal strategy to prevent and end homelessness as amended in 2015. Arizona's efforts to end homelessness are driven by the goals and objectives listed below. Though previous years have seen a decrease in the unsheltered homeless households, the last two years have seen an increase statewide, predominantly as would be expected in the two urban Continuums. However, ADOH continues to fund Rapid Rehousing in all three Continuums increasing permanent housing options for another 925 households. The entire State (all three Continuums) has adopted a statewide common assessment tool and developed a statewide shared database of permanent supportive housing, affordable housing, and tax credit supported housing options. Through commitment to improve outreach and assessment tools, the State Homeless Coordination office works to effectively end unsheltered homelessness for those people who remain chronically homeless in Arizona within the next five years.

**END CHRONIC HOMELESSNESS:** 1) Arizona is on target at moving 300 chronically homeless individuals or families into permanent housing each year for the next five (5) years; 2) Arizona has adopted and implemented statewide the use of a common assessment tool to prioritize housing placement based on vulnerability; i.e. all VI-SPDAT tools for individuals, families and youth 3) support, monitor, and assess all pilots for centralized intake to prioritize chronically homeless individuals for placement and to evaluate outcomes; and 4) develop a statewide shared database of permanent supportive housing, affordable housing and tax credit supported housing options.

**END VETERAN HOMELESSNESS:** 1) Insure all mainstream and community resources identify veteran status and connect veterans to additional veteran resources; and 2) target and prioritize use of Housing and Urban Development (HUD) VASH housing vouchers towards chronically

homeless veterans.

CONTINUE WORK TO PREVENT AND END HOMELESSNESS FOR FAMILIES, YOUTH, AND CHILDREN: 1) Develop common reporting standards for family units versus beds to determine housing needs; 2) adopt common definition of homeless youth; and 3) add 300 units of permanent supportive housing to the housing system each year for the next ten (10) years.

DEVELOP MEASUREMENT STANDARDS, DATA COLLECTION, AND ACCURATE REPORTING SYSTEMS: 1) Organize a comprehensive statewide street and shelter survey to establish baseline data for future comparisons and research; 2) establish common definitions, methodology, measurement tools, and reporting standards to be compiled into standardized reports by the three (3) Continua of Care; and 3) analyze the Annual Housing Assessment Reports(AHAR) and the HUD System Performance Measure reports to determine if the system changes are resulting in increased households becoming housed and stabilized in their housing.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

The DES Homeless Coordination Office requires all sub-contractors to adhere to program goals and objectives including target populations to be served. Contracts require that at least forty percent (40%) of all rapid re-housing and/or homeless prevention participants shall represent at least one (1) of the priority population criteria: 1) chronically homeless; 2) disabled; 3) experiencing substance abuse issues; 4) being "released from an institution"; 5) being released from a substance abuse facility; 6) aging or aged-out of child foster care; 7) domestic violence survivor; 8) youth eighteen (18) to twenty-four (24) years of age; 9) veterans; or 10) sixty-two (62) years of age or older.

Sub-contractors are also required to adhere to standard performance measures and outcomes, which consist of:

#### **General Performance Measures**

**At least ninety eight (98) percent of households that exit the program have data entered with exit destinations in the Case Management file and in HMIS within five (5) days of program**

exit.

**Increase HMIS Data Completeness and Data Quality by five (5) percent annually.**

**Enter all data in HMIS within five (5) days.**

#### **Rapid Rehousing Performance Measures**

**At least sixty (60) percent of Rapid Rehousing households that exit the program have Positive Exit Destinations. That information is entered into HMIS within five (5) days of program exit.**

**At least seventy five (75) percent of households served through Rapid Rehousing remain in housing for six (6) months after rental subsidy ends.**

**At least seventy-five (75) percent of households served through Rapid Rehousing will increase or maintain their incomes through employment or receipt of benefits.**

#### **Temporary Emergency Shelter Performance Measures**

**Increase known exit destinations for individuals in HMIS by five (5) percent annually until known destinations reach sixty (60) percent.**

**At least sixty (60) percent of Temporary Emergency Shelter households exit to Positive Exit Destinations.**

#### **Homeless Prevention Performance Measures**

**At least fifty (50) percent of Homeless Prevention households that exit the program have Positive Exit Destinations entered into HMIS.**

**At least fifty (50) percent of Homeless Prevention households maintained their Positive Exit Destinations for two (2) months after rental subsidy ends.**

#### **Discussion**

The adoption of the VI-SPDAT tool, ADOH's hiring of a full-time Balance of State Continuum of Care Coordinator, and establishing local coalitions to end homelessness has greatly improved Arizona's adherence to its goals and has greatly improved data collection and reporting standards. Following this plan, Arizona is on track to prevent further veteran homelessness and end chronic homelessness. ADOH, in partnership with DES, is working in concert to plan, improve, and address services and housing for persons experiencing homelessness and those

who are chronically homeless.

**AP-70 HOPWA Goals – 91.320(k)(4)**

<b>One year goals for the number of households to be provided housing through the use of HOPWA for:</b>	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	45
Tenant-based rental assistance	30
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
Total	75

## **AP-75 Barriers to affordable housing – 91.320(i)**

### **Introduction**

ADOH complies with all fair housing and equal opportunity requirements associated with the expenditure of all formula funds including HTF. In addition to the Fair Housing laws discussed in subsequent sections, ADOH complied with the following: 1) Section 3 of the Housing and Urban Development Act of 1968 requires, in the planning and carrying out of any project assisted under the Act, to the greatest extent feasible, that opportunities for training and employment be given to lower-income persons residing within the unit of local government or the non-metropolitan county in which the project is located and contracts for work in connection with the project be awarded to eligible business concerns which are located in, or owned in substantial part, by persons residing in the project area. The grantee must assure good faith efforts toward compliance with the statutory directive of Section 3. Applicants should refer to 24 CFR Part 135; and 2) Housing assisted with HTF funds must meet the accessibility requirements of 24 CFR Part 8, which implements Section 504 of the Rehabilitation Act of 1973 and Titles II and III of the Americans with Disabilities Act, implemented at 28 CFR Parts 35 and 36, as applicable. “Covered multi-family dwellings”, as defined at 24 CFR Part 100.201, must also meet the design and construction requirements at 24 CFR Part 100.205, which implements the Fair Housing Act.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The State of Arizona will continue to fund education and outreach (E&O) throughout its CDBG jurisdiction as it has since 1994. ADOH presently contracts with a fair housing E&O provider to conduct E&O throughout the non-entitlement areas of the state. ADOH will conduct the following activities with same levels of frequency it has since 2006:

ADOH will continue to ensure CDBG sub-recipients are trained in effective fair housing referral procedures and encourage them to report any concerns.

ADOH will continue to provide trainings and other resources to communities throughout the state as the need arises so that residents have the opportunity to learn about the benefits of affordable housing, diverse neighborhoods, and the State's fair housing obligations; and monitor current events throughout the state and proactively respond to situations, which may impact members of the protected classes.



ADOH request for proposals (RFP) for housing and community development related projects and funding will require that proposals address how they will affirmatively further fair housing (AFFH) based on its impact to racial and ethnic concentrations of poverty and protected classes. Housing and community development projects funded by ADOH will include an AFFH plan that includes demographic data and maps to identify racial and ethnic concentrations or poverty in the residential areas impacted by the project. Specific activities that will be conducted to AFFH (e.g. Affirmative Marketing Plan; and all tax credit, HOME and Housing Trust Fund projects) will be monitored to evaluate the performance of the projects fair housing goals.

ADOH will require a mandatory comprehensive questionnaire with new questions about local zoning and land use laws' compliance with fair housing laws be filled out and submitted by all CDBG sub-recipients.

The State will assess how the housing crisis and its aftermath has had an impact on communities within the State's jurisdiction and respond with appropriate projects, programs and education outreach; continue to utilize the Councils of Government (COG) structure to monitor CDBG recipients and develop education and outreach strategies; create regional fair housing priorities, goals and selection criteria for potential CDBG recipients; utilize information provided in the CDBG recipient surveys to monitor and update regional fair housing priorities and goals.

Please refer to the State of Arizona 2015-2019 Analysis to Impediments report available at <https://housing.az.gov/>.

## **Discussion**

ADOH will undertake the following activities to alleviate barriers to fair housing and disparate impacts on low-income, financially troubled, disabled or other vulnerable populations:

**FORECLOSURE ASSISTANCE:** ADOH will invest funding to address the mortgage foreclosure crisis and other housing issues caused by economic distress. Through 2017, ADOH, in partnership with the Arizona Housing Finance Authority, will provide funding for direct financial assistance to aid Arizona homeowners avoid foreclosures by: 1) making housing counselors available to the public through a toll-free hotline; 2) providing in-depth foreclosure counseling; and 3) providing financial assistance in the form of principal reduction, second mortgage settlements, reinstatement, monthly mortgage payment assistance or short sale assistance. ADOH will continue to invest in paid advertising and marketing efforts to ensure households experiencing the threat of foreclosure know about available programs and gain access to assistance. Outreach efforts will include billboards, English and Spanish radio, internet banner ads, bus shelter ads, electronic news messages through the Motor Vehicle Network, Spanish newspapers, ecumenical newspapers, televised telethons and direct mail campaigns.

**OFFERING MORTGAGE ALTERNATIVES TO FIRST TIME HOMEBUYERS:** To assist first time homebuyers in Arizona in finding alternatives to predatory lending practices, the Arizona Department of Housing will offer low-interest mortgage products along with down payment/closing cost assistance and homebuyer counseling to promote homeownership in fourteen (14) counties in Arizona.

**ACCESS TO AFFORDABLE RENTAL OPPORTUNITIES:** To assist low-income Arizonans in finding decent, affordable rental units throughout the State, ADOH sponsors a web-based search engine through [socialserve.com](http://socialserve.com), which provides information on affordable rental units financed by ADOH and other public funders. The search engine also allows for searches by certain ADA related accommodations for disabled renters.

**FAIR HOUSING ACTIVITIES:** During its annual monitoring visits to the rental properties financed by the Department, ADOH will continue to check that a fair housing sign is visible in the property's Leasing Office. ADOH will also inquire whether the property has had any fair housing complaints or legal actions against it.

**ANNUAL ARIZONA HOUSING FORUM:** ADOH continues to host an annual statewide housing conference to promote affordable, fair housing choices throughout Arizona. During the event, approximately 400 attendees attend sessions on a myriad of topics ranging from best practices in development and design, to fair housing and addressing the housing needs of the homeless and special needs populations.

**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG):** The CDBG program provides oversight of and technical assistance to units of local government to assure compliance with fair housing regulations. To demonstrate compliance with Affirmatively Furthering Fair Housing, local governments must at a minimum: 1) adopt a Fair Housing Proclamation or Resolution annually; 2) display a Fair Housing poster in at least one (1) public area of the community's administration building/office year round; and 3) undertake at least one (1) additional action. The additional action must be specifically designed to further fair housing and/or educate the public about fair housing laws. Further guidance is provided to units of local government in the State's CDBG Administration Handbook, Section 4, available for download on the ADOH website. Additionally, units of local government receive a reminder each March that April is National Fair Housing Month and that they are required to demonstrate compliance annually whether or not they have open CDBG funded projects.

## **AP-80 Colonias Actions – 91.320(j)**

### **Introduction**

According to Section 916 of the Cranston-Gonzalez Act of 1990, a “Colonia” is any identifiable community that: 1) Is located within 150 miles of the border between the United States and Mexico, except within any standard metropolitan statistical area that has a population exceeding 1,000,000; 2) Is designated by the State or county in which it is located as a Colonia; 3) Is determined to be a Colonia on the basis of objective criteria, including the lack of potable water supply, lack of adequate sewage systems and lack of decent, safe and sanitary housing; and 4) Is generally recognized as a Colonia before the enactment of the National Affordable Housing Act of 1990. HUD mandates the State expend at least ten percent (10%) of its CDBG project allocation in the areas designated as Colonias. Arizona has sixty-three (63) designated Colonias existing in the southern counties of, Cochise, Gila, Graham, Greenlee, La Paz, Pima, Santa Cruz, and Yuma as well as on the Cocopah and Tohono O’odham Indian Reservation. The State of Arizona has a partnership with the regional Councils of Governments (COGs) in Arizona to provide both technical and administrative assistance to these CDBG eligible grantee communities. The State sets aside ten percent (10%) of its CDBG funds for infrastructure and housing activities within Colonias. Please refer to the following web page for the list of designated Colonias:

<http://www.azhousing.gov/azcms/uploads/CDBG/Arizona%20Designated%20Colonias.pdf>

### **Actions planned to address obstacles to meeting underserved needs**

The largest obstacle to meeting underserved needs on Colonias is funding. ADOH will issue a funding notice, once every two (2) years, to solicit projects located in eligible, designated Colonias. All cities, towns, counties and tribal reservations that contain either geographically defined neighborhoods or unincorporated communities designated as Colonias and that are eligible to receive CDBG Colonia Set Aside funding through ADOH are eligible to apply for these funds. Maricopa and Pinal Counties, as well as the communities and tribal reservations located within those counties, are excluded from eligibility for Colonias funding. Under this funding, ADOH is able to serve eligible designated Colonia in Pima County, although the county is not eligible to receive other CDBG funds from ADOH.

### **Actions the state plans to take to reduce the number of poverty-level families**

### **Actions the state plans to take to develop the institutional structure**

The State of Arizona has a partnership with the regional Councils of Governments (COGs) in Arizona to provide both technical and administrative assistance to these CDBG eligible grantee

communities. Working with the Arizona Balance of State COC (which includes areas of the State where Colonias are common), ADOH encourages the publication of the availability of homelessness services. Families that are “doubled-up” or otherwise possibly at risk of homelessness will be provided information about available services in the areas closest to the Colonia.

### **Specific actions the state plans to take to enhance coordination between public and private house and social service agencies**

The Arizona Department of Housing hosts the Arizona Housing Forum. The Forum is a two (2) day professional housing conference that studies recent trends and ideas in affordable housing, while addressing more common issues in discussion-oriented sessions. The Forum is well-attended (390 attendance in 2014) and registrants represent a diverse cross section through government, non-profit and for profit entities.

### **Discussion**

The State began offering Colonia Set Aside funding through this competitive process combining two (2) years of set aside funds in 2010. Since that time, four (4) large scale projects have been awarded that will each positively affect thousands of Colonias residents. The State has determined this to be a successful implementation of its method for distribution for Colonias Set Aside funds and will continue this process in the future.

## **AP-85 Other Actions – 91.320(j)**

### **Introduction**

There are several obstacles Arizona will face in implementing the five (5) year strategies. The limited amount of funds available to meet the many needs of Arizona residents is possibly the most significant barrier. Recent federal and State cutbacks in social services programs will limit the amount of assistance that can be provided over the next five (5) years. There remains a number of significant obstacles to meeting underserved needs in Arizona: 1) rapid population growth; 2) inadequate funding to acquire and rehabilitate all existing housing units in need of repair; 3) lack of knowledge of social services and service providers in Arizona for low-income residents; 4) lack of funding to address the huge amount of unmet need that exists for affordable housing, infrastructure and facility improvements and social services; 5) absence of service providers: the geographically expansive service areas in rural Arizona make it nearly impossible for providers to maintain a consistent, physical presence in most communities; this is further complicated by the limited ability of many low-income residents to travel for services; 6) lack of capacity in existing agencies: many service providers experience higher than average attrition rates among their employees; recruitment and retention of staff continues to be a challenge; and 7) lack of consensus: stakeholders within a particular jurisdiction often do not agree on priority needs and this can lead to little action.

### **Actions planned to address obstacles to meeting underserved needs**

#### **Actions planned to foster and maintain affordable housing**

ADOH will continue to foster and maintain affordable housing in Arizona through multiple programs designed to provide low- and moderate-income households with affordable and sustainable rental and ownership opportunities.

#### **Actions planned to reduce lead-based paint hazards**

ADOH requires grantees to comply with the HUD lead-based paint regulations implementing Title X of the Housing and Community Development Act of 1992. These regulations cover the CDBG, HOME, ESG and HOPWA programs, and identify the appropriate type of activity to control lead paint hazards in projects using federal funds.

ADOH has adopted long-term goals to promote affordable housing and community development. These goals, as applied to lead-based paint hazards, become ADOH's lead-based paint strategy. Arizona's strategy objectives are: 1) collaborate with local cities and counties to reduce housing-related lead-based paint hazards, especially for low-income families and

children; 2) encourage risk assessment for lead-based paint being part of every home inspection; 3) refer families with children to Arizona Department of Health Services, Office of Environmental Health for blood testing if lead-based paint is found in units proposed for rehabilitation. The Arizona Department of Health Services maintains the lead exposure registry for Arizona. The program develops lead poisoning prevention programs, investigates cases with elevated blood lead levels, and conducts educational outreach activities.

In recent years, ADOH has undertaken a wide range of activities to address the problem of lead-based paint in the housing stock. The Arizona Lead Poisoning Screening Coalition and the Arizona Department of Health Services recommends screening children six (6) years of age and younger according to the Childhood Lead Poisoning Targeted Screening Plan. These steps will hopefully prove important in assuring the long-term health and stability of lower-income children who are living in substandard housing.

ADOH plans to fund lead-based paint hazard reduction primarily through housing rehabilitation programs for owners and renters.

The State of Arizona will encourage training, education and other resources related to lead based paint hazards, and will require that grantees and sub grantees utilize staff and contractors that have the appropriate training and certification. The Arizona Department of Housing is a member of the Arizona Partnership for Healthy Communities, an organized body that meets quarterly to review current issues related to LBP and other health issues related to housing.

### **Actions planned to reduce the number of poverty-level families**

ADOH has an anti-poverty strategy that is based on revitalizing the State of Arizona's existing housing stock to provide safe and decent places to live and supporting community organizations and local agencies that provide various services that promote income and housing stability.

ADOH's approach for reducing the number of poverty level families includes: 1) addressing the needs caused by poverty; 2) increasing the supply and availability of decent, safe and affordable housing necessary for low-income families; and 3) increasing the effectiveness of existing programs through better collaboration and increased efficiency of implementation. While ADOH is focused on the provision of affordable housing and needed community development programs and projects, especially in rural areas of Arizona, ADOH works with and encourages job retention, training, and creation, through the use of programs by other State agencies, local jurisdictions and non-profit organizations.

Further, recognizing the collaborative relationship between affordable housing and human,

social and supportive services, ADOH has established, and continues to strengthen, partnerships with the DES, the Community Services Administration, the Family Assistance Administration, the Aging and Adult Administration, the Arizona Early Intervention Program, the Arizona Department of Health Services, the Arizona Health Care Cost Containment System, the Arizona Department of Veterans Services, the Arizona Commerce Authority and the Governor's Office for Children, Youth and Families.

### **Actions planned to develop institutional structure**

ADOH is a department of Arizona State government. ADOH is headed by a governor-appointed director who oversees all activities of the agency, including the provisions of this plan. The activities prescribed in the plan are implemented primarily by partners, including local governmental entities (towns, cities, and counties), public housing authorities, non-profit and for-profit entities, and tribal entities. Awards of State and federal grants and loans are based on the ability of an entity to demonstrate the capacity to undertake eligible activities through a written application process. ADOH works in conjunction with the four (4) COGs to develop a plan for the targeting and distribution of CDBG funds.

ADOH provides funding to non-profit agencies located in Arizona that serve low-income households. These non-profits provide assistance for affordable low-income housing to special needs and homeless populations. The private sector is an important collaborator in the services and programs associated with the federal grants for housing and community development. The private sector brings additional resources and expertise that can be used to supplement existing services or fill gaps in the system. Lenders, affordable housing developers, business and economic development organizations and private service providers offer a variety of assistance to residents such as health care, small business assistance, home loan programs and supportive housing, among others.

The State of Arizona has twenty-two (22) federally recognized tribes located within the conformed boundaries of the State. The tribes within Arizona are vastly different from one another and are recognized as self-governing entities that regulate laws under their respective tribal jurisdictions. Tribes in Arizona vary in size from the largest federally recognized tribe, Navajo Nation, which is located in four (4) states that include Arizona, Utah, New Mexico and Colorado, and totals sixteen (16) million acres and 280,000 in population. The smallest tribe in Arizona is the Tonto Apache, located in Payson, with a current land base of seventy-five (75) acres and a population of 122. Within Arizona, sixteen (16) tribes currently receive a formula-driven grant known as the Indian Housing Block Grant for development and maintenance of existing housing. The formula-driven grant was enacted in 1996 and is based on various factors that

include population, poverty, current assisted stock and overcrowding conditions. These funds are received on an annual basis by the tribe or tribal-designated housing entity and can be used for a number of activities.

ADOH operates the Arizona Public Housing Authority (PHA). Information derived from operating the PHA provides an accurate and recent view of public housing needs and trends for which planning efforts can be designed to address. The responsibilities entail administering a Housing Choice Voucher Program (HCVP) for Yavapai County. HCVP provides rental subsidy payments for approximately 159 very low-income households. Of the 159 vouchers, seventy (70) are restricted to assist homeless veterans through the VASH program. ADOH works closely with the Veterans Administration Medical Center to identify those in need. The PHA also administers approximately eighty-six (86) portable vouchers for Section 8 participants porting in from housing authorities throughout the country. The Arizona PHA also has a competitively-awarded contract to operate the Section 8 project-based program throughout the State, which entails administering approximately 114 HUD-subsidized rental properties, comprised of over 8,000 rental units. Through this program, participating properties are subsidized, allowing very low-income tenants to pay approximately thirty percent (30%) of their incomes toward rent.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

ADOH is committed to continuing its participation and coordination with federal, State, regional and local agencies, as well as with the private and non-profit sector, to serve the needs of low-income individuals and families in the community. The Arizona Department of Housing hosts the Arizona Housing Forum. The Forum is a two (2) day professional housing conference that studies recent ideas and trends in affordable housing while addressing more common issues in discussion-oriented sessions. The Forum is well attended (390 attendance in 2014) and registrants represent a diverse cross section through government, non-profit and for-profit entities.

### **Discussion**

Through the implementation of its programs, the State combats issues with underserved populations, reduces lead paint hazards, develops the capacity of service providers and subgrantees, addresses issues of poverty and forms strong partnerships with private housing and social service agencies. ADOH is able to assess all of these needs through its planning efforts with the Balance of State Continuum of Care (BOSCO), the Rural County of Governments (COGs), Consolidated Plan Hearings, the Arizona Commission on Homelessness and Housing, the ADOH Housing Forum and through daily interaction with providers and industry professionals.



ADOH requires strict adherence to performance standards, which ensures these multiple objectives are accomplished.

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.320(k)(1,2,3)

#### Introduction

The following is a description of the specific requirements for programs covered by this Action Plan including CDBG, HOME, ESG and HTF.

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.320(k)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.00%

### **HOME Investment Partnership Program (HOME) Reference 24 CFR 91.320(k)(2)**

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:
2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

ADOH will not invest HOME funds in homebuyer activities.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds. See 24 CFR 92.254(a)(4) are as follows:

Assistance to eligible beneficiaries is subject to recapture. The recapture period is based on the amount of State funds invested in the unit, as follows: State investment per unit of less than \$15,000 is five (5) years; between \$15,000 and \$40,000 is ten (10) years; and over \$40,000 is fifteen (15) years. New construction, regardless of amount, has a twenty (20) year affordability requirement. Recapture provisions are as follows: In the event a rental property is converted from affordable to market rate through sale or other means, prior to the expiration of the applicable period of affordability, The unpaid balance, including interest shall be paid to ADOH.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

### **Emergency Solutions Grant (ESG) Reference 91.320(k)(3)**

1. Include written standards for providing ESG assistance (may include as attachment).

The DES Homeless Coordination Office requires all sub-contractors to adhere to program policies and procedures in accordance with the federal HEARTH Act. In addition, sub-contractors must adhere to the State of Arizona DES written standards, terms, and conditions. Eligible activities include: 1) Temporary Emergency Shelter, 2) Rapid Re-Housing, 3) Homeless Prevention, and 4) Street Outreach.

The target populations: At least forty percent (40%) of all Rapid Re-Housing and/or Homeless Prevention participants shall represent at least one (1) of the priority population

criteria: 1) chronically homeless; 2) disabled; 3) experiencing substance abuse issues; 4) being "released from an institution"; 5) being released from a substance abuse facility; 6) aging or aged-out of child foster care; 7) domestic violence survivor; 8) youth eighteen (18) to twenty-four (24) years of age; 9) veterans; or 10) sixty-two (62) years of age or older.

The sub-contractor shall provide all services in a culturally relevant manner for the population to be served; participate in the Coordinated Entry system; maintain a case file for each participant with all required items as recommended by DES; maintain appropriate documentation of participant eligibility; ensure no income barriers are associated with initial eligibility for services and programs; provide Case Management services at least monthly and document progress toward independence; complete an assessment in HMIS at program entry and exit; assist all participants to obtain mainstream services and benefits such as housing, health care, social services, employment and education; attend at least seventy-five percent (75%) of Continuum of Care meetings annually.

Sub-contractors are required to submit monthly, quarterly and annual program and financial reports: Monthly Reports 1) Copies of the completed Rapid Re-Housing Eligibility Determination form for each participant with initial billing; 2) Copies of all receipts and/or copies of checks with detailed costs issued for Housing Relocation and Rental Financial Assistance for each Rapid Re-Housing participant served; 3) Rapid Re-Housing Tracking Sheet with invoices; 4) Accurate and complete Contractor's Invoice and Statement of Expenditures. Quarterly Reports 1) On the fifteenth (15th) of the beginning of each quarter provide a completed Temporary Emergency Shelter, Rapid Re-Housing Data and Homeless Prevention Report of demographic and exit data. Annual Reports 1) Funding Expenditure Report for the prior year, and 2) Completed ESG report as required by DES.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

ESG funding is allocated based on the percent of homeless served and counted, as represented in the annual demographics report and the annual Point in Time count, submitted to the Homeless Coordination Office each year by each Continuum of Care. The cumulative percent is then set as a target for the percent of total available funding to be awarded in each of the three (3) Continua of Care. Funds are then awarded through contracts with specific providers from each Continuum as long as there are enough providers who qualify for funding based on the RFP selection process.

Contracts are awarded based on the needs of the service area and available service

providers. The DES will award contracts through a competitive request for proposal (RFP) process. Proposals are reviewed by a panel comprised of internal staff, Continua of Care, and community partners. Proposals are assessed using the rating system published in each RFP. The rating system may include service methodology, experience and expertise, cost and other factors deemed relevant.

3. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.
4. Describe performance standards for evaluating ESG.

All service providers and agencies contracting with DES submit ESG program reports monthly and quarterly attached to fiscal claims for reimbursement that are designed to capture client data, fiscal expenditures billed and services provided. At least once each year, DES will conduct desk reviews of the programs and at least once every two (2) years, a comprehensive audit and site visit will be conducted of the program and facilities. Upon completion of the review and audits conducted, each ESG provider will receive a written report, prepared with findings and recommendations for corrective actions if necessary, with specific deliverable dates for completing corrective actions. Additionally, sub-contracts with the State Homeless Coordination Office are to provide homeless services adhering to the following performance outcomes:

### **General Performance Measures**

At least ninety eight (98) percent of households that exit the program have data entered with exit destinations in the Case Management file and in HMIS within five (5) days of program exit.

Increase HMIS Data Completeness and Data Quality by five (5) percent annually.

Enter all data in HMIS within five (5) days.

### **Rapid Rehousing Performance Measures**

At least sixty (60) percent of Rapid Rehousing households that exit the program have Positive Exit Destinations. That information is entered into HMIS within five (5) days of program exit.

At least seventy five (75) percent of households served through Rapid Rehousing remain in

housing for six (6) months after rental subsidy ends.

At least seventy-five (75) percent of households served through Rapid Rehousing will increase or maintain their incomes through employment or receipt of benefits.

### **Temporary Emergency Shelter Performance Measures**

Increase known exit destinations for individuals in HMIS by five (5) percent annually until known destinations reach sixty (60) percent.

At least sixty (60) percent of Temporary Emergency Shelter households exit to Positive Exit Destinations.

### **Homeless Prevention Performance Measures**

At least fifty (50) percent of Homeless Prevention households that exit the program have Positive Exit Destinations entered into HMIS.

5. At least fifty (50) percent of Homeless Prevention households maintained their Positive Exit Destinations for two (2) months after rental subsidy ends.

### **Housing Trust Fund (HTF) Reference 24 CFR 91.320(k)(5)**

1. How will the grantee distribute its HTF funds? Select all that apply:
  - ☒ Applications submitted by eligible recipients
  - ☒ Subgrantees that are State Agencies
  - ☒ Subgrantees that are HUD-CPD entitlement grantees
2. If distributing HTF funds through grants to subgrantees, describe the method for distributing HTF funds through grants to subgrantees and how those funds will be made available to state agencies and/or units of general local government. If not distributing funds through grants to subgrantees, enter "N/A".
3. If distributing HTF funds by selecting applications submitted by eligible recipients,

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

b. Describe the grantee's application requirements for eligible recipients to apply for HTF funds. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

HTF will be made available through one or more of the following methods: 1) A Notice of Funds Available for the construction of rental housing in which HTF may be used solely or in conjunction with HOME, State Housing Trust Fund, NSP, 4% LIHTC, 9% LIHTC or other funding source; or 2) Gap financing for rental development awarded 4% or 9% LIHTCs in accordance with the ADOH Qualified Allocation Plan (QAP). Applicants must provide documentation demonstrating the following: 1) applicant is a legally formed entity; 2) development team has sufficient capacity; 3) site control and zoning entitlements; 4) adherence to environmental regulations; 5) financial ability to proceed; 6) market demand for prospective population; 7) adherence to design standards including energy efficiency; 8) adequacy of services to special needs populations (if applicable); and 9) affordability to very-low and extremely low income (if applicable)

c. Describe the selection criteria that the grantee will use to select applications submitted by eligible recipients. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The selection criteria for obtaining HTF funds is outlined in the QAP which incorporates the following Priority Funding Objectives: 1) demand; 2) capacity, experience and past performance of applicant; 3) extent to which units are affordable to extremely-low income individuals and families; 4) proximity of proposed project to transit, services and amenities; 5) project readiness; 6) sustainable development (energy efficiency); 7) the duration of the affordability period.

d. Describe the grantee's required priority for funding based on geographic diversity (as defined by the grantee in the consolidated plan). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

HTF is available to all areas of the state. The 2015-2019 Consolidated Plan (SP-10, Geographic Priorities), does not target specific geographic areas but directs funding in accordance with the following performance criteria in a manner consistent with state and

federal certifications to affirmatively further fair housing: 1) demand; 2) capacity, experience and past performance of applicant; 3) extent to which units are affordable to extremely-low income individuals and families (HTF units must have project based rental assistance); 4) proximity of proposed project to transit, services and amenities; 5) project readiness; 6) sustainable development (energy efficiency); 7) the duration of the affordability period.

e. Describe the grantee's required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

f. Describe the grantee's required priority for funding based on the extent to which the rental project has Federal, State, or local project-based rental assistance so that rents are affordable to extremely low-income families. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

Only projects that set aside affordable rental units for Households with extremely low income (30% AMI adjusted for family size with utility costs deducted) will be funded.

g. Describe the grantee's required priority for funding based on the financial feasibility of the project beyond the required 30-year period. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

h. Describe the grantee's required priority for funding based on the merits of the application in meeting the priority housing needs of the grantee (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable development features, or housing that serves special needs populations). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

i. Describe the grantee's required priority for funding based on the extent to which the application makes use of non-federal funding sources. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

A layering analysis shall be performed during the underwriting process that takes into account all public subsidies to prevent excessive use of Federal Subsidy. ADOH will coordinate with other public funding agencies that, by regulation or practice, undertake



layering reviews of Projects proposed to be funded with Tax Credits.

4. Does the grantee's application require the applicant to include a description of the eligible activities to be conducted with HTF funds? If not distributing funds by selecting applications submitted by eligible recipients, select "N/A".

Yes

5. Does the grantee's application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements? If not distributing funds by selecting applications submitted by eligible recipients, select "N/A".

Yes

6. **Performance Goals and Benchmarks.** The grantee has met the requirement to provide for performance goals and benchmarks against which the grantee will measure its progress, consistent with the grantee's goals established under 24 CFR 91.315(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.

Yes

7. **Maximum Per-unit Development Subsidy Amount for Housing Assisted with HTF Funds.** Enter or attach the grantee's maximum per-unit development subsidy limits for housing assisted with HTF funds.

The limits must be adjusted for the number of bedrooms and the geographic location of the project. The limits must also be reasonable and based on actual costs of developing non-luxury housing in the area.

If the grantee will use existing limits developed for other federal programs such as the Low Income Housing Tax Credit (LIHTC) per unit cost limits, HOME's maximum per-unit subsidy amounts, and/or Public Housing Development Cost Limits (TDCs), it must include a description of how the HTF maximum per-unit development subsidy limits were established or a description of how existing limits developed for another program and being adopted for HTF meet the HTF requirements specified above.

8. **Rehabilitation Standards.** The grantee must establish rehabilitation standards for all HTF-assisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project completion. The grantee's description of its standards must be in sufficient

detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable codes or they may establish requirements that exceed the minimum requirements of the codes. The grantee must attach its rehabilitation standards below.

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; and Capital Needs Assessments (if applicable).

See attached ADOH HTF Rehabilitation Standards

9. **Resale or Recapture Guidelines.** Below, the grantee must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

10. **HTF Affordable Homeownership Limits.** If the grantee intends to use HTF funds for homebuyer assistance and does not use the HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".

☒ The grantee will use the HUD issued affordable homeownership limits.

☒ The grantee has determined its own affordable homeownership limits using the methodology described in § 93.305(a)(2) and the limits are attached.

N/A

11. **Grantee Limited Beneficiaries or Preferences.** Describe how the grantee will limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population to serve unmet needs identified in its consolidated plan or annual action plan. If the grantee will not limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population, enter "N/A."

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the grantee must not limit or give preferences to students. The grantee may permit rental

housing owners to limit tenants or give a preference in accordance with § 93.303(d)(3) only if such limitation or preference is described in the action plan.

- 12. Refinancing of Existing Debt.** Enter or attach the grantee's refinancing guidelines below. The guidelines describe the conditions under which the grantee will refinance existing debt. The grantee's refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the grantee will not refinance existing debt, enter "N/A."

N/A

## Discussion

All programs administered by the State have written performance policies, criteria and standards available for applicants (non-profit, for-profit, units of local government, service providers) to review and/or download from the ADOH and AzDES websites. In addition, these policies are included in the State's five (5) year Consolidated Plan which is also available for review or download from the ADOH website. Staff are available to provide technical assistance on an as needed or as requested basis.

### **Housing Trust Fund (HTF) Reference 24 CFR 91.320(k)(5)**

#### 1. Distribution of funds

- a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2).
- b. Describe the State Agency's application requirements for eligible recipients to apply for HTF funds.
- c. Describe the selection criteria that the State Agency will use to select applications submitted by eligible recipients.
- d. Describe the State Agency's required priority for funding based on geographic diversity (as defined by the State in the consolidated plan).
- e. Describe the State Agency's required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner.

- f. Describe the State Agency's required priority for funding based on the extent to which the rental project has Federal, State, or local project-based rental assistance so that rents are affordable to extremely low-income families.
  - g. Describe the State Agency's required priority for funding based on the financial feasibility of the project beyond the required 30-year period.
  - h. Describe the State Agency's required priority for funding based on the merits of the application in meeting the priority housing needs of the State (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable development features, or housing that serves special needs populations).
  - i. Describe the State Agency's required priority for funding based on the extent to which the application makes use of non-federal funding sources.
- 2. Does the State Agency's application require the applicant to include a description of the eligible activities to be conducted with HTF funds?
  - 3. Does the State Agency's application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements?

## Attachments

### Citizen Participation Comments

#### NOTICE OF PUBLIC HEARING

ADOH is holding a public hearing to receive input on the **2019-2020 Annual Action Plan** and the **2019-2020 Weatherization Assistance Program State Plan** on April 4, 2018 at 10:00 a.m. at the Arizona Department of Housing, 1110 West Washington Street, Suite 250, Phoenix, Arizona 85007.

The 2019-2020 Annual Action Plan is the annual update for the State of Arizona 2015-2019 Consolidated Plan which establishes goals, objectives, priorities, activities, outcomes, and the method of distribution for the use of approximately \$20 million in the following federal funds from the U.S. Department of Housing and Urban Development (HUD): National Housing Trust Fund, Community Development Block Grant, HOME Investment Partnerships, Housing Opportunities for Persons with AIDS, and Emergency Solutions Grant programs (administered by the Arizona Department of Economic Security).

The 2019-2020 Weatherization Assistance Program (WAP) State Plan establishes goals, objectives, priorities, activities, outcomes the method of distribution for approximately \$1.4 million in U.S. Department of Energy for the Weatherization Assistance Program.

All above cited funds will be available for the program year, which begins July 1, 2019 and ends June 30, 2020, for activities benefitting low income and special needs populations primarily in the non-metropolitan areas of Arizona.

Both plans will be available in draft form for public review from March 22, 2019 through April 22, 2019 at the ADOH offices at 1110 West Washington Street, Suite 280, Phoenix, Arizona 85007 as well as at [www.housing.az.gov](http://www.housing.az.gov). Copies of both the Action Plan and the WAP State Plan are available upon request and are free of charge.

The Public Hearing provides the opportunity to review the draft plans, offer comments, and recommended changes. ADOH is accepting written comments regarding the use of these funds through April 22, 2019. Direct questions and comments should to the following:

Assistant Deputy Director, Programs  
Arizona Department of Housing  
1110 West Washington Street, Suite 280  
Phoenix, AZ 85007  
(602) 771-1010  
[publiccomment@azhousing.gov](mailto:publiccomment@azhousing.gov)

#### ADA and EQUAL OPPORTUNITY COMPLIANCE STATEMENT

If you need accommodations for physical mobility, sensory impairment or language needs in order to participate in this meeting, please contact the ADOH at (602) 771-1000. Notification 48 hours prior to the meeting will enable ADOH to make reasonable arrangements to ensure accessibility to this meeting.

Arizona Department of Housing  
Annual Action Plan Public Meeting

April 4, 2019  
10:00 a.m.

1110 West Washington Street  
Suite 250  
Phoenix, Arizona 85007

Reporter's Transcript of Proceedings

Prepared by:

Kim Esquivel, RPR  
Certified Court Reporter  
Certificate No. 50666

Perfecta Reporting  
(602) 421-3602

(ORIGINAL)

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## 1 APPEARANCES

2

3 PRESENT:

4 Andrew Rael, ADOH

Kathy Blodgett, ADOH

5 Melissa Swain, ADOH

Carol Ditmore, ADOH

6 Kaleena Bowers, ADOH

Karia Basta, ADOH

7 John Juarez, ADOH,

Alfred Edwards, AZ DES

8 Jeanne Redondo, ADOH

Sally Schwenn, Gorman

9 Cynthia Boston, Boston Affordable Housing Advisors

09:51:35 10

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Phoenix, Arizona  
April 4, 2019  
10:02 a.m.

P R O C E E D I N G S

10:03:02 10 MR. RAEL: Good morning. Welcome to our public  
meeting to discuss our Annual Action Plan. It's very well  
publicized, but a lot of times we don't get a lot of  
turnout. Just depends on what the Department's up to and  
how many changes we have going. But if you don't come to  
the meeting, you don't know what the changes are, so  
that's why we will take the time to go through, in as much  
detail, all of the funding sources and our proposed uses,  
method of distribution.

10:03:45 20 So I'm Andrew Rael. I'm the Assistant Deputy  
Director of Programs. I am here with a lot of ADOH staff.  
We have Melissa Swain, Carol Ditmore who's our Director.  
Kathy Blodgett is the administrator for the Community  
Development & Revitalization and Weatherization Program.  
We have Kaleena Bowers, who's our new Community  
Development Block Grant Specialist. John Juarez is our  
Asset Manager. We have Alfred from the Department of  
Economic Security, Karia from -- who is the administrator  
for our Special Needs program, Sally Schwenn with Gorman,  
and -- I almost had a hundred percent.

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1 MS. BOSTON: Cynthia Boston, with Boston  
2 Affordable Housing.

3 MR. RAEL: Thank you very much for being here.

4 So the purpose of the meeting today is this.  
5 We'll go with this slide. I'm going to be presenting  
6 parts of this and Kathy is going to be presenting parts of  
7 this.

8 So the purpose of the meeting is to discuss the  
9 use of Federal funds. By law, before we use Federal  
10 funds, we have to be very public about how we're going to  
11 use them. And these are the funding sources that we'll go  
12 over.

13 This is the one-year plan. To put it into  
14 context, this is the fifth year of a five-year plan within  
15 which each one-year plan fits. So next year we will be  
16 developing a five-year plan. So by this time next year we  
17 will be talking about a new five-year plan. That's the  
18 2020-2024 five-year plan.

19 But this plan and this meeting is about the  
20 Annual Action Plan which is for 2019-2020, so that  
21 timeline is -- the timeline starts July 1st of 2019, is  
22 when we hope to get new funding, and goes through June 30  
23 of 2020. So we don't have that money yet, but we are  
24 planning to use that money if we do get the money. And we  
25 typically do get the money, but we don't have it yet.

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1 All right. So required by HUD, the U.S.  
2 Department of Housing and Urban Development is the federal  
3 agency through which we get most of our -- well, through  
4 which we get the formula grants, which is -- which is the  
5 HOME, CDBG, ESG, HOPWA, and National Housing Trust Fund.  
6 We're also talking about funding that comes from the  
7 Department of Energy, and that is our Weatherization Fund.

8 So this plan -- there's basically two plans that  
9 we're talking about, the Weatherization Plan and the  
10:06:37 10 Action Plan. So both of those plans outline priority  
11 objectives, describe resources available to meet the  
12 objectives, and describe our method to distribute the  
13 funding.

14 So in terms of priorities, how does the  
15 Department come up with these ideas as to how to use the  
16 funds? Well, we do it by having meetings like this to get  
17 input. We have focus groups. We have input from the  
18 public. The Draft Annual Action Plan has been posted on  
19 the website now for a couple of weeks. A bulletin was  
10:07:19 20 sent out to let everybody know the draft plan was on the  
21 web page. And then in seven newspapers across the state  
22 we've also put notices that the draft plan has been  
23 available and that this meeting was happening today. We  
24 talk with industry experts all the time and we analyze  
25 data. This is how we came up with priorities.

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1           So the public process is the meeting today. We  
2 will draft the plans, as I said, and we posted them by a  
3 couple weeks ago, in March. We're still accepting written  
4 comments through the end of April. Then we will then  
5 submit the Weatherization Plan to the Department of Energy  
6 May 1st and submit the Action Plan to HUD somewhere around  
7 May 15th.

8           So what we're going to talk about first is our  
9 Weather Resistant -- Weatherization Assistance Program,  
10:08:27 10 and I'm going to turn this over to Kathy to go over that.

11           MS. BLODGETT: Good morning, everyone.

12           Okay. So Weatherization is designed, of course,  
13 to increase the energy efficiency and also there are some  
14 health and safety issues that it takes care of in homes  
15 that are leaky or have poorly operating carbon  
16 monoxide-producing appliances. So this year from the  
17 Department of Energy we're receiving approximately 1.8  
18 million, and with just the Department of Energy fund we  
19 anticipate assisting 244 households. We also received,  
10:09:14 20 through an interagency agreement with the Department of  
21 Economic Security -- Arizona Department of Economic  
22 Security, from the Division of Adult and Aging Services,  
23 LIHEAP money, which stands for Low Income Home Energy  
24 Assistance Program, and we anticipate receiving  
25 4.1 million and assisting 587 households. The third

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1 amount that we use for our Weatherization program is  
2 Southwest Gas. They are a private utility. They  
3 contribute about \$427,000 annually, and we do roughly 120  
4 homes. And they have to be Southwest Gas customers, of  
5 course.

6 So funding is always to retrofit. So we go into  
7 owner-occupied single-family residences of low income  
8 persons who are at or below 200 percent of the poverty  
9 level that's published by the federal government. We do  
10:10:19 10 some rental units, but typically because of Arizona's  
11 Landlord-Tenant Act, the type of things that the landlord  
12 is required to provide is what we touch with  
13 Weatherization. So we don't go into those units  
14 necessarily. If it's like an AC unit or a heater, the  
15 landlord is required by Arizona state law to provide  
16 operating appliances. So we don't -- we don't do a whole  
17 lot of rental, although it is available to do.

18 We must award -- We're kind of a passthrough  
19 agency. We give our funds to local governments or  
10:11:00 20 non-profit community action agencies, and we have a  
21 Weatherization Policy Advisory Council and we vet the  
22 agencies that we work with through that policy advisory  
23 council.

24 There are a lot of certifications and  
25 requirements to be able to operate Weatherization

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1 programs, so currently we only have 10 sub-grantee  
2 providers that cover the entire state. There's a maximum  
3 average investment per unit that is allowable by the  
4 Department of Energy, which is \$7,541 this coming year.  
5 It's an increase of about \$300 a unit. And LIHEAP -- I  
6 didn't put the number up here, but LIHEAP allows us to do  
7 a rolling average of \$10,000, which is really helpful with  
8 air-conditioning units, especially in the hot parts of the  
9 state. We prioritize households that are elderly,  
10 handicapped, or households with children under the age of  
11 five.

12 That's pretty much it for Weatherization. We  
13 don't have any new efficiencies or anything like that this  
14 year. We do have some changes from the Department of  
15 Energy that surround certain items that used to be  
16 eligible to do in Weatherization that no -- are no longer  
17 eligible unless you do comprehensive testing like  
18 refrigerator replacements to an Energy Star refrigerator,  
19 but we can't do that anymore unless we do some testing on  
20 the fridge. We also have an increase in the number of  
21 times we need to go out and inspect units, because they  
22 now are asking us to do interim inspections instead of  
23 inspections when the jobs are completed. So other than  
24 that, not many changes to the plan.

25 Anybody have any questions?

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1 MR. RAEL: Kathy, could you -- could you say who  
2 the 10 providers are?

3 MS. BLODGETT: Yes, so let's do this.

4 Community Action Human Resources of Arizona. And  
5 they're located in Eloy. They handle Pinal County. Then  
6 we have City of Phoenix, who does just the incorporated  
7 limits of City of Phoenix. Gila County, which just does  
8 Gila County. Maricopa County does everything in Maricopa  
9 County outside of the City of Phoenix city limits except  
10:13:34 10 the City of Mesa. Mesa CAN, which is Mesa Community  
11 Action Network, does the City of Mesa. Northern Arizona  
12 Council of Government handles Apache, Coconino, Navajo,  
13 and Yavapai Counties. And then we have Pima County which  
14 handles Pima County outside of the City of Tucson. And we  
15 have Southeastern Arizona Community Action Program which  
16 is located in Safford, but they handle Graham, Greenlee,  
17 Cochise, and Santa Cruz Counties. Tucson Urban League,  
18 who is in the City of Tucson, handles just everything  
19 inside that city limit. And the last one is Western  
10:14:29 20 Arizona Council of Governments who handles Yuma, La Paz,  
21 and Mohave Counties.

22 MR. RAEL: All right. Any questions or comments  
23 on Weatherization?

24 (No response.)

25 MR. RAEL: All right. Let's move on to the next

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1 funding source, National Housing Trust Fund.

2           So this comes out of the Housing and Economic  
3 Recovery Act, and Arizona receives approximately 3 million  
4 for this program. The purpose is to increase and preserve  
5 the supply of rental housing for extremely low and very  
6 low income families, including homeless, and to increase  
7 homeownership for extremely low and very low income  
8 families. That is written in the statute.

9           What National Housing Trust Fund can be used for?  
10:15:23 10 Eighty percent can be used to produce, preserve,  
11 rehabilitate, or operate rental housing; up to 10 percent  
12 can be used for homeowner activities; up to 10 for  
13 administration; and all of the benefit needs to accrue to  
14 people that earn 30 percent area median income. So out of  
15 all the funding sources, this has the lowest, one of the  
16 lowest -- well, that we'll talk about today -- the lowest  
17 required income beneficiaries.

18           So this is what the State is proposing to do. We  
19 are proposing to use 90 percent of the fund for affordable  
10:16:11 20 rental development serving 30 percent of area median  
21 income, which means we're not doing homeownership. We  
22 would provide the assistance in the form of loans to both  
23 the four percent and nine percent tax credit developments.  
24 It'd also provide loans for projects resulting in any of  
25 the department's Notice of Funds Available. So there's

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1 other funding sources that National Housing Trust Fund can  
2 be coupled with, such as HOME and NSP. And 10 percent of  
3 administration.

4 All right. Any questions or comments on National  
5 Housing Trust Fund?

6 (No response.)

7 MR. RAEL: All right. Let's move on to -- I  
8 just wanted to put this in here.

9 The reason that we're not using National Housing  
10 Trust Fund for homeownership is not that we don't care  
11 about homeownership. There's two reasons. One, it -- the  
12 need for 30 percent and below rental housing is very, very  
13 high. Plus, we already have other homeownership  
14 activities.

15 So through our HOME Plus program we provide low  
16 interest loans that comes with a five percent grant for  
17 down payment assistance. We've assisted well over 700  
18 homeowners so far. And the average down payment  
19 assistance is 8,000. So this is a very powerful program.

10:17:51 20 We also have Pathway to Purchase Program which  
21 provides a 10 percent down payment assistance, five-year  
22 forgivable loan, so these are essentially both grants, and  
23 the Pathway to Purchase Program kind of, depending on  
24 where we are with the funding, goes on and off. But we'll  
25 be starting again -- She did start it up again.

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1 MS. DITMORE: That's right now we have it.

2 MR. RAEL: Right now?

3 MS. DITMORE: It started in the fall.

4 MR. RAEL: Thank you, Director.

5 All right. So here are the approximate amounts  
6 of the funding that we will be getting: Community  
7 Development Block Grant, about 10.5 million; HOME, which  
8 is the Home Investment Partnership Program -- we'll talk  
9 in detail about each of these -- 4.8 million; Emergency  
10:18:40 10 Solutions Grant, 1.7; Housing Opportunities for Persons  
11 With AIDS, \$240,000; National Housing Trust Fund, again,  
12 3 million; and then we have the State Housing Trust Fund  
13 that comes in at about 2.5 million every year. So these  
14 are annual figures.

15 So what guides our decisions? Well, it's the  
16 planning and it's the objectives that we put in those  
17 plans. I won't read these. You can read them. But  
18 essentially we're about affordable housing. We're about  
19 special needs. That's why we serve persons with HIV.  
10:19:22 20 We're all about fair housing. We are all about assisting  
21 the chronically homeless. We also want to assist new home  
22 buyers. We also are concerned about making sure that  
23 homes and public places are accessible to those with  
24 disabilities. So that's the range of what our priorities  
25 cover. Those were housing.

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1           Now community development. This applies  
2 primarily to the Community Development Block Grant. We're  
3 all about making sure that there's public infrastructure  
4 and facilities available. As the Community Development  
5 Block Grant we don't serve the entire state. We serve the  
6 rural parts of the state. The larger cities get their  
7 own. So everything in Pima and Maricopa County we don't  
8 assist and everything outside of those counties we do  
9 assist.

10:20:28 10           So clearance and demolition, public services for  
11 low income people, we are trying to build local capacities  
12 so that we have agencies that are able to provide those  
13 services. And we are interested in improving economic --  
14 improving the economic environment for, again, the rural  
15 parts of the state with the Community Development Block  
16 Grant.

17           So let's talk about Community Development Block  
18 Grant. The Federal statute says the primary objective is  
19 to develop viable urban communities by providing a decent  
10:21:04 20 housing, suitable living environment, and economic  
21 opportunities for low income persons.

22           So this is the -- the distribution of the  
23 approximately 10 million that we receive. Two percent  
24 plus a hundred thousand is for administration. That's for  
25 us to pay for those that administer the program. One

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1 percent is for technical assistance that we provide out to  
2 right now the Councils of Governments who are very  
3 instrumental in helping us to implement this program. And  
4 then there's a federal set-aside for colonias, which only  
5 apply to border states of California, Arizona, New Mexico,  
6 and Texas, and only applies to areas within 150 miles of  
7 the border that have housing, water, and sewer problems.

8           So after all the administration and set-aside, we  
9 divide up the CDBG two ways. There's a regional account  
10:22:09 10 that gets equally distributed to every single eligible  
11 town, community, and county outside of Maricopa and Pima  
12 County, and then State Special Projects, which is a small  
13 15 percent carve-out that is competitive.

14           So what happens is is that there are communities  
15 that have a lot of capacity and they can use a lot of  
16 CDBG, so they use their regional account allocation but  
17 they have other needs and they have the capacity so they  
18 can apply for State Special Projects to get a little bit  
19 more. It also helps to make sure that this money gets  
10:22:53 20 spent and doesn't sit around because it doesn't do any  
21 good unless it's getting spent or put through some purpose  
22 to serve low income persons.

23           So this gives you sort of an idea how we  
24 distribute this. So we work with the Councils of  
25 Governments, all except for Maricopa and Pima, to figure

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1 out how to distribute the funds to the communities within  
2 each Counsel of Government region. So that's WACOG,  
3 NACOG, CAG, SEAGO. And this is how we distribute it  
4 amongst the Councils of Governments areas. So this is a  
5 formula-based distribution and this gives you an idea of  
6 what's going to be going to what area. State Special  
7 Projects is typically 1.3 million, and we'll talk about  
8 that at a -- Let's do talk about that.

9 So State Special Projects, one application from  
10 each community, three per county. That applies to the  
11 regional account as well, right, Kathy?

12 MS. BLODGETT: Yes.

13 MR. RAEL: So why we do this is because there is  
14 not a lot of money to distribute throughout the state as  
15 it is in the first place, and so what we are trying to  
16 incentivize and promote is that each community prioritize  
17 what their needs are and -- and identify projects that are  
18 of a large enough scale that they would have an impact on  
19 a community as opposed to trying to do too many projects  
20 and trying to achieve too many objectives. And it has  
21 worked very well, then, to limit one application from each  
22 community and three applications from each county.

23 So these are the activities that can be  
24 undertaken with the Community Development Block Grant.  
25 Housing rehabilitation, as you can see. Single-family

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1 housing rehabilitation oftentimes requires gutting a home  
2 but still cost effective to do so if the bones are -- are  
3 good, the foundation and the walls. But typically we will  
4 replumb and rewire the whole house.

5           Property acquisition in conjunction with some  
6 other eligible activity through Community Development  
7 Block Grant, we do a lot of public and private  
8 infrastructure drainage projects and water projects and  
9 street projects and sewer projects. We see a lot of  
10:26:21 10 communities use their Community Development Block Grant to  
11 build public facilities in low income areas. In this  
12 case, (indicating) this is the Coolidge Youth Center  
13 that's located in a low income neighborhood and available  
14 for recreational activities for the young folks in this  
15 area.

16           Demolition of property, very important in some  
17 communities where there are vacant and blighted buildings.  
18 Public services, (indicating) this is a photograph of a  
19 youth program. Historic preservation, also CDBG can be  
10:27:03 20 used very nicely to -- this is the train station in  
21 Cottonwood that was rehabilitated and now can be used for  
22 community purposes.

23           And that's Community Development Block Grant. Do  
24 we have any questions or comments on this program?

25           (No response.)

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1 MR. RAEL: All right. Let's move on to the Home  
2 Investment Partnership Program. The purpose per statute  
3 is to expand the supply of decent, safe, sanitary, and  
4 affordable housing. This is a recent photograph of a  
5 low-income housing tax credit project that may or may not  
6 have HOME funds, but could have HOME funds, multifamily  
7 low-income affordable development.

8 So this is how we propose to distribute HOME: 10  
9 percent for administration for Department of Housing  
10 costs. There's a set-aside for the community housing  
11 development organizations which are nonprofits that work  
12 within a specific geographic area to promote community  
13 development and housing, and they have a particular board  
14 makeup that includes -- that includes membership from the  
15 community within which they work.

16 So what we're proposing to do with the -- with  
17 the HOME funds is rental development and single-family  
18 owner-occupied housing rehabilitation. So while there are  
19 other activities that can be -- that can be undertaken  
20 with HOME, these are the priorities for the State. From  
21 what we hear from our data gathering, it's rental  
22 development and single-family owner-occupied housing  
23 rehabilitation, so about 3 million for rental development  
24 and about 3.5 for owner-occupied housing rehabilitation.  
25 Some of the eligible activities, construction or rehab of

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1 rental housing, site acquisition, demolition and  
2 relocation, and owner-occupied housing rehabilitation.

3 All right. Any questions on Home Investment  
4 Partnership Program?

5 (No response.)

6 MR. RAEL: All right. Let's move to the  
7 Emergency Solutions Grant. We administer -- the  
8 Department of Housing does not administer this fund. The  
9 Department of Economic Security administers this fund and  
10:29:43 10 we work with the Department of Economic Security to  
11 develop this plan and to incorporate the use of the  
12 Emergency Solutions Grant in this plan, but, again, this  
13 is administered by the Department of Economic Security.  
14 And we have representatives here if anybody has questions.  
15 So the purpose is to assist individuals and families to  
16 quickly regain stability and permanent housing after  
17 experiencing a housing crisis or homelessness.

18 This is the proposed method of distribution. So  
19 7.5 percent, administration. After that, Emergency  
10:30:25 20 Solutions Grant is provided every five years on a  
21 competitive basis through a Notice of Funds Available or a  
22 Request For Proposal, about 1.6 million, and what it can  
23 be used for and is used for is rapid rehousing, emergency  
24 shelter and street outreach, the Homeless Management  
25 Information System, and homeless prevention.

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1 Any questions on Emergency Solutions Grant?

2 Comments?

3 (No response.)

4 MR. RAEL: All right. Let's move to Housing  
5 Opportunities for Persons With AIDS. HOPWA funding  
6 provides housing assistance and related supportive  
7 services to persons with HIV/AIDS.

8 How we propose to distribute is three percent for  
9 ADOH administration, seven percent for the agencies that  
10 administer the programs. And the program activities are  
11 undertake -- under contract with service providers  
12 throughout the State and those contracts total about  
13 \$280,000. What we do with HOPWA is rental assistance.  
14 And we do short-term rent, mortgage and utility  
15 assistance.

16 Any questions on Housing Opportunities for  
17 Persons with AIDS? Comments?

18 (No response.)

19 MR. RAEL: All right. And, finally, the Housing  
20 Trust Fund. This is the 2.5 million that we received --  
21 that we receive from unclaimed property deposits. Not all  
22 of it, but just a portion of it.

23 So we use the trust fund to provide match for all  
24 of our HOME-funded projects. We use Housing Trust Fund to  
25 provide the administration for the HOME-funded

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1 single-family housing rehab program. The trust fund  
2 provides administrative dollars for the Continue of Care  
3 projects, and we use the trust fund to fund homeless  
4 facilities throughout the State and to provide funding for  
5 the Housing Management -- Homeless Management Information  
6 System.

7 Any questions on Housing Trust Fund?

8 (No response.)

9 MR. RAEL: Okay. Well, there hasn't been a lot  
10 of questions so far but you still have this one more  
11 opportunity to make comments about anything you've heard  
12 or any needs that you think are -- need to be represented  
13 or spoken of at this meeting.

14 (No response.)

15 MR. RAEL: Okay. All right.

16 Director Ditmore, do you have anything to say to  
17 close this meeting out?

18 MS. DITMORE: Just thank you for -- to our two  
19 visitors.

10:33:26 20 MS. SCHWENN: Very well done.

21 MS. BOSTON: Nice job.

22 MR. RAEL: All right. We are complete.

23 (The meeting concluded at 10:33 a.m.)

24 \* \* \* \* \*

25

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## C E R T I F I C A T E

I HEREBY CERTIFY that the proceedings had upon the foregoing meeting are contained in the shorthand record made by me thereof, and that the foregoing 20 pages constitute a full, true, and correct transcript of said shorthand record, all done to the best of my skill and ability.

DATED at Phoenix, Arizona this 17th day of April, 2019.

Kim Esquivel  
Kim Esquivel, RPR  
Certified Court Reporter  
Certification Number 50570

PERFECTA REPORTING (602) 421-3602

## Grantee SF-424's and Certification(s)

DOUGLAS A. DUCEY  
Governor



CAROL DITMORE  
Director

STATE OF ARIZONA  
DEPARTMENT OF HOUSING  
1110 WEST WASHINGTON, SUITE 280  
PHOENIX, ARIZONA 85007

(602) 771-1000 WWW.AZHOUSING.GOV  
FAX: (602) 771-1002

May 15, 2019

Ms. Noemi Ghirghi  
CPD Representative  
U.S. Department of Housing and Urban Development  
Community Planning and Development  
One North Central Avenue, Suite 600  
Phoenix, AZ 85004

Re: *Fifth Annual Action Plan Consolidated Plan 2015-2019*  
*Grantee: The State of Arizona, Arizona Department of Housing*

Dear Noemi,

The Arizona Department of Housing has submitted its 2019-2020 Annual Action Plan through the eCon Planning Suite in IDIS in compliance with Title 24, Part 91, Subpart D, including the provisions added by the Interim Rule pertaining to the Housing Trust Fund.

Please find the following executed original documents attached: 1) forms SF424 for CDBG, HOME, ESG, HTF and HOPWA; and 2) Certifications for CDBG, HOME, HOPWA, ESG, the State, and the Appendix to the Certifications.

Should you have any questions, please call Andrew Rael, Assistant Deputy Director of Programs, at (602) 771-1010.

Sincerely,

A handwritten signature in blue ink, appearing to read "Carol Ditmore", written over a circular stamp.

Carol Ditmore  
Director


Enclosures

OMB Number: 4040-0004

Expiration Date: 10/31/2019

Application for Federal Assistance SF-424		
<p>* 1. Type of Submission:</p> <p><input type="checkbox"/> Preapplication</p> <p><input checked="" type="checkbox"/> Application</p> <p><input type="checkbox"/> Changed/Corrected Application</p>		
<p>* 2. Type of Application:</p> <p><input checked="" type="checkbox"/> New</p> <p><input type="checkbox"/> Continuation</p> <p><input type="checkbox"/> Revision</p>		
<p>* If Revision, select appropriate letter(s):</p> <p>_____</p> <p>* Other (Specify):</p> <p>_____</p>		
<p>* 3. Date Received: _____</p> <p>4. Applicant Identifier: _____</p>		
<p>5a. Federal Entity Identifier: _____</p> <p>5b. Federal Award Identifier: _____</p>		
<p>State Use Only:</p>		
<p>6. Date Received by State: _____</p> <p>7. State Application Identifier: _____</p>		
<p>8. APPLICANT INFORMATION:</p>		
<p>* a. Legal Name: State of Arizona - Department of Housing</p>		
<p>* b. Employer/Taxpayer Identification Number (EIN/TIN): 86-6004791</p> <p>* c. Organizational DUNS: 0867044860000</p>		
<p>d. Address:</p>		
<p>* Street1: 1110 W. Washington</p> <p>Street2: Suite 280</p> <p>* City: Phoenix</p> <p>County/Parish: Maricopa</p> <p>* State: AZ: Arizona</p> <p>Province: _____</p> <p>* Country: USA: UNITED STATES</p> <p>* Zip / Postal Code: 850070000</p>		
<p>e. Organizational Unit:</p>		
<p>Department Name: State of Arizona</p> <p>Division Name: Department of Housing</p>		
<p>f. Name and contact information of person to be contacted on matters involving this application:</p>		
<p>Prefix: Ms. * First Name: Carol</p> <p>Middle Name: _____</p> <p>* Last Name: Ditmore</p> <p>Suffix: _____</p> <p>Title: Director</p> <p>Organizational Affiliation: _____</p> <p>* Telephone Number: 602-771-1000 Fax Number: _____</p> <p>* Email: carol.ditmore@azhousing.gov</p>		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="A: State Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.228"/>	
<b>CFDA Title:</b> <input type="text" value="Community Development Block Grant - State and Small Cities Program"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="Annual Action Plan"/>	
<b>* Title:</b> <input type="text" value="FY2015-FY2019 5 year Consolidated Plan - Fifth Year Action Plan FY 2019"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text" value="SF424 Attachment - Congressional Districts."/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="State and Small Cities Community Development Block Grant funding for non-entitlement cities and counties in the State of Arizona"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant	AZ-001
* b. Program/Project	CDBG
Attach an additional list of Program/Project Congressional Districts if needed.	
SF424 Attachment - Congressional Districts <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>17. Proposed Project:</b>	
* a. Start Date:	07/01/2019
* b. End Date:	06/30/2020
<b>18. Estimated Funding (\$):</b>	
* a. Federal	10,108,167.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	10,108,167.00
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>	
<input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on 05/15/2019 .	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input type="checkbox"/> c. Program is not covered by E.O. 12372.	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</b>	
<input type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
<b>Authorized Representative:</b>	
Prefix:	Ms.
* First Name:	Carol
Middle Name:	
* Last Name:	Ditmore
Suffix:	
* Title:	Director
* Telephone Number:	602-771-1000
Fax Number:	
* Email:	carol.ditmore@azhousing.gov
* Signature of Authorized Representative:	
* Date Signed:	5/14/19




OMB Number: 4040-0004

Expiration Date: 10/31/2019

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>		
* 3. Date Received:		4. Applicant Identifier:
<input type="text"/>		<input type="text"/>
5a. Federal Entity Identifier:		5b. Federal Award Identifier:
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State Use Only:		
6. Date Received by State:		7. State Application Identifier:
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8. APPLICANT INFORMATION:		
* a. Legal Name: State of Arizona - Department of Housing		
* b. Employer/Taxpayer Identification Number (EIN/TIN):		* c. Organizational DUNS:
86-6004791		0867044880000
d. Address:		
* Street1:	1110 W. Washington	
Street2:	Suite 280	
* City:	Phoenix	
County/Parish:	Maricopa	
* State:	AZ: Arizona	
Province:		
* Country:	USA: UNITED STATES	
* Zip / Postal Code:	850070000	
e. Organizational Unit:		
Department Name:		Division Name:
State of Arizona		Department of Housing
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix:	Ms.	* First Name: Carol
Middle Name:		
* Last Name:	Ditmore	
Suffix:		
Title:	Director	
Organizational Affiliation:		
<input type="text"/>		
* Telephone Number:	602-771-1000	Fax Number:
* Email: carol.ditmore@azhousing.gov		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="A: State Government"/> <b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/> <b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/> <b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.239"/> <b>CFDA Title:</b> <input type="text" value="HOME Investment Partnership Program - 24 CFR Part 92"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="Annual Action Plan"/> <b>* Title:</b> <input type="text" value="FY2015-FY2019 5 year Consolidated Plan - Fifth Year Action Plan FY 2019"/>	
<b>13. Competition Identification Number:</b> <input type="text"/> <b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text" value="SF424 Attachment - Congressional Districts."/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="State of Arizona - non-entitlement HOME Investment Partnership Program (HOME)"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	



Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant	AZ-001
* b. Program/Project	HOME
Attach an additional list of Program/Project Congressional Districts if needed.	
<div>SF424 Attachment - Congressional Districts</div> <div>Add Attachment Delete Attachment View Attachment</div>	
<b>17. Proposed Project:</b>	
* a. Start Date:	06/01/2019
* b. End Date:	06/30/2020
<b>18. Estimated Funding (\$):</b>	
* a. Federal	5,607,336.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	5,607,336.00
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>	
<input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on 05/15/2019 .	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
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<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<div></div> <div>Add Attachment Delete Attachment View Attachment</div>	
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</b>	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
<b>Authorized Representative:</b>	
Prefic:	Ms.
* First Name:	Carol
Middle Name:	
* Last Name:	Ditmore
Suffic:	
* Title:	Director
* Telephone Number:	602-771-1000
Fax Number:	
* Email:	carol.ditmore@azhousing.gov
* Signature of Authorized Representative:	
* Date Signed:	5/14/19

OMB Number: 4040-0004  
Expiration Date: 10/31/2019

Application for Federal Assistance SF-424		
<div> <div> * 1. Type of Submission:  <input type="checkbox"/> Preapplication  <input checked="" type="checkbox"/> Application  <input type="checkbox"/> Changed/Corrected Application </div> <div> * 2. Type of Application:  <input checked="" type="checkbox"/> New  <input type="checkbox"/> Continuation  <input type="checkbox"/> Revision </div> <div> * If Revision, select appropriate letter(s):  <input type="text"/>  * Other (Specify):  <input type="text"/> </div> </div>		
* 3. Date Received: <input type="text"/>		4. Applicant Identifier: <input type="text"/>
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: 866004791
<b>State Use Only:</b>		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: Arizona Department of Economic Security		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 86-6004791		* c. Organizational DUNS: 1367304340000
<b>d. Address:</b>		
* Street1: 1789 W. Jefferson		
Street2: <input type="text"/>		
* City: Phoenix		
County/Parish: Maricopa		
* State: AZ: Arizona		
Province: <input type="text"/>		
* Country: USA: UNITED STATES		
* Zip / Postal Code: 850070000		
<b>e. Organizational Unit:</b>		
Department Name: Dept. of Economic Security		Division Name: Div. of Aging and Adult Serv.
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: Mr.	* First Name: Michael	
Middle Name:	<input type="text"/>	
* Last Name:	Traylor	
Suffix:	<input type="text"/>	
Title: Director		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: 602-542-5757		Fax Number: <input type="text"/>
* Email: mtraylor@azdes.gov		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="A: State Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.231"/>	
<b>CFDA Title:</b> <input type="text" value="Emergency Solutions Grant"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="Annual Action Plan"/>	
<b>* Title:</b> <input type="text" value="FY2015-FY2019 5 year Consolidated Plan - Fifth Year Action Plan FY 2019"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text" value="SF424 Attachment - Congressional Districts."/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="State of Arizona - non-entitlement Emergency Solutions Grant"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424															
<b>16. Congressional Districts Of:</b> <div style="display: flex; justify-content: space-between;"> <div>* a. Applicant: <input type="text" value="AZ-004"/></div> <div>* b. Program/Project: <input type="text" value="ESG"/></div> </div>															
Attach an additional list of Program/Project Congressional Districts if needed. <div style="display: flex; justify-content: space-between; align-items: center;"> <span>SF424 Attachment - Congressional Districts</span> <span>Add Attachment</span> <span>Delete Attachment</span> <span>View Attachment</span> </div>															
<b>17. Proposed Project:</b> <div style="display: flex; justify-content: space-between;"> <div>* a. Start Date: <input type="text" value="07/01/2019"/></div> <div>* b. End Date: <input type="text" value="06/30/2020"/></div> </div>															
<b>18. Estimated Funding (\$):</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">* a. Federal</td> <td style="width: 85%; text-align: right;">1,835,776.00</td> </tr> <tr> <td>* b. Applicant</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>* c. State</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>* d. Local</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>* e. Other</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>* f. Program Income</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>* g. TOTAL</td> <td style="text-align: right;">1,835,776.00</td> </tr> </table>		* a. Federal	1,835,776.00	* b. Applicant	<input type="text"/>	* c. State	<input type="text"/>	* d. Local	<input type="text"/>	* e. Other	<input type="text"/>	* f. Program Income	<input type="text"/>	* g. TOTAL	1,835,776.00
* a. Federal	1,835,776.00														
* b. Applicant	<input type="text"/>														
* c. State	<input type="text"/>														
* d. Local	<input type="text"/>														
* e. Other	<input type="text"/>														
* f. Program Income	<input type="text"/>														
* g. TOTAL	1,835,776.00														
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b> <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on           <input type="text" value="05/15/2019"/> </div> <div> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.           </div> <div> <input type="checkbox"/> c. Program is not covered by E.O. 12372.           </div> </div>															
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b> <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Yes           <input checked="" type="checkbox"/> No         </div> <div>           If "Yes", provide explanation and attach  <input type="text"/> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <span>Add Attachment</span> <span>Delete Attachment</span> <span>View Attachment</span> </div>															
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</b> <input checked="" type="checkbox"/> ** I AGREE <small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small>															
<b>Authorized Representative:</b> <div style="display: flex; justify-content: space-between;"> <div>           Prefix: <input type="text" value="Mr."/>           Middle Name: <input type="text"/>           Suffix: <input type="text"/> </div> <div>           * First Name: <input type="text" value="Michael"/> </div> </div> <div style="display: flex; justify-content: space-between;"> <div>           * Last Name: <input type="text" value="Traylor"/> </div> <div>           * Title: <input type="text" value="Director"/> </div> </div> <div style="display: flex; justify-content: space-between;"> <div>           * Telephone Number: <input type="text" value="602-542-5757"/> </div> <div>           Fax Number: <input type="text"/> </div> </div> <div style="display: flex; justify-content: space-between;"> <div>           * Email: <input type="text" value="mtraylor@azdhs.gov"/> </div> <div>           * Signature of Authorized Representative:  </div> <div>           * Date Signed: <input type="text" value="5.15.19"/> </div> </div>															


OMB Number: 4040-0004

Expiration Date: 8/31/2016

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* If Revision, select appropriate letter(s): <input type="text"/>		
* Other (Specify): <input type="text"/>		
* 3. Date Received: <input type="text"/>		4. Applicant Identifier: <input type="text"/>
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text"/>
<b>State Use Only:</b>		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="State of Arizona - Department of Housing"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="86-6004791"/>		* c. Organizational DUNS: <input type="text" value="0867044880000"/>
<b>d. Address:</b>		
* Street1: <input type="text" value="1110 W. Washington"/>		
Street2: <input type="text" value="Suite 280"/>		
* City: <input type="text" value="Phoenix"/>		
County/Parish: <input type="text" value="Maricopa"/>		
* State: <input type="text" value="AZ: Arizona"/>		
Province: <input type="text"/>		
* Country: <input type="text" value="USA: UNITED STATES"/>		
* Zip / Postal Code: <input type="text" value="850070000"/>		
<b>e. Organizational Unit:</b>		
Department Name: <input type="text" value="State of Arizona"/>		Division Name: <input type="text" value="Department of Housing"/>
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: <input type="text" value="Ms."/> * First Name: <input type="text" value="Carol"/>		
Middle Name: <input type="text"/>		
* Last Name: <input type="text" value="Ditmore"/>		
Suffix: <input type="text"/>		
Title: <input type="text" value="Director"/>		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="602-771-1000"/>		Fax Number: <input type="text"/>
* Email: <input type="text" value="carol.ditmore@azhousing.gov"/>		



Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="A: State Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.275"/>	
<b>CFDA Title:</b> <input type="text" value="Housing Trust Fund"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="Annual Action Plan"/>	
<b>* Title:</b> <input type="text" value="FY2015-FY2019 5 year Consolidated Plan - Fifth Year Action Plan FY2019"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="HTF funding for all areas within the State of Arizona."/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant	AZ-001
* b. Program/Project	HTE
Attach an additional list of Program/Project Congressional Districts if needed.	
<div>SF424 Attachment - Congressional Districts</div> <div>Add Attachment Delete Attachment View Attachment</div>	
<b>17. Proposed Project:</b>	
* a. Start Date:	07/01/2019
* b. End Date:	06/30/2020
<b>18. Estimated Funding (\$):</b>	
* a. Federal	3,801,428.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	3,801,428.00
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>	
<input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on 05/15/2019.	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input type="checkbox"/> c. Program is not covered by E.O. 12372.	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<div></div> <div>Add Attachment Delete Attachment View Attachment</div>	
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</b>	
<input checked="" type="checkbox"/> ** I AGREE	
<b>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</b>	
<b>Authorized Representative:</b>	
Prefix:	Ms.
* First Name:	Carol
Middle Name:	
* Last Name:	Ditmore
Suffix:	
* Title:	Director
* Telephone Number:	602-771-1000
Fax Number:	
* Email:	carol.ditmore@azhousing.gov
* Signature of Authorized Representative:	
* Date Signed:	5/14/19


OMB Number: 4040-0004

Expiration Date: 10/31/2019

Application for Federal Assistance SF-424		
<p><b>* 1. Type of Submission:</b></p> <p><input type="checkbox"/> Preapplication</p> <p><input checked="" type="checkbox"/> Application</p> <p><input type="checkbox"/> Changed/Corrected Application</p>		
<p><b>* 2. Type of Application:</b></p> <p><input checked="" type="checkbox"/> New</p> <p><input type="checkbox"/> Continuation</p> <p><input type="checkbox"/> Revision</p>		
<p><b>* If Revision, select appropriate letter(s):</b></p> <p><input type="text"/></p> <p><b>* Other (Specify):</b></p> <p><input type="text"/></p>		
<p><b>* 3. Date Received:</b></p> <p><input type="text"/></p>		<p><b>4. Applicant Identifier:</b></p> <p><input type="text"/></p>
<p><b>5a. Federal Entity Identifier:</b></p> <p><input type="text"/></p>		<p><b>5b. Federal Award Identifier:</b></p> <p><input type="text"/></p>
<p><b>State Use Only:</b></p>		
<p><b>6. Date Received by State:</b></p> <p><input type="text"/></p>		<p><b>7. State Application Identifier:</b></p> <p><input type="text"/></p>
<p><b>8. APPLICANT INFORMATION:</b></p>		
<p><b>* a. Legal Name:</b> <input type="text" value="State of Arizona - Department of Housing"/></p>		
<p><b>* b. Employer/Taxpayer Identification Number (EIN/TIN):</b></p> <p><input type="text" value="86-6004791"/></p>		<p><b>* c. Organizational DUNS:</b></p> <p><input type="text" value="0867044880000"/></p>
<p><b>d. Address:</b></p>		
<p><b>* Street1:</b> <input type="text" value="1110 W. Washington"/></p> <p><b>Street2:</b> <input type="text" value="Suite 280"/></p> <p><b>* City:</b> <input type="text" value="Phoenix"/></p> <p><b>County/Parish:</b> <input type="text" value="Maricopa"/></p> <p><b>* State:</b> <input type="text" value="AZ: Arizona"/></p> <p><b>Province:</b> <input type="text"/></p> <p><b>* Country:</b> <input type="text" value="USA: UNITED STATES"/></p> <p><b>* Zip / Postal Code:</b> <input type="text" value="850070000"/></p>		
<p><b>e. Organizational Unit:</b></p>		
<p><b>Department Name:</b></p> <p><input type="text" value="State of Arizona"/></p>		<p><b>Division Name:</b></p> <p><input type="text" value="Department of Housing"/></p>
<p><b>f. Name and contact information of person to be contacted on matters involving this application:</b></p>		
<p><b>Prefix:</b> <input type="text" value="Ms."/> <b>* First Name:</b> <input type="text" value="Carol"/></p> <p><b>Middle Name:</b> <input type="text"/></p> <p><b>* Last Name:</b> <input type="text" value="Ditmore"/></p> <p><b>Suffix:</b> <input type="text"/></p> <p><b>Title:</b> <input type="text" value="Director"/></p> <p><b>Organizational Affiliation:</b></p> <p><input type="text"/></p> <p><b>* Telephone Number:</b> <input type="text" value="602-771-1000"/> <b>Fax Number:</b> <input type="text"/></p> <p><b>* Email:</b> <input type="text" value="carol.ditmore@azhousing.gov"/></p>		



<b>Application for Federal Assistance SF-424</b>	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="A: State Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.241"/>	
<b>CFDA Title:</b> <input type="text" value="Housing Opportunities for Persons with Aids."/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="Annual Action Plan"/>	
<b>* Title:</b> <input type="text" value="FY2015-FY2019 5 year Consolidated Plan - Fifth Year Action Plan FY 2019"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text" value="SF424 Attachment - Congressional Districts."/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="State of Arizona - non-entitlement Housing Opportunities for Persons with Aids."/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant: <input type="text" value="AZ-001"/>	* b. Program/Project: <input type="text" value="HOPWA"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="button" value="SF424 Attachment - Congressional Districts"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>17. Proposed Project:</b>	
* a. Start Date: <input type="text" value="07/01/2019"/>	* b. End Date: <input type="text" value="06/30/2020"/>
<b>18. Estimated Funding (\$):</b>	
* a. Federal	<input type="text" value="349,656.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="349,656.00"/>
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>	
<input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text" value="05/15/2019"/> .	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input type="checkbox"/> c. Program is not covered by E.O. 12372.	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</b>	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
<b>Authorized Representative:</b>	
Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Carol"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Ditmore"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Director"/>	
* Telephone Number: <input type="text" value="602-771-1000"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="carol.ditmore@azhousing.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="5/14/19"/>

## STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

**Affirmatively Further Fair Housing** -- The State will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** -- To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of State** -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the State's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

  
Signature of Authorized Official

5/14/19  
Date

  
Title

### Specific Community Development Block Grant Certifications

The State certifies that:

**Citizen Participation** -- It is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

#### Consultation with Local Governments --

1. It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
2. It engages in or will engage in planning for community development activities;
3. It provides or will provide technical assistance to units of local government in connection with community development programs; and
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

**Local Needs Identification** -- It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available.
2. Overall Benefit. In the aggregate, not less than 70 percent of the CDBG funds, including Section 108 guaranteed loans, received by the State during the following fiscal year(s) 2019 - 2020 [a period designated by the State of one, two, or three specific consecutive fiscal year(s)] will be used for activities that benefit persons of low and moderate income.



3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG Funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force --** It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws --** The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Compliance with Laws --** It will comply with applicable laws.

  
Signature of Authorized Official

5/14/19  
Date

  
Title

**Specific HOME Certifications**

The State certifies that:

**Tenant Based Rental Assistance** -- If it plans to use HOME funds for tenant-based rental assistance, tenant-based rental assistance is an essential element of the State's consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy Layering** -- Before committing any funds to a project, the State or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

  
 Signature of Authorized Official

5/14/19  
 Date

Director  
 Title

**Housing Opportunities for Persons With AIDS Certifications**

The State HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under the program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years, in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance,
2. For a period of not less than 3 years, in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

  
 Signature of Authorized Official

5/14/19  
 Date

Director  
 Title

### Emergency Solutions Grant Certifications

Each State that seeks funding under the Emergency Solutions Grants Program must provide the following certifications:

**Matching Funds** – The State will obtain any matching amounts required under 24 CFR 576.201 in a manner so that its subrecipients that are least capable of providing matching amounts receive the benefit of the exception under 24 CFR 576.201(a)(2).

**Discharge Policy** – The State will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

**Confidentiality** – The State will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

The State will ensure that its subrecipients comply with the following criteria:

**Major rehabilitation/conversion/renovation** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – If ESG funds are used for shelter operations or essential services related to street outreach or emergency shelter, the subrecipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the applicant serves the same type of persons (e.g., families with children, unaccompanied youth, veterans, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The subrecipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

**Homeless Persons Involvement** – To the maximum extent practicable, the subrecipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under ESG, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted ESG.

**Consolidated Plan** – All activities the subrecipient undertakes with assistance under ESG are consistent with the State's current HUD-approved consolidated plan.

  
\_\_\_\_\_  
Signature of Authorized Official

5-15-19  
Date

Director  
\_\_\_\_\_  
Title



## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

#### **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.