



# NORTH CAROLINA DRAFT 2019 ANNUAL ACTION PLAN

*Developed by*  
*North Carolina Department of Commerce*  
*North Carolina Housing Finance Agency*  
*North Carolina Department of Health and Human Services, Division of Aging and Adult Services*  
*North Carolina Department of Health and Human Services, HIV Care Program*

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# Executive Summary

## AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

### 1. Introduction

For the Program Year, the State of North Carolina estimates it will receive federal resources from the U.S. Department of Housing and Urban Development (HUD) equal to the funding amount for 2018, or approximately \$80 million. The five programs covered by this Action Plan are as follows: Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), HOME Investment Partnership (HOME), national Housing Trust Fund (HTF), and Housing Opportunities for Persons with AIDS (HOPWA). Funds for these programs have been significantly reduced over the past several years, and as resources have decreased, needs have been prioritized and investments have been targeted to achieve the greatest impact on the goals and objectives identified in the state's Consolidated Plan.

### 2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The state realizes it cannot meet all housing need, but it can strategically invest its limited resources to alleviate important housing problems for North Carolina's households.

The state has three basic goals:

- To provide decent and affordable housing;
- To provide a suitable living environment; and
- To expand economic opportunity.

The primary means through which these goals are achieved is the provision of affordable housing and utility infrastructure improvements. Each agency operates programs that help to fulfill these goals, while meeting housing and service-related needs statewide. This document, the 2019 Consolidated Annual Action Plan of the State of North Carolina, serves as the annual application to the U.S. Department of Housing and Urban Development (HUD) for funding for the following housing-related projects:

- \$47.9 million in Community Development Block Grant (CDBG) funds, to be administered by the Department of Commerce, Rural Economic Development Division (REDD) ;
- \$18.3 million in HOME Investment Partnership Program (HOME) funds, and additional HOME match dollars, to be administered by the North Carolina Housing Finance Agency;
- \$5.9 million in national Housing Trust Fund (HTF) funds, to be administered by the North Carolina Housing Finance Agency;

- \$4.9 million in Emergency Solutions Grant (ESG) funds, to be administered by the Department of Health and Human Services, Division of Aging and Adult Services; and
- \$2.4 million in Housing Opportunities for Persons with AIDS (HOPWA) funds, to be administered by the Department of Health and Human Services, HIV Care Program.

For information regarding specific programs, please visit the organization websites:

- Department of Commerce, Division of Community Assistance – <http://www.nccommerce.com/rd/state-cdbg/consolidated-plan>
- North Carolina Housing Finance Agency – [www.nchfa.com](http://www.nchfa.com)
- HIV Care Program – <http://epi.publichealth.nc.gov/cd/hiv/program.html>
- Division of Aging and Adult Services - <https://www.ncdhhs.gov/divisions/aging-and-adult-services/nc-emergency-solutions-grant>

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The state of North Carolina evaluates its performance annually on meeting the goals of the strategic plan and the action plan. The North Carolina Consolidated Annual Performance & Evaluation Report (CAPER) is submitted to HUD on March 31 of each year, 90 days after the close of the Consolidated Plan program year. The most recent past performance and evaluation reports can be downloaded from the Commerce website at <http://www.nccommerce.com/rd/state-cdbg/consolidated-plan>.

Of the total funding for housing activities to be undertaken in 2019, funds from ESG and HOPWA will address high priority needs, funds from HOME and CDBG will address medium priority needs. The anticipated distribution of funding by agency and by priority need category is determined annually based on the five-year goals stated in the Consolidated Plan.

### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The State of North Carolina has developed a North Carolina Consolidated Plan Citizen Participation Plan. This plan is a guidance document to ensure and encourage public participation throughout the Consolidated Planning process. It sets forth the State's policies and procedures for citizen participation. The plan can be downloaded from the Commerce website at [www.nccommerce.com/rd/state-cdbg](http://www.nccommerce.com/rd/state-cdbg).

The State of North Carolina encourages citizens to participate in the planning, implementation, and assessment of the Consolidated Plan for HUD Community Planning and Development programs. This plan describes how this participation is encouraged.

## **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The Annual Action Plan is under development and comments will be included with the final draft.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

All comments will be accepted upon receipt.

## **7. Summary**

The State will develop an action plan annually for the use of its funds and submit this plan with certifications. The State will receive comments from citizens and units of general local government on the proposed action plan for a period of not less than 30 days from the date when the draft is available to the public. The comment period will be publicized in the notices published in the newspaper and mailed to interested parties. Any comments received in writing or orally at the public hearings will be considered in preparing the final submission. The final action plan will include a summary of these comments or views and the reasons for not accepting any comments received. Public comment for this plan began on October 5, 2018 and ended on November 5, 2018.

## PR-05 Lead & Responsible Agencies - 91.300(b)

### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	NORTH CAROLINA	
CDBG Administrator	NORTH CAROLINA	NC Department of Commerce
HOPWA Administrator	NORTH CAROLINA	NC Department of Health and Human Services
HOME Administrator	NORTH CAROLINA	North Carolina Housing Finance Agency
ESG Administrator	NORTH CAROLINA	NC Department of Health and Human Services
HTF Administrator	NORTH CAROLINA	North Carolina Housing Finance Agency

Table 1 – Responsible Agencies

### Narrative

**NC Department of Commerce's Community Development Block Grant (CDBG).** Funds are available to local municipal or county governments for projects to enhance the vitality of communities by providing decent housing and suitable living environments and expanding economic opportunities. These grants primarily serve persons of low- and moderate- incomes. State CDBG funds are provided by the U.S. Department of Housing and Urban Development (HUD) to the state of North Carolina. Some urban counties and cities in North Carolina receive CDBG funding directly from HUD. The primary statutory objective of the CDBG program is to develop viable communities by providing decent housing and a suitable living environment and by expanding economic opportunities. These grants primarily serve persons of low- and moderate-income, as the State must ensure that at least 70 percent of its CDBG grant funds are used for activities that benefit these persons. All municipalities are eligible to receive State CDBG funds except for entitlement communities which receive funds directly from HUD. North Carolina's 24 entitlement municipalities are: Asheville, Burlington, Cary, Chapel Hill, Charlotte, Concord, Durham, Fayetteville, Gastonia, Goldsboro, Greensboro, Greenville, Hickory, High Point, Jacksonville, Kannapolis, Lenoir, Morganton, New Bern, Raleigh, Rocky Mount, Salisbury, Wilmington, and Winston-Salem. In addition, all counties are eligible to receive State CDBG funds except Mecklenburg County, Wake County, Union and

Cumberland County, which have been designated by HUD as urban entitlement counties. As entitlement counties, neither the counties nor their municipalities are eligible for Small Cities funding, except the towns of Holly Springs and Linden.

**CDBG Infrastructure (CDBG-I).** In 2013, the North Carolina General Assembly allocated Community Development Block Grant funds to the Infrastructure program and transferred funds to the Division of Water Infrastructure to administer. The purpose of these funds is to construct public water and sewer infrastructure to mitigate public and environmental health problems in areas where the percentage of low to moderate income persons is at least 51 percent.

**CDBG Economic Development (CDBG-ED).** CDBG-ED provides grants to local governments for public infrastructure development. Funds are administered based on an annual federal allocation to North Carolina from the U.S. Department of Housing and Urban Development (HUD) and are made available to most local governments for economic development projects. Companies cannot apply directly for this funding, but instead work collaboratively with a local government applicant. Under the authority of Title, I of the U.S. Housing and Community Development Act of 1974 (as amended), the CDBG ED Program in North Carolina is designed to benefit low- and moderate-income persons through job creation. Funding eligibility is contingent upon the creation of permanent, full-time jobs meeting the following qualifying condition: at least 60% must be made available to persons whose household income over the previous 12 months was less than 80% of the median income for the area. For purposes of the CDBG ED Program, income eligibility is determined from data published annually for HUD's Section 8 housing program.

**CDBG Disaster Recovery (CDBG DR).** CDBG-DR provides flexible grants from HUD to help cities, counties, and States recover from Presidentially declared disasters, especially in low-income areas, subject to availability of supplemental appropriations. In response to Presidentially declared disasters, Congress may appropriate additional funding for the Community Development Block Grant (CDBG) program as Disaster Recovery grants to rebuild the affected areas and provide crucial seed money to start the recovery process. Since CDBG Disaster Recovery (CDBG-DR) assistance may fund a broad range of recovery activities, HUD can help communities and neighborhoods that otherwise might not recover due to limited resources. Hurricane Florence hit North Carolina on September 13-14, 2018 causing devastation to areas hard hit by Hurricane Matthew in addition to causing devastation in newly impacted areas of North Carolina. The Department of Commerce is assessing a myriad of resources and opportunities to partner with entities to address the needs of the impacted communities in the state as expeditiously as possible. CDBG will deploy additional funds as needed to assist with disaster recovery efforts such as CDBG-NR funds by prioritizing impacted areas through the program. CDBG will access de-obligated CDBG funds and direct these funds towards disaster recovery efforts and will look into using CDBG Contingency Program funds that fall outside of the CDBG normal protocol. In deploying resources, CDBG will ensure that there is no duplication of efforts and that funds are used as gap coverage to existing efforts.

Hurricane Matthew hit North Carolina on October 8-9, 2016, causing an estimated \$4.8 billion in damage across half of North Carolina's 100 counties. HUD appropriated \$236,529,000 in Community Development Block Grant funds to the state of North Carolina for recovery from Hurricane Matthew.

**CDBG Neighborhood Revitalization (CDBG-NR).** CDBG-NR grants offer approximately \$10 million to non-entitlement municipalities and counties the opportunity to implement community development needs such as housing and public facility activity projects to the localities primarily for the low to moderate income residents. The CDBG-NR program supports three (3) livability principles that help guide sustainability and resiliency throughout areas that receive funding. These principles are (1) promote equitable, affordable housing, (2) support existing communities, and (3) value communities and neighborhoods.

**CDBG Contingency/Demonstration Projects.** Examples of Contingency Projects within CDBG are The NC Main Street and Small Town Main Street Program(s). NC Main Street is a Main Street America™ Coordinating Program. Main Street America™ has helped revitalize older and historic commercial districts for more than 35 years. Today it is a network of more than 1,600 neighborhoods and communities who share both a commitment to place and to building stronger communities through preservation-based economic development. Based on the same concepts as the original Main Street program, Small Town Main Street offers downtown revitalization assistance to the state's very smallest communities. Small Town Main Street serves selected towns throughout the state that lack the resources to hire professional downtown managers. The NC Main Street Center team works closely with committees within these communities, providing technical assistance and helping facilitate local initiatives in the areas of organization, design, promotion and economic vitality.

**HOPWA.** The North Carolina HIV Care Program (HCP) funds 10 Regional Networks of Prevention and Care across the State as part of an HIV Patient Management Prevention and Care Model. Each Network of Prevention and Care includes the provision of Ryan White Part B core medical and support services including outpatient/ambulatory care, mental health, outpatient substance abuse, medical and non-medical case management, oral health, health insurance premium cost -sharing assistance and transportation services; prevention services including testing and counseling services; HOPWA services including Tenant Based Rental Assistance (TBRA), Short-Term Rent, Mortgage and Utility Assistance (STRMU), Permanent Housing Placement (PHP), Supportive Services (SS), Housing Information (HI) and Resource Identification (RI) for individuals living with HIV/AIDS. Currently, the HCP funds 10 HOPWA Project Sponsors within the 10 Regional Networks of Prevention and Care across the State. All funded and non-funded agencies that make up the Regional Networks of Prevention and Care, participate in a minimum of quarterly Regional Network Meetings to address the needs of persons living with HIV/AIDS in their respective Networks of Prevention and Care. The Communicable Disease Branch has integrated the Ryan White Part B and HOPWA programs with the Prevention and Community Planning



program. This includes holding joint Ryan White, HOPWA and Prevention Providers meetings and HIV Prevention and Care Advisory Committee (HPCAC) meetings.

**ESG.** NC ESG is a member of the Governor's Inter-Agency Council on Homelessness. The agency also works in collaboration of other state and private non-profit organizations including the North Carolina Coalition to End Homelessness, the North Carolina Housing Coalition, Continuum of Care Organizations, the HMIS Governance Committee, providers and municipalities throughout the state.

**HOME.** HOME funds are used as a funding source for several programs run by the North Carolina Housing Finance Agency, including the Single Family Rehab Loan Pool (SFRLP), the Rental Production Program (RPP), the Self Help Loan Pool (SHLP), the Supportive Housing Development Program (SHDP), and the Community Partners Loan Pool (CPLP). SFRLP rehabilitates homes owned by elderly and/or disabled persons. RPP finances the development of rental units that receive LIHTC. SHLP helps enable households to purchase Habitat for Humanity homes. CPLP helps offers zero-interest, deferred, second mortgages that are generally combined with NCHFA's NC Home Advantage Mortgage. SHDP develops housing for people who are low income and disabled and/or have special housing needs.

**HTF.** HTF will help finance loans through NCHFA's Rental Production Program used in conjunction with Low Income Housing Tax Credits (LIHTC), state-appropriated funds, and other private and local funding. RPP finances the development of rental units that receive LIHTC.

### **Consolidated Plan Public Contact Information**

Consolidated Planning Coordinator  
North Carolina Department of Commerce  
4346 Mail Service Center  
Raleigh, NC 27699-4313  
Tel. (919) 814-4754  
TDD 1-800-735-2962

Fax (919) 715-0567

## **AP-10 Consultation - 91.110, 91.300(b); 91.315(l)**

### **1. Introduction**

The State of North Carolina has developed a North Carolina Consolidated Plan Consultation Plan. This plan serves as a guide that describes our State process for consulting with other public and private agencies that provide assisted housing, health services, and social and fair housing services. Input from these consultations is incorporated into our consolidated planning process. Each agency will continue to reach out to its constituency to solicit feedback for their individual programs.

**Provide a concise summary of the state's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies**

**HOPWA.** The HOPWA program ensures the maintenance of and collaborative planning for housing in NC through the Regional Networks of Prevention and Care which include funded and non-funded providers of health, prevention, housing, mental health and substance abuse services. The HOPWA program funds 10 HOPWA Project Sponsors that include local health departments, non-profit organizations, and faith-based organizations. The HOPWA program works closely with the NC HIV Prevention and Care Advisory Committee (HPCAC) to ensure that all federally required reports (e.g., NC HOPWA CAPER, Annual Action Plans and Consolidated Plans) are disseminated and reviewed for comment and feedback by the committee members, community stakeholders and people living with HIV (PLWH).

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness**

**HOPWA.** HOPWA alone does not meet all of the housing needs for persons living with HIV (PLWH). PLWH that are temporarily housed or that have unstable living arrangements have the worst health outcomes. The HOPWA program participates in the Continuum of Care (CoC) monthly calls, providing input on housing needs across the state and discussing collaborative housing opportunities. As part of the Consolidated Plan Partners, the HOPWA program works closely with ESG on addressing the needs of homeless/chronically homeless individuals living with HIV.

**ESG.** NC ESG is a member of the Governor's Inter-Agency Council on Homelessness. The agency also works in collaboration of other state and private non-profit organizations including the North Carolina Coalition to End Homelessness, the North Carolina Housing Coalition, Continuum of Care Organizations, the HMIS Governance Committee, providers and municipalities throughout the state

**Describe consultation with the Continuum(s) of Care that serves the State in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects**

**and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

**ESG.** NC ESG is in continuous contact with the CoCs and works with these organizations throughout the year as a partner providing technical assistance and monitoring. CoCs play an important role in assuring that allocations are fairly distributed according to need and organizational capacity. CoCs assist NC ESG in helping to develop policy and procedure for the operation and administration of HMIS.

**2. Agencies, groups, organizations and others who participated in the process and consultations**

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**Table 2 – Agencies, groups, organizations who participated**

**Identify any Agency Types not consulted and provide rationale for not consulting**

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

<b>Name of Plan</b>	<b>Lead Organization</b>	<b>How do the goals of your Strategic Plan overlap with the goals of each plan?</b>
11 NC Homeless Continuums of Care (CoC)	NC-DHHS ESG	
NC HIV/STD Prevention and Care Advisory Committee (HPCAC)	NC-DHHS Communicable Disease Branch-HOPWA	The HPCAC includes community stakeholders, consumers, providers of care and various human services to PLWHA. They provide comment and input on the Annual Action Plans and Consolidated Plans on affordable housing options for PLWHA to improve health outcomes.
NC HIV Prevention and Care Regional Networks of Care	NC-DHHS Communicable Disease Branch-HOPWA	The goal to achieve housing stability for Persons Living with HIV/AIDS has a positive impact on improving health outcomes through also achieving HIV Viral Suppression. Provide input on the Annual Action Plans and Consolidated Plans on affordable housing for PLWHA.

**Table 3 - Other local / regional / federal planning efforts**

## **Narrative**

**ESG.** There are several requirements in the CoC Program interim rule that facilitates coordination between CoC and ConPlan jurisdictions regarding the ConPlan process. Applicant for CoC Program funding are coordinating with ConPlan jurisdictions to ensure that their applications are consistent with the HUD approved Con Plans for the jurisdictions in which the proposed project will be located.

Consultation between CoCs and ConPlan jurisdictions, and a CoCs participation in the public comment process as the ConPlan jurisdiction is developing the ConPlan, ensures that the CoCs plan to prevent and end homelessness.

## **AP-12 Participation - 91.115, 91.300(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation**

#### **Summarize citizen participation process and how it impacted goal-setting**

The State of North Carolina has developed a North Carolina Consolidated Plan Citizen Participation Plan. This plan is a guide to ensure and encourage public participation throughout the Consolidated Planning process. It sets forth the State's policies and procedures for citizen participation. The plan can be downloaded from the Commerce website at [www.nccommerce.com/rd/state-cdbg](http://www.nccommerce.com/rd/state-cdbg).

The State of North Carolina encourages citizens to participate in the planning, implementation, and assessment of the Consolidated Plan for HUD Community Planning and Development programs. This plan describes how this participation is encouraged. Live and recorded webinar access is also being provided in 2018.

Citizens may make comments or request information regarding the Consolidated Plan by telephone, mail, or facsimile transmission to the Consolidated Planning Coordinator, North Carolina Department of Commerce, 4346 Mail Service Center, Raleigh, North Carolina 27699-4313; Tel. (919) 814-4754; TDD 1-800-735-2962; Fax (919) 715-0567. The State will respond in the Consolidated Plan or performance report, as appropriate, to written comments received.

## Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Units of local Government</p>	<p>There were (XX) people in attendance at the public hearing that was held on October 31, 2018. This included the agencies presenting. See attached public hearing summary information.</p>	(To be filled out after the hearing)	All comments were accepted.	<p>Webinar:</p> <p><a href="https://attendee.gotowebinar.com/register/1457276612369533187">https://attendee.gotowebinar.com/register/1457276612369533187</a></p>

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources – 91.320(c)(1,2)

#### Introduction

All of the HUD funded partners in North Carolina work together to address the economic development, job creation, water/infrastructure and affordable housing needs in the state. Our partnerships are expanded to ensure the participation of community stakeholders, community members, low- to moderate-income individuals, PLWHA and their families, faith-based organizations, universities and the health care system, all of whom dedicate resources to the greatest extent possible to maximize resource.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	47,936,112	0	43,700,000	47,936,112	91,636,112	

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	18,319,973	8,000,000	0	26,319,973	21,000,000	



Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement STRMU Short term or transitional housing facilities Supportive services TBRA	2,451,540	0	2,504,422	4,955,962	10,171,731	

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	4,993,667	0	4,946,338	9,940,005	10,000,000	

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Housing Trust Fund	public - federal	Acquisition Admin and Planning Homebuyer assistance Multifamily rental new construction Multifamily rental rehab New construction for ownership	5,874,191	0	0	5,874,191	5,800,000	

**Table 5 - Expected Resources – Priority Table**

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

**CDBG.** CDBG funds will be leveraged with other sources of funds (ie. Private, local government, non-profit, etc.) These funds are used to spur economic development for job creation and retention, address the affordable housing and public facilities needs via rehabilitation, reconstruction, relocation/temporary relocation, clearance for affordable housing, parks, public facilities and substantial rehabilitation. CDBG will leverage funding to meet the disaster recovery efforts in the state by deploying funding as quickly as possible to respond to the needs of the counties impacted. CDBG-Neighborhood Revitalization funding opportunities will prioritize the areas impacted by Hurricane Florence, CDBG de-obligated funds will be used to further address gaps in services and disaster recovery efforts. All CDBG leveraging efforts will be monitored closely to ensure there are no duplication in services and efforts. CDBG will maintain and establish partnerships across the state to ensure every opportunity to maximize resources is made. For several of the CDBG programs there is no matching fund requirement (i.e., CDBG-NR). But, for

CDBG programs that require a match, the requirements are based upon the Tier a county falls in. The North Carolina Department of Commerce annually ranks the state's 100 counties based on economic well-being and assigns each a Tier designation. The 40 most distressed counties are designated as Tier 1, the next 40 as Tier 2 and the 20 least distressed as Tier 3. This Tier system is incorporated into various state programs to encourage economic activity in the less prosperous areas of the state. States are required to match the funds used for State administrative costs beyond the first \$100,000 on a one-to-one basis, as further described under III.G.3.b, "Matching Level of Effort, Earmarking – Earmarking" (24 CFR section 570.489(a)(1)).

**HOME.** HOME funds that help finance loans for NCHFA's RPP program are used in conjunction with Low Income Housing Tax Credits, state-appropriated Workforce Housing Loan Program funds, and other private and local funding. In NCHFA's CPLP program, HOME funds finance second mortgages that are combined with NCHFA's NC Home Advantage Mortgage program or a USDA Section 502 Loan. HOME-funded SHLP loans are used to compliment funds from Habitat for Humanity or other sources. In the SHDP program, HOME funds provide permanent financing that works in conjunction with other debt and equity sources to fund supportive housing developments.

**HTF.** HTF funds that help finance loans for NCHFA's RPP program are used in conjunction with Low Income Housing Tax Credits, state-appropriated Workforce Housing Loan Program funds, and other private and local funding.

**HOPWA.** HOPWA funding does not require a state match but, HOPWA funding is leveraged with other sources. The other sources most utilized to leverage HOPWA funds are:

1. Ryan White Part B (Emergency Financial Assistance),
2. Other HUD funded housing programs such as Section 8, Shelter plus Care, Veteran Housing,
3. Tenant Contribution towards rent,
4. In-kind, and
5. Other local, state and non-profit programs.

**ESG.** The Emergency Solutions Grants Program leverages these funds in concert with the NC Key Program (funded in part with HOME Match) through the provision of grants and loans to develop and operate shelters, supportive and permanent housing. ESG funding is also leveraged to combat chronic homelessness through the provision of preventive programs and activities by the Supportive Housing Development Program (SHDP) and Housing Opportunities for Persons with AIDS Program (HOPWA). SHDP helps partners develop permanent housing for persons with disabilities; Key provides operating subsidies for housing for persons with disabilities; and HOPWA provides tenant based rental assistance, short-term mortgage and utility assistance, housing information, supportive services and resource identification to persons with HIV/AIDS. ESG

funding is a dollar for dollar match. Local non-profits and units of government use cash, non-cash (in-kind), donated value of a building/materials and or volunteer hours to match ESG funding.

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**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

**CDBG.** CDBG funding may be used to assist in the rehabilitation efforts needed to public facilities owned by local units of government and non-profit agencies with facilities opened to the general public such as Senior Centers, Housing for the homeless, housing and shelter for victims of domestic violence, transitional housing facilities, community/neighborhood/recreation facilities and parks.

**HOPWA.** The NC HOPWA Project Sponsors may use Resource Identification and/or other sources to identify landlords, facility-based housing and public housing organizations to establish relationships and increase landlord participation in the HOPWA Tenant-Based Rental Assistance Program to expand affordable housing options for persons living with HIV/AIDS.

**Discussion**

**ESG.** The Emergency Solutions Grants Program leverages these funds in concert with the NC Key Program. ESG funding is also leveraged to combat chronic homelessness through the provision of preventive programs and activities by the Supportive Housing Development Program (SHDP) and Housing Opportunities for Persons with AIDS Program (HOPWA). SHDP helps partners develop permanent housing for persons with disabilities; Key provides operating subsidies for housing for persons with disabilities; and HOPWA provides tenant based rental assistance, short-term mortgage and utility assistance, permanent housing placement, housing information, supportive services and resource identification to persons living with HIV/AIDS. ESG funding is a dollar for dollar match. Local non-profits and units of government use cash, non-cash (in-kind), donated value of a building/materials and or volunteer hours to match ESG funding. However, this year, (18/19) the department will provide match for all participating agencies.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives – 91.320(c)(3)&(e)

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	NCHFA Single Family Rehab Units	2016	2020	Non-Homeless Special Needs	Statewide	Housing for Non-homeless Persons w/ Special Needs	HOME: \$5,603,788	Homeowner Housing Rehabilitated: 120 Household Housing Unit
2	NCHFA Multifamily Units	2016	2020	Affordable Housing		Housing for Non-homeless Persons w/ Special Needs Housing for households under 30% AMI Housing for households 31-60% AMI	HOME: \$5,323,599 Housing Trust Fund: \$5,286,772	Rental units constructed: 861 Household Housing Unit
3	NCHFA Homeownership Units	2016	2020	Affordable Housing		Housing for households under 30% AMI	HOME: \$12,760,588	Direct Financial Assistance to Homebuyers: 403 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	HOPWA -Tenant-Based Rental Assistance	2016	2020	Affordable Housing Non-Homeless Special Needs		Housing for Non-homeless Persons w/ Special Needs Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI	HOPWA: \$1,539,205	Tenant-based rental assistance / Rapid Rehousing: 254 Households Assisted
5	HOPWA Short-Term Rent, Mortgage and Utilities	2016	2020	Affordable Housing Public Housing Non-Homeless Special Needs			HOPWA: \$270,010	Homelessness Prevention: 431 Persons Assisted



Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
6	HOPWA- Supportive Services	2016	2020	Non-Homeless Special Needs		Housing for Non-homeless Persons w/ Special Needs Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI	HOPWA: \$142,761	Other: 531 Other
7	HOPWA-Permanent Housing Placement	2016	2020	Non-Homeless Special Needs		Housing for Non-homeless Persons w/ Special Needs Housing for households under 30% AMI Housing for households 31-60% AMI	HOPWA: \$37,005	Homelessness Prevention: 52 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
8	HOPWA-Resource Identification/Housing Information	2016	2020	Non-Homeless Special Needs		Housing for Non-homeless Persons w/ Special Needs Housing for households under 30% AMI Housing for households 31-60% AMI Housing for households 61-80% AMI	HOPWA: \$34,271	Other: 83 Other
9	CDBG - Economic Development	2016	2020	Non-Housing Community Development	Statewide		CDBG: \$10,000,000	Jobs created/retained: 830 Jobs
10	CDBG - Infrastructure	2016	2020	Non-Housing Community Development			CDBG: \$20,000,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 20000 Persons Assisted
11	CDBG – Neighborhood Revitalization	2018	2020	Non-Homeless Special Needs	Statewide	Housing for Non-Homeless Persons w/ Special Needs	CDBG: \$10,000,000	Homeowner Housing Rehabilitated: 3000 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
12	ESG - Assist those at risk of homelessness	2016	2020	Homeless		Housing for households under 30% AMI	ESG: \$308,281	Homelessness Prevention: 211 Persons Assisted
13	ESG - Financial Assistance to Homeless	2016	2020	Homeless		Housing for Homeless Families and Individuals	ESG: \$7,168,610	Tenant-based rental assistance / Rapid Rehousing: 5924 Households Assisted

**Table 6 – Goals Summary**

### Goal Descriptions

1	Goal Name	NCHFA Single Family Rehab Units
	Goal Description	Provide essential and critical home rehabilitation to homeowners earning up to 80% of area median income who are elderly, disabled, and/or qualified veterans or have other special housing needs.
2	Goal Name	NCHFA Multifamily Units
	Goal Description	Provide long-term financing for rental developments financed with the Housing Credit, which provide housing for households earning up to 60% of area median income.
3	Goal Name	NCHFA Homeownership Units
	Goal Description	Provide affordable mortgages and/or down payment assistance, through community partners, to home buyers earning up to 80% of area median income.

4	<b>Goal Name</b>	HOPWA -Tenant-Based Rental Assistance
	<b>Goal Description</b>	Obtain permanent housing that is safe, decent and affordable for persons living with HIV and their families within each Network of Prevention and Care in North Carolina
5	<b>Goal Name</b>	HOPWA Short-Term Rent, Mortgage and Utilities
	<b>Goal Description</b>	Provide short-term rent, mortgage and utility assistance to prevent homelessness and assist persons living with HIV and their families maintain housing
6	<b>Goal Name</b>	HOPWA - Facility Based Operating Cost
	<b>Goal Description</b>	The North Carolina HOPWA program is not funding facility based operating costs
7	<b>Goal Name</b>	HOPWA- Supportive Services
	<b>Goal Description</b>	Provide services that help HOPWA program participants stabilize their living situations and address care needs of persons living with HIV
8	<b>Goal Name</b>	HOPWA-Permanent Housing Placement
	<b>Goal Description</b>	Provide assistance with housing placement costs to assist persons living with HIV to secure housing and prevent homelessness
9	<b>Goal Name</b>	HOPWA-Resource Identification/Housing Information
	<b>Goal Description</b>	Identify and locate available, affordable and appropriate housing units for persons living with HIV in order to prevent homelessness
10	<b>Goal Name</b>	CDBG - Economic Development
	<b>Goal Description</b>	Each year the state of North Carolina provides funding to assist UGLGs in partnership with businesses in the creation and retention of job for LMI persons in their local jurisdictions. Funding activities include the installation of water and sewer lines and facilities

<b>11</b>	<b>Goal Name</b>	CDBG - Infrastructure
	<b>Goal Description</b>	Public Facility or Infrastructure Activities for Low/Moderate Income Housing. Projected benefit is approximately 20,000.00
<b>12</b>	<b>Goal Name</b>	CDBG – Neighborhood Revitalization
	<b>Goal Description</b>	Housing and Public Facility Activities for Low/Moderate Income Households. Projected benefit is approximately 3000.
<b>13</b>	<b>Goal Name</b>	ESG - ESG - Assist those at risk of homelessness
	<b>Goal Description</b>	Homelessness Prevention prevents households from becoming literally homeless. Participants must meet Category 2, 3, or 4 of the homeless definitions and have an annual income below 30 % of area median income, as determined by HUD, at initial evaluation. Households are assisted under this component as long as the assistance is necessary to regain stability in permanent housing.
<b>14</b>	<b>Goal Name</b>	ESG - Financial Assistance to Homeless
	<b>Goal Description</b>	Rapid Re-housing is to move literally homeless individuals and families (24 CFR 576.104) quickly into permanent housing and achieve stability in that housing. Participants must meet Category 1 or 4 of the homeless definition

## AP-25 Allocation Priorities – 91.320(d)

### Introduction:

For the 2019 Program Year, the State of North Carolina estimates it will receive federal resources from the U.S Department of Housing and Urban Development (HUD) equal to the funding amount for 2018, or approximately \$80 million. The five programs covered by this Action Plan are as follows: Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), HOME Investment Partnership (HOME), Housing Opportunities for Persons with AIDS (HOPWA), and the Federal Housing Trust Fund (HTF). Funds for these programs have been significantly reduced over the past several years, and as resources have decreased, needs have been prioritized and investments have been targeted to achieve the greatest impact on the goals and objectives identified in the state's Consolidated Plan.

### Funding Allocation Priorities

	NCHF A Singl e Famili y Rehab Units (%)	NC HF A Multi famili Units (%)	NCHFA Homeow nership Units (%)	HOP WA - Tena nt- Base d Rent al Assis tance (%)	HOP WA Shor t- Term Rent , Mortgag e and Utiliti es (%)	HOP WA - Facili ty Base d Oper ating Cost (%)	HOP WA- Supp ortiv e Servi ces (%)	HOP WA- Perm anent Housi ng Place ment (%)	HOPWA - Resourc e Identific ation/H ousing Informa tion (%)	CDB G - Eco nom ic Dev elop ment (%)	CDBG - Infrastructur e (%)	CDBG – Neighbor hood Revitaliza tion (%)	ESG - Assist those at risk of homeles sness (%)
CDBG	0	0	0	0	0	0	0	0	0	23	48	23	0
HOME	21	20	49	0	0	0	0	0	0	0	0	0	0
HOPWA	0	0	0	76	13	0	7	2	2	0	0	0	0
ESG	0	0	0	0	0	0	0	0	0	0	0	0	60
Housing Trust Fund	0	90	0	0	0	0	0	0	0	0	0	0	0

Table 7 – Funding Allocation Priorities

## Reason for Allocation Priorities

The allocation priorities are established based on information outline in the five-year plan,

### How will the proposed distribution of funds will address the priority needs and specific objectives described in the Consolidated Plan?

**CDBG Economic Development (CDBG-ED).** The Community Development Block Grant for Economic Development (CDBG-ED) will continue to provide grants to local governments that partner with a pro-profit business to bring public infrastructure improvements and building renovation services. The CDBG-ED program funds will continue to aid in the opportunities for job creation. All grants are awarded by a 15-member appointed Rural Infrastructure Authority.

**CDBG- Neighborhood Revitalization (CDBG-NR).** The State CDBG program currently has Neighborhood Revitalization funding opportunities available to local units of government. Notices of Funding Opportunities are being distributed widely throughout the state for eligible, non-entitlement counties and municipalities to submit applications (proposals). These funding opportunities are competitive and are designed to revitalize communities' area-wide that are at least 51% low and moderate-income (LMI) persons and direct activities benefit 100% low and moderate-income (LMI) persons.

**CDBG Infrastructure (CDBG-I).** These funds will construct public water and sewer infrastructure to mitigate public and environmental health problems in areas where the percentage of low to moderate income persons is at least 51 percent.

**CDBG Contingency Grants.** The NC Main Street Center currently provides downtown development assistance through the NC Main Street and the Small-Town Main Street programs.

**HOME.** Through NCHFA Single Family Rehab and NCHFA Multifamily activities, HOME funds are used to address housing for non-homeless persons with special needs, a high priority need identified in the Consolidated Plan. Through NCHFA Multifamily activities, HOME funds are used to address housing for households under 30% AMI, another high priority need. Through NCHFA Multifamily and Homeownership activities, HOME funds are used to address housing for households earning 31-60% AMI, a lower priority need. Through NCHFA Homeownership activities, HOME funds are used to address housing for households earning 61-80% AMI, another lower priority need.

**HTF.** Through NCHFA Multifamily activities, HTF funds are used to address housing for non-homeless persons with special needs and housing for households under 30% AMI, both high priority needs identified in the Consolidated Plan.

**HOPWA.** The HOPWA program is instrumental in providing permanent housing options utilizing TBRA, STRMU, PHP and SS. Each of these activities ensures available, affordable, and appropriate housing in areas of increased opportunities. TBRA is our most utilized HOPWA service which provides permanent

housing for eligible households that are unstably housed, homeless or at risk of becoming homeless. STRMU our second most utilized HOPWA service is specific to eligible households that are already stably housed that may have crisis situations resulting in the need for short-term emergency financial assistance to prevent them from becoming homeless. Supportive Services, our 3rd most utilized HOPWA service, includes a myriad of services such as case management, transportation, food services and life skills, which based on assessed need, may be provided to assist eligible households remain permanently housed. PHP assists eligible households with reducing barriers to obtaining permanent housing through the provision of assistance with security deposits, one-time utility connection fees, rental application fees, criminal background checks, rental history and tenant counseling. Two-percent of our proposed funding is dedicated to PHP to assist eligible households with obtaining permanent housing. Resource Identification (RI) and Housing Information (HI) results in the identification and location available, affordable and appropriate housing units for persons living with HIV to prevent homelessness. Two-percent of our proposed funding for 2019 is dedicated to RI and HI to assist eligible households locate housing.

**ESG.** The ESG program is designed as the first step in the continuum of assistance to prevent homelessness and to enable the homeless population to move steadily toward independent living. ESG funds may be used for five program components: street outreach, emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS; as well as administrative activities. The total amount of the recipient's fiscal year grant that may be used for street outreach and emergency shelter activities cannot exceed the greater of: (1) 60 percent of the recipient's fiscal year grant; or (2) The amount of Fiscal Year 2010 grant funds committed for homeless assistance activities. 24 CFR 576.100



## AP-30 Methods of Distribution – 91.320(d)&(k)

### Introduction:

Each HUD-funded agency establishes its own Method of Distribution based on priority needs from the Housing Needs Survey and Market Analysis data.

### Distribution Methods

Table 8 - Distribution Methods by State Program

1	<b>State Program Name:</b>	CDBG
	<b>Funding Sources:</b>	CDBG
	<b>Describe the state program addressed by the Method of Distribution.</b>	The programs included for 2019 include the Economic Development Program, the Infrastructure Program, and the Neighborhood Revitalization Program
	<b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b>	<p>The Neighborhood Revitalization program will be a competitive program that will allow up to \$750,000.00 per grantee. Each grantee will be selected based on the number of LMI served, capacity, feasibility, and project design related to sustainability and resiliency in storm impacted areas.</p> <p>The Economic Development category has a preliminary application meeting to determine project worthiness based on CDBG ED requirements. Each CDBG ED application is reviewed based on the following criteria, operator capability, project impact, project completion, substantial benefit to LMI, public benefit standard, leverage, compliance with CDBG regulations. In addition, the project is underwritten based on CDBG factors suggested by HUD in the Federal Register of 1/5/1995 to include reasonable costs, funding sources committed, financial feasibility of the project, and is return on investment reasonable.</p> <p>The CDBG Infrastructure section of the CDBG Program managed by the NC Department of Environmental Quality (NCDEQ), sends out notifications of funding availability and holds multiple workshops to discuss the application, review and award process. The State CDBG program is only eligible to non-entitlement local units of government</p> <p>Each program area has an individual website with additional information for potential applicants.</p>

<p><b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b></p>	<p>The Program information, manuals and forms are listed on the Commerce and Department of Environmental Quality websites. CDBG also maintain an email list of local units of governments, Council of Governments and other organizations that receive information directly by email.</p>
<p><b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b></p>	
<p><b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b></p>	

	<b>Describe how resources will be allocated among funding categories.</b>	Resources are allocated based upon needs assessed in the community and by analyzing the utilization of services, and gaps in services to ensure to the greatest extent possible the needs of the community are met.
	<b>Describe threshold factors and grant size limits.</b>	
	<b>What are the outcome measures expected as a result of the method of distribution?</b>	The outcome measures are: increased economic opportunity, improved quality of life, and pathway to housing opportunities provided.
<b>2</b>	<b>State Program Name:</b>	HOME
	<b>Funding Sources:</b>	HOME

<p><b>Describe the state program addressed by the Method of Distribution.</b></p>	<p>HOME funds are used as a funding source for several programs run by the North Carolina Housing Finance Agency, including the Single-Family Rehab Loan Pool (SFRLP), the Rental Production Program (RPP), the Self-Help Loan Pool (SHLP), the Supportive Housing Development Program (SHDP), and the Community Partners Loan Pool (CPLP). SFRLP rehabilitates homes owned by elderly and/or disabled persons. RPP finances the development of rental units that receive LIHTC. SHLP helps enable households to purchase Habitat for Humanity homes. CPLP helps offers zero-interest, deferred, second mortgages that are generally combined with NCHFA's NC Home Advantage Mortgage. SHDP develops housing for people who are low income and disabled and/or have special housing needs.</p>
<p><b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b></p>	<p>RPPs are awarded according to the Qualified Action Plan process as required for all LIHTC awards. For SFRLP, one applicant per county is awarded a set-aside for the rehabilitation of eligible units, with the option of receiving additional funds on a first-come, first-served basis. For CPLP and SHLP, funds are distributed through nonprofits and local government agencies throughout the state. If HOME is used for SHDP, funds are awarded through an annual application process to eligible organizations. For each program, program guidelines are developed and used to fully explain the home buyer programs and set forth eligibility criteria.</p>
<p><b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b></p>	

	<p><b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b></p>	
	<p><b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b></p>	
	<p><b>Describe how resources will be allocated among funding categories.</b></p>	<p>See above.</p>

	<b>Describe threshold factors and grant size limits.</b>	No grants are issued with HOME funds for the programs listed above.
	<b>What are the outcome measures expected as a result of the method of distribution?</b>	See 5-year Consolidated Plan annual goals for details.
<b>3</b>	<b>State Program Name:</b>	ESG
	<b>Funding Sources:</b>	ESG

<p><b>Describe the state program addressed by the Method of Distribution.</b></p>	<p>The U.S. Department of Housing and Urban Development allocated \$4,993,667 to the NC State ESG Program. A portion, 7.5% or \$374,525, is for admin costs incurred by the State or subrecipients that are units of local government. The remaining funds, \$4,619,142, will be distributed to subrecipients for program costs. The amount that each Local Planning Area is eligible to apply for is the “NC ESG Fair Share”.</p> <p>No more than 60% of funds can be used for Emergency Response Services (Street Outreach and Emergency Shelter). While there is a maximum percent for Emergency Services, there is no maximum for Housing Stability (Rapid Re-Housing, HMIS, and Targeted Prevention). This means a Local Planning Area may choose to apply for the full 60% of Emergency Response funding or use some of that funding for Housing Stability Activities.</p> <p>To establish a fair distribution of program funds, the NC ESG Program uses the Department of Housing and Urban Development’s (HUD) Continuum of Care (CoC) Pro Rata Formula as the basis for determining the amount of eligible funding for each Local Planning Area. Six entitlement communities receive ESG funding directly from HUD. The allocation that these entitlements receive from HUD are considered when determining each Local Planning Area’s eligible amount. The CoC Pro Rata percentage is multiplied by the total amount of ESG funds allocated to North Carolina (NC State ESG + entitlements). This is the total amount that each Local Planning Area should have as its fair share of ESG funding.</p> <p>For communities that do not receive entitlement funding from HUD, the full amount will come from the NC ESG Program. For entitlement communities, the amount received directly from HUD will be subtracted from their fair share, so only a portion of the fair share is awarded through the NC ESG Program. The chart below shows the total fair share for each entitlement community with the amount of program funding administered by the local government and the NC ESG Program.</p>
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<p><b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b></p>	<p>NC ESG staff review and score Regional and Project Applications for minimum threshold criteria.</p>
<p><b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b></p>	
<p><b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b></p>	<p>The NC Emergency Solutions Grants Programs uses the formula of the HUD Pro Rata Share to determine available funding to Continuums of Care / Local Planning Areas (CoCs / LPAs). Local units of government, and non-profit organizations including community and faith-based organizations can submit a Project Application to CoCs/LPAs. Upon doing so, CoCs/LPAs recommend projects for funding in the NC Emergency Solutions Grants Request for Application. NC ESG staff then review and score Regional and Project Applications for minimum threshold criteria.</p>



<p><b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b></p>	
<p><b>Describe how resources will be allocated among funding categories.</b></p>	
<p><b>Describe threshold factors and grant size limits.</b></p>	

	<b>What are the outcome measures expected as a result of the method of distribution?</b>	See 5-year Consolidated Plan annual goals for details.
<b>4</b>	<b>State Program Name:</b>	HOPWA
	<b>Funding Sources:</b>	HOPWA

<p><b>Describe the state program addressed by the Method of Distribution.</b></p>	<p>The HOPWA program is instrumental in providing permanent housing options utilizing TBRA, STRMU, PHP and SS. Each of these activities ensures available, affordable, and appropriate housing in areas of increased opportunities. TBRA is our most utilized HOPWA service which provides permanent housing for eligible households that are unstably housed, homeless or at risk of becoming homeless. STRMU our second most utilized HOPWA service is specific to eligible households that are already stably housed that may have crisis situations resulting in the need for short-term emergency financial assistance to prevent them from becoming homeless. Supportive Services, our 3rd most utilized HOPWA service, includes a myriad of services such as case management, transportation, food services and life skills, which based on assessed need, may be provided to assist eligible households remain permanently housed. PHP assists eligible households with reducing barriers to obtaining permanent housing through the provision of assistance with security deposits, one-time utility connection fees, rental application fees, criminal background checks, rental history and tenant counseling. Two-percent of our proposed funding is dedicated to PHP to assist eligible households with obtaining permanent housing. Resource Identification (RI) and Housing Information (HI) results in the identification and location available, affordable and appropriate housing units for persons living with HIV to prevent homelessness. Two-percent of our proposed funding for 2019 is dedicated to RI and HI to assist eligible households locate housing.</p>
<p><b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b></p>	

<p><b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b></p>	
<p><b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b></p>	
<p><b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b></p>	<p>The HOPWA Program announce Request for Applications (RFAs) for HIV Prevention, Care and HOPWA. The application is combined and the proposals are written by a network of providers of HIV prevention, care and HOPWA services across the State of NC. Submitted applications are reviewed by NC HIV Prevention, Care and HOPWA staff and the applications are scored and must meet a minimum threshold criteria to be awarded.</p>

	<b>Describe how resources will be allocated among funding categories.</b>	
	<b>Describe threshold factors and grant size limits.</b>	
	<b>What are the outcome measures expected as a result of the method of distribution?</b>	See 5-year Consolidated Plan annual goals for details.
<b>5</b>	<b>State Program Name:</b>	Housing Trust Fund
	<b>Funding Sources:</b>	Housing Trust Fund

<p><b>Describe the state program addressed by the Method of Distribution.</b></p>	<p>HTF will help finance loans through NCHFA's Rental Production Program used in conjunction with Low Income Housing Tax Credits (LIHTC), state-appropriated funds, and other private and local funding. RPP finances the development of rental units that receive LIHTC.</p>
<p><b>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</b></p>	<p>NCHFA will award HTF based on the selection criteria as further described in Section IV of the QAP. The selection criteria are designed to identify the best applications based on site, market, rent affordability, development costs, project team, design, and construction, as well as energy efficiency, efficient use of tax credits, units for mobility impaired, and to achieve community integration pursuant to North Carolina's Olmstead settlement agreement with the U.S. Department of Justice. Appendix J outlines the additional criteria for those tax credit applications requesting HTF funds. A copy of the 2019 QAP is available on NCHFA's website: <a href="https://www.nchfa.com/rental-housing-partners/rental-developers/qualified-allocation-plan/2019-qualified-allocation-plan-gap">https://www.nchfa.com/rental-housing-partners/rental-developers/qualified-allocation-plan/2019-qualified-allocation-plan-gap</a>. The State will distribute NHTF funds by selecting applications submitted by eligible recipients according to the process described in the State's QAP.</p>

<p><b>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</b></p>	
<p><b>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</b></p>	
<p><b>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</b></p>	

	<p><b>Describe how resources will be allocated among funding categories.</b></p>	<p>All HTF funding will be allocated to the NCHFA Rental Production Program.</p>
	<p><b>Describe threshold factors and grant size limits.</b></p>	<p>No grants are issued with HTF funds.</p>
	<p><b>What are the outcome measures expected as a result of the method of distribution?</b></p>	<p>See 5-year Consolidated Plan annual goals for details.</p>



## AP-35 Projects – (Optional)

### Introduction:

Projects are outlined in each individual category.

#	Project Name

Table 9 – Project Information

### Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

**CDBG.** Allocation priorities were determined based upon needs described in the 2016-2020 Consolidated Plan (Housing Needs Assessment) and the Analysis of Impediments (supplemental information to the 2016-2020 Consolidated Plan. Obstacles to addressing underserved needs vary from being a result of pre-determined eligibility requirements, barriers that may disqualify low to moderate income individuals such as criminal backgrounds, poor credit and rental history, lack of affordable housing stock, etc. CDBG will shift priorities at any time to address emergency and crisis events. For example, CDBG has prioritized the disaster recovery efforts of the state to those counties, towns and small cities hard hit by Hurricanes Matthew and Florence.

**HOPWA.** Our allocation priorities are determined by the utilization of HOPWA services. The most utilized HOPWA services are TBRA, STRMU, Supportive Services and PHP. The utilization of HOPWA services matched the assessed eligible household needs identified through the State of NC HOPWA Consolidated Annual Performance and Evaluation Report (CAPER), and the HIV Prevention and Care Unit's Statewide Coordinated Statement of Need and Integrated Plan which include HOPWA. There are obstacles that affect access to HOPWA services such as the following:

- Stringent requirements on obtaining housing based upon criminal history, bad credit history and stigma that is prominent in landlords' lack of willingness to participate in HUD federally assisted household programs.
- Lack of affordable housing due to cost-burden and extreme cost-burden.
- Lack of available affordable housing stock, and
- Other factors such as low wage/income earning jobs creating cost-burden and severe cost-burden in maintaining stable housing and making decent, safe and affordable housing an unattainable goal.

**AP-38 Project Summary**  
**Project Summary Information**

DRAFT

**AP-40 Section 108 Loan Guarantee – 91.320(k)(1)(ii)**

**Will the state help non-entitlement units of general local government to apply for Section 108 loan funds?**

No

**Available Grant Amounts**

**Acceptance process of applications**

## **AP-45 Community Revitalization Strategies – 91.320(k)(1)(ii)**

**Will the state allow units of general local government to carry out community revitalization strategies? Yes, within the scope of the CDBG funding opportunities made available.**

### **State's Process and Criteria for approving local government revitalization strategies**

The State CDBG program currently has Neighborhood Revitalization funding opportunities, economic development funding opportunities, and disaster recovery funding opportunities that the local units of government may access through a competitive and non-competitive process depending upon the program specific requirements. CDBG has also provided funding opportunities for Contingency Grant Programs (e.g., Main Street and Small Town Main Street programs. These funding opportunities are designed to revitalize communities' and rebuild communities impacted by Hurricanes Matthew and Florence area-wide that are at least 51% low- and moderate-income (LMI) persons and direct activities benefit 100% LMI persons.

## AP-50 Geographic Distribution – 91.320(f)

### Description of the geographic areas of the state (including areas of low-income and minority concentration) where assistance will be directed

Funds are allocated statewide.

**CDBG.** Community Development Block Grant (CDBG) funds are available to local municipal or county governments for projects to enhance the vitality of communities by providing decent housing and suitable living environments and expanding economic opportunities. These grants primarily serve persons of low- and moderate- incomes.

### Geographic Distribution

Target Area	Percentage of Funds
Statewide	100

Table 10 - Geographic Distribution

### Rationale for the priorities for allocating investments geographically

#### Discussion

**CDBG.** The primary statutory objective of the CDBG program is to develop viable communities by providing decent housing and a suitable living environment and by expanding economic opportunities. These grants primarily serve persons of low- and moderate-income, as the State must ensure that at least 70 percent of its CDBG grant funds are used for activities that benefit these persons.

**HOPWA.** The North Carolina HOPWA program serves 82 of the 100 counties in the State of North Carolina. The remaining 18 counties are served through other HOPWA Grantees. The HOPWA formula grant was first funded for the state of North Carolina in 1992 and served the entire state until 1998. In that year, the Charlotte and Raleigh Metropolitan Service Areas (MSAs) became eligible for HOPWA formula allocations and the state's service area was reduced. In 2002, Wake County received a transfer of HOPWA Formula funds from the City of Raleigh to provide HOPWA services to Wake, Franklin and Johnston counties. In 2011, the City of Greensboro also became eligible for HOPWA formula funding to serve Guilford, Randolph and Rockingham counties and the State funding and service area was again reduced. The Charlotte MSA was revised in 2013 to include Iredell, Lincoln and Rowan counties. The state's HOPWA program gained Anson County as a result of that 2013 revision to the Charlotte MSA service area. During the Federal Fiscal Year 2015, the Durham-Chapel Hill Metropolitan Service Area became eligible for HOPWA formula funding which resulted in the transition of Chatham, Durham, Orange and Person counties from the State HOPWA program to the Durham-Chapel Hill MSA. As a result, the state funding and service area was further reduced. In addition, clients living in Currituck

County are served by the Virginia Beach, Virginia MSA.

## Affordable Housing

### AP-55 Affordable Housing – 24 CFR 91.320(g)

#### Introduction:

One Year Goals for the Number of Households to be Supported	
Homeless	23,582
Non-Homeless	4,555
Special-Needs	1,553
Total	29,690

**Table 11 - One Year Goals for Affordable Housing by Support Requirement**

One Year Goals for the Number of Households Supported Through	
Rental Assistance	11,125
The Production of New Units	861
Rehab of Existing Units	3,120
Acquisition of Existing Units	403
Total	15,509

**Table 12 - One Year Goals for Affordable Housing by Support Type**

#### Discussion:

**HTF.** HTF will be used fund the development of more rental units that are affordable to households earning 30 percent or less of AMI. The Consolidated Plan states that 1,943 multifamily units will be added by the year 2020 (the original goal of 1,776 units by 2020 was increased by 80 units during the 2016 HTF allocation process and an additional 87 units in the 2017 HTF Allocation Plan). The State's \$5.29 million in HTF is expected to fund 116 units that will be affordable at 30 percent or less AMI, further increasing this goal to 2,059 units by 2020.

**HOPWA.** Under the 2019 Annual Action Plan the HOPWA Program is proposing to serve a total of 1,351 eligible households with the following: TBRA, STRMU, PHP, Supportive Services, Household Information and Resource Identification. Our 2019 AAP (1-year goals) for Rental Assistance Only is to provide TBRA, STRMU and PHP to 737 eligible households.

## **AP-60 Public Housing - 24 CFR 91.320(j)**

### **Introduction:**

**Actions planned during the next year to address the needs to public housing**

**Actions to encourage public housing residents to become more involved in management and participate in homeownership**

**If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

### **Discussion:**



## **AP-65 Homeless and Other Special Needs Activities – 91.320(h)**

### **Introduction**

**Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The Emergency Solutions Grant is administered by the North Carolina Department of Health and Human Services, Division of Aging and Adult Services. The program helps meet the needs of those experiencing homelessness or those at risk of homelessness by encouraging local grantees to develop innovative projects which better address the causes, conditions and problems of homelessness / housing and to serve as an advocate for low-income persons and families that are homeless or at-risk of homelessness.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

The Emergency Solutions Grants Program is state administered program. The ESG program's goals are to assist people in preventing or ending their homelessness by supporting two primary functions: Emergency Response Activities, including emergency shelter operations and services as well as street outreach, and Housing Stability Activities including homelessness prevention and rapid re-housing. Emergency Response Activities focus on the needs of the homeless:

- Street Outreach: Providing essential services to unsheltered homeless people; connect them with emergency shelter, housing, or critical services; and provide urgent non-facility-based care to unsheltered homeless people who are unwilling or unable to access emergency shelter, housing, or an appropriate health facility.
- Shelter Operations: Providing costs to operate an emergency shelter.
- Shelter Services: Providing essential services are services to homeless families and individuals in emergency shelters, including: case management, childcare, education, employment, and life skills, legal services, mental health, substance abuse services, transportation, and services for special populations.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were**

### **recently homeless from becoming homeless again**

The Homelessness Prevention and Rapid Re-Housing Program provide financial assistance and services to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly re-housed and stabilized. The funds under this program are intended to target individuals and families who would be homeless but for this assistance. The funds will provide for a variety of assistance, including: short-term or medium-term rental assistance and housing relocation and stabilization services, including such activities as mediation, credit counseling, security or utility deposits, utility payments, moving cost assistance, and case management.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

### **Discussion**

Through services provided by emergency shelter and services programs individuals and families work with staff to both prevent homelessness and to use strategies that will prevent a lapse back into homelessness.

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**AP-70 HOPWA Goals – 91.320(k)(4)**

<b>One year goals for the number of households to be provided housing through the use of HOPWA for:</b>	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	431
Tenant-based rental assistance	254
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	737
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
Total	1,422

## **AP-75 Barriers to affordable housing – 91.320(i)**

### **Introduction:**

The Analysis of Impediments to Fair Housing Choice, completed for 2016 through 2020, summarizes barriers to affordable housing and is available to the public. The Housing Partnership, the oversight board of the state's Housing Trust Fund, considers barriers to housing in the allocation of state resources. In carrying out their regular programs and funding cycles, the Consolidated Plan Partners continue to promote the removal of barriers to affordable housing. The Consolidated Plan partners will take efforts to address those impediments through individual agency efforts as well as a collaborative effort as partners.

**Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

In partnership with the Housing Finance Agency (HFA), enhance education and outreach efforts targeting consumers and providers of housing in the state's non-entitlement areas.

*Measurable Objective 1.1:* The number of outreach and education sessions offered and the number of participants.

### **Discussion:**

#### **HOPWA.**

**1. Lack of available and affordable housing for households displaced due to domestic violence of which some are HOPWA eligible.** As reported in the Needs Assessment completed for our 2016-2020 Consolidated Plan, the NC Coalition against Domestic Violence (NCCADV) reported that on the date of the Point-In-Time count in January 2014, 13% of the adult women who experienced homelessness did so as a result of being displaced from their homes due to domestic violence. In the current Point-in-Time Count for 2016, 21% of the adult women experiencing homelessness are survivors of domestic violence. North Carolina needs additional emergency shelters for women living with HIV who are displaced from their homes due to violence. Ultimately, more permanent housing solutions, retention in HIV care and access to supportive services are needed to obtain stable housing and viral suppression for this population.

**2. Lack of available and affordable housing for homeless youth of which some are HOPWA eligible.** As of the Point-in-Time Count for 2016, 21% of the homeless population identified in the Count was youth ages 17 and younger. Our State needs additional resources such as emergency shelters that can provide educational opportunities and assist with placement in permanent housing in safe, decent, high

opportunity environments to ensure the safety of our homeless youth and HIV+ homeless youth.

**3. Lack of available and affordable housing for our LGBTQ Community of which a portion of them are HOPWA eligible.** Homelessness and HIV/AIDS are two of the biggest issues facing the LGBT community in NC. More stable housing options and linkage to care resources are needed for this population.

**4. Lack of available and affordable housing Options for PLWH with Mental Health and Substance Abuse Disorders.** More housing options are needed for our PLWH that have serious mental illness and substance abuse disorders. According to the Point-in-Time Count conducted January 2016, 17% of the homeless people identified had serious mental illness and 15% had substance abuse disorders.

**5. Barriers to housing affordability.** In the Analysis of Impediments conducted for the NC 2016-2020 Consolidated Plan, Cost Burden/Severe Cost-Burden, Overcrowding/Severe Overcrowding, and housing units without working plumbing and kitchen systems were the top identified impediments to housing. For People Living with HIV (PLWH) these impediments are exacerbated due to low, extremely low, or no income, and the inability of PLWH to maintain their health.

## **AP-85 Other Actions – 91.320(j)**

### **Introduction:**

#### **Actions planned to address obstacles to meeting underserved needs**

**CDBG.** Hurricane Florence hit North Carolina on September 13-14, 2018 causing devastation to areas hard hit by Hurricane Matthew in addition to causing devastation in newly impacted areas of North Carolina. The Department of Commerce is assessing a myriad of resources and opportunities to partner with entities to address the needs of the impacted communities in the state as expeditiously as possible. CDBG will deploy additional funds in a timely manner and as needed to assist with disaster recovery efforts such as CDBG- NR funds by prioritizing impacted areas through the program. CDBG will access de-obligated CDBG funds and direct these funds towards disaster recovery efforts and will investigate using CDBG Contingency Program funds that fall outside of the CDBG normal protocol. In deploying resources, CDBG will ensure that there is no duplication of efforts and that funds are used as gap coverage to existing efforts. Many of the communities impacted by Hurricane Florence were also impacted by Hurricane Matthew on October 8-9, 2016, causing an estimated \$4.8 billion in damage across half of North Carolina's 100 counties. HUD appropriated \$236,529,000 in Community Development Block Grant funds to the state of North Carolina for recovery from Hurricane Matthew. CDBG will continue the recovery efforts in the counties impacted by Hurricane Matthew to get communities thriving and revitalized.

**HOPWA.** Per requirement, our HOPWA Project Sponsors follow-up with eligible households on their wait lists to determine current living arrangements and to provide updates on housing resources. Often times, eligible households on the wait lists are determined to be eligible for other housing programs such as VASH, Shelter Plus Care, and Section 8 and are placed in permanent housing through those programs.

#### **Actions planned to foster and maintain affordable housing**

**HOPWA.** Due to the Modernization of HOPWA, all current eligible clients receiving Tenant-Based Rental Assistance (TBRA), Short-Term Rent, Mortgage and Utility Assistance (STRMU) and Permanent Housing Placement (PHP) will remain eligible and housed. In addition, it is our plan to significantly reduce the numbers of eligible households on the TBRA waiting list.

#### **Actions planned to reduce lead-based paint hazards**

**CDBG.** All CDBG sub-recipients awarded CDBG funds are required to adhere to the Lead-Based Paint Regulations found at 24 CFR, Part 35 and the N.C. General Statute 130A-453.01 – 453.11.

**HOPWA.** Contingent on receiving HOPWA Housing Subsidy (TBRA, STRMU and PHP) the Lead-Based Paint Acknowledgement, HOPWA Housing Quality Standards and the Visual Assessment conducted for the provision of STRMU must be completed. Ensuring that these criteria are met is done through the

review of client files during sub-recipient monitoring site visits.

### **Actions planned to reduce the number of poverty-level families**

**CDBG.** The CDBG Economic Development Program in North Carolina is designed to benefit low- and moderate-income persons through job creation. Funding eligibility is contingent upon the creation of permanent, full-time jobs meeting the following qualifying condition: at least 60% must be made available to persons whose household income over the previous 12 months was less than 80% of the median income for the area. For purposes of the CDBG ED Program, income eligibility is determined from data published annually for HUD's Section 8 housing program. Creating jobs and retaining jobs will positively impact the reduction to the number of poverty-level families.

**HOPWA.** The State of NC (DHHS) HOPWA Grantee and its Sub-recipients (HOPWA Project Sponsors) are required to take the HUD "Getting to Work" Training Module to better assist HOPWA eligible households with obtaining sources of income through employment. The goal is to assist them with increasing their household income and working their way to full self-sufficiency.

### **Actions planned to develop institutional structure**

**CDBG.** CDBG will continue to maintain and increase cross-collaboration with federal, state, local units of government, non-profit/for-profit, private-sector to identify opportunities to lessen the barriers in addressing community and economic development needs in the State.

**HOPWA.** Because of the Housing Opportunities Through Modernization Act (HOTMA), the increase in HOPWA funding to NC will significantly impact eligible households in a positive manner. The stop-loss factor to the increase in funding which ensures that jurisdictions not gain more than 5% or lose more than 10% of their prior year funding during the 5-year phase in allows for the time to effectively plan for needed services and reduce the risk of having funding recaptured. We will increase the utilization of HIV/AIDS Surveillance data to develop a NC HOPWA Cascade. The NC HOPWA Cascade will demonstrate the impact of stable housing on improved health outcomes namely, HIV viral suppression. We will expand the use of allowable activities under Supportive Services (SS) such as outreach to further establish the housing and health care connection as it relates to improved health outcomes, specifically viral suppression. We will increase training opportunities for HOPWA Project Sponsors, landlords and tenants on Fair Housing. We plan to increase funding for Permanent Housing Placement (PHP) to assist eligible households with securing permanent housing through assistance with security deposits and one-time utility connections. We are strongly considering the addition of other HOPWA eligible activities based upon assessed need such as Short-Term Emergency Housing. We are exploring the potential for coordinating with NC Housing Finance Agency on bricks & mortar projects through sub-recipient contracts/agreements.

### **Actions planned to enhance coordination between public and private housing and social**

## **service agencies**

**CDBG.** The CDBG Neighborhood Revitalization Program funds can be used for public facilities owned by local units of government and Non-profits agencies (if the building is open to the general public). The Local Government will need to have a lien on the property and legally binding Commitment that includes the contract provisions. Examples of Public Facilities that CDBG funding can assist are senior centers, housing for homeless persons, housing or shelter for victims of domestic violence, transitional housing, and community/neighborhood/recreational facilities.

**HOPWA.** We will continue to ensure that HOPWA services are offered in the Regional Networks of Prevention and Care as part of the Patient Management Model. We will continue to collaborate and coordinate services with Public Housing Authorities, landlords/private home owners and other housing providers to maximize housing options and services to PLWH. We will ensure that the appropriate HOPWA eligible activities are provided and accessible to eligible households based upon assessed needs. We will ensure that HOPWA funding is utilized and leveraged with other sources to ensure expenditures are allowable, cost-effective and meet the needs of the eligible households. We will continue to ensure community and consumer input through the HIV Prevention and Care Advisory Committee (HPCAC) and through the Public Hearing process which allows for public comment. As a result of the HOPWA Formula Modernization through the Housing Opportunities Through Modernization Act (HOTMA) we will increase funding to our HOPWA Project Sponsors (Sub-Recipients) using the modernized HOPWA formula. The HOPWA program staff will research the feasibility for working with the Community Development Block Grant, NC Housing Finance Agency, Housing Authorities and other NC HOPWA Grantees on rehabilitation efforts on low income and affordable housing projects to further expand housing opportunities for PLWH in North Carolina. We will look into affordable housing options for PLWH through working with agencies that are designing creative models for housing PLWH that are homeless in congregate living arrangements (e.g., Boarding Houses). We will continue to work towards established, redefined and newly established goals and measures to achieve no new HIV infections, no loss-to-care PLWH, no PLWH without treatment and access to treatment, to ensure consistent community and consumer involvement in the State's "Ending the Epidemic Campaign" plan to end new HIV infections.

## **Discussion:**



## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.320(k)(1,2,3)

#### Introduction:

#### **Community Development Block Grant Program (CDBG)**

##### **Reference 24 CFR 91.320(k)(1)**

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### **Other CDBG Requirements**

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	80.00%

#### **HOME Investment Partnership Program (HOME)**

##### **Reference 24 CFR 91.320(k)(2)**

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

To conduct housing-related activities, NCHFA uses nonfederal, federal funds and the private market. The nonfederal sources offer the NCFHA even more opportunities and flexibility to serve all priority categories. The available funding sources include the North Carolina Housing Trust Fund; state

appropriated HOME Match, Hardest Hit Funds, the Low-Income Housing Tax Credit Program, bond financing, and Mortgage Credit Certificates.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Homebuyer loan subsidies except for the Self-Help loan pool will be provided as subordinate deferred-payment loans that are not forgiven. Homebuyer loans under the Self-Help Loan Pool will be provided as principal-only amortizing loans. Loans under all home buyer programs can be called (1) when the property is sold, (2) when the property is no longer the home buyer's principal residence, (3) at the end of the loan term, or (4) when the first mortgage is refinanced. If the net proceeds of the sale of the property are insufficient to repay the home buyer loans in full, the Agency will accept its pro rata share of the net proceeds in full satisfaction of the loan. However, exceptions may be granted and assumptions may be allowed for an income-qualified heir or lineal descendant that will reside in the home as a principal residence.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Homebuyer programs funded with HOME follow recapture requirements. and ensure that the State recoups all or a portion of the HOME assistance to the homebuyers. As stated in number 2 above, home buyer loan subsidies except for the Self-Help Loan pool will be provided as subordinate deferred-payment loans that are not forgiven. Homebuyer loans under the Self-Help Loan Pool will be provided as principal-only amortizing loans. Loans under all home buyer programs can be called (1) when the property is sold, (2) when the property is no longer the home buyer's principal residence, (3) at the end of the loan term, or (4) when the first mortgage is refinanced. If the net proceeds of the sale of the property are insufficient to repay the home buyer loans in full, the Agency will accept its pro rata share of the net proceeds in full satisfaction of the loan. However, exceptions may be granted and assumptions may be allowed for an income-qualified heir or lineal descendant that will reside in the home as a principal residence.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

HOME funds are not used for refinancing of existing debt, even in developments rehabilitated with HOME funds.

**Emergency Solutions Grant (ESG)  
Reference 91.320(k)(3)**

1. Include written standards for providing ESG assistance (may include as attachment)

Written Standards: In accordance with 24 CFR 576.500 (b), the recipient must maintain and follow written intake procedures to ensure compliance with the homeless definition in §576.2. The written standards procedures must require documentation at intake of the evidence relied upon to establish and verify homeless status. The procedures must establish the order of priority for obtaining evidence as third-party documentation first, intake worker observations second, and certification from the person seeking assistance third. Each subrecipient written standards are reviewed annually by the state using a HUD checklist.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

As part of the on-going on-site monitoring done by the ESG program office, compliance with centralized coordinated assessment will be added to the process and technical assistance will be provided.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The NC Emergency Solutions Grant Program uses the formula of the HUD Pro Rata Share to determine available funding to CoCs/Regions. Local units of government and non-profit organizations, including community and faith-based organizations, can submit Project Applications to CoCs/Regions. Upon doing so, CoCs/Regions recommend projects for funding in the NC Emergency Solutions Grants Request for Application. NC ESG staff then review and score Regional and Project Applications for minimum threshold criteria.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The NC ESG Program requires each sub-recipient to identify a homeless or formerly homeless person that is a member of the agency Board of Directors. Agencies may request a waiver of homeless participation and identify a written process whereas homeless or formerly homeless individuals are consulted in *considering policies and funding decisions*.

5. Describe performance standards for evaluating ESG.

The NC ESG Program uses performance measures rubrics as a tool to determine the success of a project and how well services and products are being delivered. Together they enable the NC ESG Program gauge efficiency, determine progress toward desired results and accesses if sub recipients are meeting goals. Sub recipients must adhere to:

- Entering all appropriate client data completely and accurately into the statewide HMIS.
- Assure that 32% of statewide exists from shelters are to permanent housing
- Assure 81% of rapid re-housing persons are placed in permanent housing.

**Housing Trust Fund (HTF)**

**Reference 24 CFR 91.320(k)(5)**

1. How will the grantee distribute its HTF funds? Select all that apply:

Applications submitted by eligible recipients

2. If distributing HTF funds through grants to subgrantees, describe the method for distributing HTF funds through grants to subgrantees and how those funds will be made available to state agencies and/or units of general local government. If not distributing funds through grants to subgrantees, enter "N/A".

N/A

3. If distributing HTF funds by selecting applications submitted by eligible recipients,

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The State will distribute its HTF funds to eligible applicants through NCHFA's Rental Production Program (RPP), which provides long-term financing for Low Income Housing Tax Credit projects. The State will not distribute any HTF funds through subgrantees. The State will require that all recipient applications contain a description of the eligible activities to be conducted with HTF funds and that each eligible recipient certify that housing assisted with HTF funds will comply with HTF requirements.

b. Describe the grantee's application requirements for eligible recipients to apply for HTF funds. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

NCHFA will use the application process and eligibility requirements described in North Carolina's Qualified Allocation Plan (QAP) in awarding HTF funds (available online at [www.nchfa.com/rental-housing-partners/rental-developers/qualified-allocation-plan/2018-qualified-allocation-plan-qap](http://www.nchfa.com/rental-housing-partners/rental-developers/qualified-allocation-plan/2018-qualified-allocation-plan-qap)). The QAP details applicant eligibility, eligible activities, what applications must include, when and where applications are to be submitted, the criteria by which applications will be evaluated, who will review applications, and when awards are to be made.

We will award HTF funds based on the selection criteria described in Section IV of the QAP.

c. Describe the selection criteria that the grantee will use to select applications submitted by eligible recipients. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The selection criteria are designed to identify the best applications based on site, market, rent affordability, development costs, project team, design and construction, energy efficiency, efficient use of tax credits, units for mobility-impaired individuals and ability to achieve community integration pursuant to North Carolina's 2012 *Olmstead* settlement agreement with the U.S. Department of Justice. Appendix J of the QAP outlines the additional criteria for those tax credit applications requesting HTF funds (available online at [www.nchfa.com/sites/default/files/page\\_attachments/18-QAPFinalAppendixJ.pdf](http://www.nchfa.com/sites/default/files/page_attachments/18-QAPFinalAppendixJ.pdf)).

d. Describe the grantee's required priority for funding based on geographic diversity (as defined by the grantee in the consolidated plan). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

HTF will be made available to eligible applicants in high-income counties in North Carolina as defined in the QAP. Doing so will allow the units to be made affordable to people earning 30 percent or less of AMI. Many of North Carolina's high-income counties are also counties that have the highest demand for people transitioning out of adult care homes to achieve community integration pursuant to North Carolina's 2012 *Olmstead* settlement agreement with the U.S. Department of Justice.

e. Describe the grantee's required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

Grantees (i.e., the State) must "commit" their HTF within two years, and expend within five years. All tax

credit projects have a strict window for completion and must be placed in service by December 31 two years after the award of credits. For example, applications awarded tax credits in 2016 must be placed in service no later than December 31, 2018. There is also a requirement for projects to expend ten percent of the costs within 12 months of allocation. Using HTF with tax credits ensures that all projects will adhere to the requirement to obligate the funds and undertake eligible activities within 12 months. Appendix J of the QAP further states the ability to obligate funds and undertake activities in a timely manner will be a threshold requirement for HTF approval. NCHFA will request a timeline as part of the application for projects requesting HTF funds to verify the applicant's ability to meet all deadlines.

f. Describe the grantee's required priority for funding based on the extent to which the rental project has Federal, State, or local project-based rental assistance so that rents are affordable to extremely low-income families. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

Housing affordable to extremely low-income families is defined as families not paying more than 30 percent of their household income for housing costs, including utilities. Project-based rental assistance includes, but is not limited to, unit-based rental assistance, operating subsidies and cross-subsidization of rents within the project. All tax credit applicants must set-aside a minimum of ten percent of the total units for persons with disabilities and all of these units (referred to as "Targeted Units") are eligible for state-funded project-based rental assistance known as Key Rental Assistance. Therefore, all projects eligible for HTF will be eligible for project-based rental assistance. Applicants who have other types of project-based rental assistance also provide the source and number of units receiving project-based rental assistance as part of their applications. Project-based rental assistance is stated as a priority in QAP Appendix J. In the event of a tie in QAP scoring among projects seeking HTF funding, the percentage of units receiving project-based rental assistance will be used as the first tie breaker.

g. Describe the grantee's required priority for funding based on the financial feasibility of the project beyond the required 30-year period. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

Minimum affordability period is 30 years. A statement is included in QAP Appendix J to indicate that it is a threshold requirement for projects requesting HTF to have a minimum 30-year affordability period.

h. Describe the grantee's required priority for funding based on the merits of the application in meeting the priority housing needs of the grantee (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable development features, or housing that serves special needs populations). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The QAP indicates what the State has determined to be the priority housing need(s) it intends to address based on the outcome of its HTF citizen participation process and priority needs indicated in its current

Con Plan. The State's Con Plan defines households earning 30 percent or less of the AMI to be a high-priority population. The QAP requires that at least 25 percent of the qualified low-income units in a proposed tax credit project be affordable to and occupied by households with incomes at or below 30 percent of AMI in order to be eligible to receive HTF funds.

i. Describe the grantee's required priority for funding based on the extent to which the application makes use of non-federal funding sources. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

All tax credit projects require leveraging of sources, public and private, to fully fund the cost of each housing development. The QAP does not provide points for leveraging, but it does award points for the efficient use of tax credits in section IV(F)(2) and provides state funding through the state Housing Trust Fund and the Workforce Housing Loan Program to encourage leveraging. In the event of tie scores, the third tiebreaker is the project requesting the least amount of credits per unit (see QAP section IV(F)(7)(c)). Appendix J further states priority will be given to projects based on leveraging of non-federal funds. In the event there is a tie in QAP scoring among projects seeking HTF funding, the project with the lowest percentage of federal funding to total sources will be used as the second tie breaker.

4. Does the grantee's application require the applicant to include a description of the eligible activities to be conducted with HTF funds? If not distributing funds by selecting applications submitted by eligible recipients, select "N/A".

Yes

5. Does the grantee's application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements? If not distributing funds by selecting applications submitted by eligible recipients, select "N/A".

Yes

**6. Performance Goals and Benchmarks.** The grantee has met the requirement to provide for performance goals and benchmarks against which the grantee will measure its progress, consistent with the grantee's goals established under 24 CFR 91.315(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.

Yes

## **7. Maximum Per-unit Development Subsidy Amount for Housing Assisted with HTF Funds.**

Enter or attach the grantee's maximum per-unit development subsidy limits for housing assisted with HTF funds.

The limits must be adjusted for the number of bedrooms and the geographic location of the project. The limits must also be reasonable and based on actual costs of developing non-luxury housing in the area.

If the grantee will use existing limits developed for other federal programs such as the Low Income Housing Tax Credit (LIHTC) per unit cost limits, HOME's maximum per-unit subsidy amounts, and/or Public Housing Development Cost Limits (TDCs), it must include a description of how the HTF maximum per-unit development subsidy limits were established or a description of how existing limits developed for another program and being adopted for HTF meet the HTF requirements specified above.

The State will use HOME maximum per unit development subsidy limits.

The HOME maximum per-unit subsidy limits were chosen for consistency as we also use HOME funds with tax credits. Given that NHTF rules closely follow other established rules in the HOME program, it is appropriate to rely upon this limit. Currently, there is only one published limit for the entire state. Based on our experience with rental housing development, there are no significant differences in costs that would require any geographic adjustment from the statewide limit. Below are the current subsidy limits (available online at [www.hudexchange.info/resource/2315/home-per-unit-subsidy/](http://www.hudexchange.info/resource/2315/home-per-unit-subsidy/)).

**8. Rehabilitation Standards.** The grantee must establish rehabilitation standards for all HTF-assisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project completion. The grantee's description of its standards must be in sufficient detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable codes or they may establish requirements that exceed the minimum requirements of the codes. The grantee must attach its rehabilitation standards below.

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; and Capital Needs Assessments (if applicable).

The State will not use HTF for rehabilitation of housing.



**9. Resale or Recapture Guidelines.** Below, the grantee must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

**10. HTF Affordable Homeownership Limits.** If the grantee intends to use HTF funds for homebuyer assistance and does not use the HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the grantee must not limit or give preferences to students. The grantee may permit rental housing owners to limit tenants or give a preference in accordance with § 93.303(d)(3) only if such limitation or preference is described in the action plan.

N/A

**12. Refinancing of Existing Debt.** Enter or attach the grantee's refinancing guidelines below. The guidelines describe the conditions under which the grantee will refinance existing debt. The grantee's refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the grantee will not refinance existing debt, enter "N/A."

N/A

#### **Discussion:**

**Comments/Verification:** The NC HOPWA Program is integrated with the Ryan White Part B and HIV Prevention Program. Every three years Regional Networks of Care apply to our integrated (HIV Prevention and Care) Request for Application (RFA) to describe their plans for HIV Prevention, HIV Care and Support Services and Housing via CDC Prevention, HRSA Ryan White Part B and HOPWA funds. We ensure that the RFA announcements reach far and wide via the Internet and other sources in North

Carolina and that grassroots agencies, public housing agencies, faith-based, non-profit, and a myriad of organizations have access to HOPWA funding.

- Ninety-seven percent of the State of NC DHHS HOPWA funds are awarded to Project Sponsors of which the maximum cap for Project Sponsor Administration is seven (7%) and three (3%) percent is set aside for Grantee Administration. HOPWA Project Sponsors may only use HOPWA funding for eligible HOPWA activities as approved in the Consolidated Plan and Annual Action Plan. Sound Fiscal and Programmatic oversight must be implored by the Grantee and HOPWA Project Sponsors and monitoring sight visits are conducted to ensure HOPWA funding is utilized for allowable activities only. Citizen Participation in our Consolidated Planning Process/Annual Action Plan and CAPER is encouraged and required. HOPWA Grantee staff and Project Sponsor staff are required to take the HOPWA Oversight Training, Getting to Work and Fair Housing Training. The duty to Affirmatively Further Fair Housing is required and monitored to ensure that meaningful action is taken by the Grantee and HOPWA Project Sponsor staff to eliminate segregated living patterns in areas of low opportunity via ensuring our eligible clients have the freedom to choose housing that meets the HOPWA Housing Habitability Standards and Fair Market Rent standards. Client eligibility (medical status and income limits are assessed and monitored annually via the recertification process. In addition, household size is assessed during the same time. Ensuring eligible HOPWA clients have access to care, support and prevention services through the provision of case management and/or access to case management is required of our HOPWA Project Sponsors and assessed via monitoring site visits. The assurance of available housing under favorable conditions within 2 weeks is the goal of our HOPWA program. The HOPWA Grantee and our Project Sponsors are required to follow the Omni-Circular 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit for Federal Awards.

## **Attachments**

**Grantee SF-424's and Certification(s)**

### Specific CDBG Certifications

The State certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is or will be following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

**Consultation with Local Governments** -- It has or will comply with the following:

1. It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
2. It engages in or will engage in planning for community development activities;
3. It provides or will provide technical assistance to units of local government in connection with community development programs; and
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

**Local Needs Identification** -- It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objectives of Title I of the Housing and Community Development Act of 1974, as amended. (See 24 CFR 570.2 and 24 CFR part 570)

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year 2017, shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. **Special Assessments** The state will require units of general local government that receive CDBG funds to certify to the following:

It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.


It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Compliance with Laws** -- It will comply with applicable laws.

  
George Sherrill


Date

7/20/17

Title \_\_\_\_\_  
Chief of State

Application for Federal Assistance SF-424		
<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		<b>* 2. Type of Application:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision
<b>* If Revision, select appropriate item(s):</b> <input type="text"/> <b>* Other (Specify):</b> <input type="text"/>		
<b>* 3. Date Received:</b> <input type="text"/>		<b>4. Applicant Identification:</b> <input type="text"/>
<b>5a. Federal Entity Identifier:</b> <input type="text"/>		<b>5b. Federal Award Identifier:</b> <input type="text"/>
<b>State Use Only:</b>		
<b>6. Date Received by State:</b> <input type="text"/>		<b>7. State Application Identifier:</b> <input type="text"/>
<b>8. APPLICANT INFORMATION:</b>		
<b>* a. Legal Name:</b> North Carolina Department of Commerce		
<b>* b. Employer/Issuer Identification Number (EIN/TIN):</b> 58-1611847		<b>* c. Organizational DUNS:</b> 8381752613003
<b>d. Address:</b>		
<b>* Street1:</b> 301 N. Wilmington Street		
<b>* Street2:</b>		
<b>* City:</b> Raleigh		
<b>* County/Parish:</b>		
<b>* State:</b> NC North Carolina		
<b>* Province:</b>		
<b>* Country:</b> USA: UNITED STATES		
<b>* Zip/Postal Code:</b> 27699-4346		
<b>e. Organizational Unit:</b>		
<b>Department Name:</b> NC Department of Commerce		<b>Division Name:</b> Rural Economic Development Div
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
<b>Prefix:</b> Mr.		<b>* First Name:</b> Iris
<b>Middle Name:</b>		
<b>* Last Name:</b> Payne		
<b>Suffix:</b>		
<b>Title:</b> COBE Director		
<b>Organizational Affiliation:</b> NC Department of Commerce		
<b>* Telephone Number:</b> 919-814-4683		<b>Fax Number:</b> 919-415-0569
<b>* Email:</b> l.payne@nccommerce.gov		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="A: State Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="US Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.229"/>	
<b>CFDA Title:</b> <input type="text" value="State of North Carolina CDBG Program"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text"/>	
<b>* Title:</b> <input type="text"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <div> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="State CDBG Program"/>	
Attach supporting documents as specified in agency instructions. <div> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: NC	* b. Program/Project: NC
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: <input type="text"/>	* b. End Date: <input type="text"/>
18. Estimated Funding (\$):	
* a. Federal	43,391,053.00
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	43,391,053.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: Mr.	* First Name: George
Middle Name: <input type="text"/>	
* Last Name: Sherrill	
Suffix: <input type="text"/>	
* Title: Chief of Staff	
* Telephone Number: 919-814-4657	* Fax Number: 919-815-0569
* Email: gsherrill@ncconncorp.com	
* Signature of Authorized Representative: 	* Date Signed: 11/15/17



## STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

**Affirmatively Further Fair Housing** -- The State will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(h) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(h), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying --** To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of State --** The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the program under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan --** The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite of making or entering into this transaction imposed by section 1352, title 31, U. S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violated the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate 1 applies. (This is the information to which jurisdictions certify).
4. For grantees who are individuals, Alternate 2 applies. (Not applicable jurisdictions.)
5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

4313 Mail Service Center  
Raleigh, North Carolina 27699-4313

3508 Bush Street  
Raleigh, North Carolina 27609

1902 Mail Service Center  
Raleigh, North Carolina 27699

2013 Mail Service Center  
Raleigh, North Carolina 27699

4318 Mail Service Center  
Raleigh, North Carolina 27699

Check \_\_\_\_\_ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

9. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).




Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

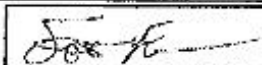
George Sherrill  
George Sherrill

Date 11/15/17

Title \_\_\_\_\_  
Chief of Staff

Application for Federal Assistance SF-424			
<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		<b>* 2. Type of Application:</b> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	
		<b>* 3. Revision, select appropriate date(s):</b> <input type="text"/> <b>* 4. Other (Specify):</b> <input type="text"/>	
<b>* 5. Date Received:</b> <input type="text"/>		<b>4. Applicant Identifier:</b> <input type="text"/>	
<b>5a. Federal Entity Identifier:</b> H17-96-17-0100		<b>5b. Federal Award Identifier:</b> 0217-96-17-0100	
<b>State Use Only:</b>			
<b>6. Date Received by State:</b> <input type="text"/>		<b>7. State Application Identifier:</b> <input type="text"/>	
<b>8. APPLICANT INFORMATION:</b>			
<b>* a. Legal Name:</b> North Carolina Housing Finance Agency (State of North Carolina)			
<b>* b. Employer/ taxpayer Identification Number (EIN/TIN):</b> 06-1700136		<b>* c. Organizational DUNS:</b> 007849560	
<b>d. Address:</b>			
<b>* Street1:</b> 3508 Bush St.			
<b>* Street2:</b>			
<b>* City:</b> Raleigh			
<b>* County/Parish:</b>			
<b>* State:</b> NC: North Carolina			
<b>* Province:</b>			
<b>* Country:</b> USA: UNITED STATES			
<b>* Zip/Postal Code:</b> 27609			
<b>e. Organizational Unit:</b>			
<b>Department Name:</b>		<b>Division Name:</b>	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>			
<b>* Prefix:</b> Ms.		<b>* First Name:</b> Patricia	
<b>* Middle Name:</b>			
<b>* Last Name:</b> Howard			
<b>* Suffix:</b>			
<b>* Title:</b> Director, Policy, Planning and Technology			
<b>Organizational Affiliation:</b>			
<b>* Telephone Number:</b> 919-877-2512		<b>* Fax Number:</b>	
<b>* Email:</b> plames@nchfa.com			

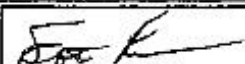
<b>Application for Federal Assistance SF-424</b>		
* 9. Type of Applicant 1: Select Applicant Type:		
1. State Government		
Type of Applicant 2: Select Applicant Type:		
		
Type of Applicant 3: Select Applicant Type:		
		
* Other (Specify):		
* 10. Name of Federal Agency:		
U.S. Department of HUD		
11. Catalog of Federal Domestic Assistance Number:		
CFDA Title:		
* 12. Funding Opportunity Number:		
* Title:		
13. Competition Identification Number:		
Title:		
14. Areas Affected by Project (Cities, Counties, States, etc.):		
		<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
* 15. Descriptive Title of Applicant's Project:		
State administered HOME Program		
Attach supporting documents as specified in agency instructions.		
<input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>		

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="511"/>	* b. Program/Project: <input type="text" value="RCHE"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: <input type="text" value="1/1/17"/>	* b. End Date: <input type="text" value="12/31/17"/>
18. Estimated Funding (\$):	
* a. Federal:	<input type="text" value="12,430,457"/>
* b. Applicant:	<input type="text" value="0.00"/>
* c. State:	<input type="text" value="0.00"/>
* d. Local:	<input type="text" value="0.00"/>
* e. Other:	<input type="text" value="0.00"/>
* f. Program Income:	<input type="text" value="0,000,000"/>
* g. TOTAL:	<input type="text" value="20,430,457"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value=""/>	* First Name: <input type="text" value="Scott"/>
Middle Name: <input type="text" value=""/>	
* Last Name: <input type="text" value="Fussler"/>	
Suffix: <input type="text" value=""/>	
* Title: <input type="text" value="Executive Director"/>	
* Telephone Number: <input type="text" value="919-877-5641"/> Fax Number: <input type="text" value=""/>	
* Email: <input type="text" value="bs.fussler@nccha.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="7/10/17"/>



Application for Federal Assistance SF-424		
<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Change/Corrected Application		<b>* 2. Type of Application:</b> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision
		<b>* If Revision, enter appropriate letter (a)</b> <input type="text"/> <b>* Other (Specify):</b> <input type="text"/>
<b>* 3. Date Received:</b> <input type="text"/>		<b>4. Applicant Identifier:</b> <input type="text"/>
<b>5a. Federal Entity Identifier:</b> <input type="text"/>		<b>5b. Federal Award Identifier:</b> <input type="text"/>
<b>State Use Only:</b>		
<b>6. Date Received by State:</b> <input type="text"/>		<b>7. State Application Identifier:</b> <input type="text"/>
<b>8. APPLICANT INFORMATION:</b>		
<b>* a. Legal Name:</b> North Carolina Housing Finance Agency		
<b>* b. Employer/Taxpayer Identification Number (EIN/TIN):</b> <input type="text"/>		<b>* c. Organizational OUNS:</b> <input type="text"/>
<b>d. Address:</b>		
<b>* Street1:</b> 2508 Bush Street		
<b>Street2:</b> PO Box 28065		
<b>* City:</b> Raleigh		
<b>County/Parish:</b> Wake		
<b>* State:</b> NC: North Carolina		
<b>Province:</b>		
<b>* Country:</b> USA: UNITED STATES		
<b>* Zip / Postal Code:</b> 27611-8066		
<b>e. Organizational Unit:</b>		
<b>Department Name:</b> <input type="text"/>		<b>Division Name:</b> <input type="text"/>
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
<b>Prefix:</b> <input type="text"/> <b>* First Name:</b> Patricia		
<b>Middle Name:</b> <input type="text"/>		
<b>* Last Name:</b> Burnd		
<b>Suffix:</b> <input type="text"/>		
<b>Title:</b> Director of Policy/Planning/Technology		
<b>Organizational Affiliation:</b>		
<b>State of NC (NCHFA):</b>		
<b>* Telephone Number:</b> 919-877-5717		<b>Fax Number:</b> 919-877-5656
<b>* Email:</b> plan@nchfa.com		

<b>Application for Federal Assistance SF-424</b>			
* 9. Type of Applicant 1: Select Applicant Type:			
<input type="text" value="A: State Government"/>			
Type of Applicant 2: Select Applicant Type:			
<input type="text"/>			
Type of Applicant 3: Select Applicant Type:			
<input type="text"/>			
* Other (specify):			
<input type="text"/>			
* 10. Name of Federal Agency:			
<input type="text" value="US Department of Housing and Urban Development"/>			
* 11. Catalog of Federal Domestic Assistance Number:			
<input type="text" value="16.275"/>			
CFDA Title:			
<input type="text" value="Housing Trust Fund"/>			
* 12. Funding Opportunity Number:			
<input type="text"/>			
* Title:			
<input type="text"/>			
13. Competition Identification Number:			
<input type="text"/>			
Title			
<input type="text"/>			
14. Areas Affected by Project (Cities, Counties, States, etc.):			
<input type="text"/>			
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>			
* 15. Descriptive Title of Applicant's Project:			
<input type="text" value="The Housing Trust Fund funding will be used to increase the number of rental units developed that are affordable to households earning 30% or less of the AMI as well as households with special needs"/>			
Attach supporting documents as specified in agency instructions.			
<input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>			

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="HLL"/>	* b. Program/Project: <input type="text"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="01/01/2017"/>	* b. End Date: <input type="text" value="12/31/2018"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="4,433,361.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="4,433,361.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Mr"/>	* First Name: <input type="text" value="Scott"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="WILSON"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Executive Director"/>	
* Telephone Number: <input type="text" value="919-877-5641"/>	Fax Number: <input type="text" value="919-877-5701"/>
* Email: <input type="text" value="scott.wilson@ncchfa.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="7/10/17"/>

### Specific HOME Certifications

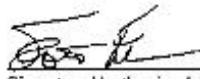
The HOME participating jurisdiction certifies that:

**Tenant-Based Rental Assistance** – If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** – it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** – before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

  
Signature/Authorized Official

7/17/17  
Date

Executive Director  
Title

# Certification of Consistency with the Consolidated Plan

U.S. Department of Housing  
and Urban Development

I certify that the proposed activities/projects in the application are consistent with the jurisdiction's current, approved Consolidated Plan.  
(Type or clearly print the following information:)

Applicant Name: NORTH CAROLINA HOUSING FINANCE AGENCY

Project Name: N/A

Location of the Project: N/A

Name of the Federal  
Program to which the  
applicant is applying: HOUSING TRUST FUND

Name of  
Certifying Jurisdiction: NORTH CAROLINA

Certifying Official  
of the Jurisdiction  
Name: SCOTT FARMER

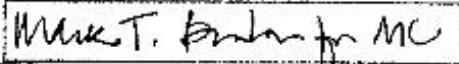
Title: EXECUTIVE DIRECTOR

Signature: 

Date: 7/17/17

Application for Federal Assistance SF-424		
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
*2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* If Revision, select appropriate table(s): <input type="text"/> * Other (Specify): <input type="text"/>		
*3. Date Received:		*4. Applicant Identifier:
<input type="text"/>		<input type="text"/>
*5a. Federal Entity Identifier:		*5b. Federal Award Identifier:
<input type="text"/>		<input type="text"/>
State Use Only:		
*6. Date Received by State:		*7. State Application Identifier:
<input type="text"/>		<input type="text"/>
8. APPLICANT INFORMATION:		
*a. Legal Name: North Carolina DHS, Division of Aging and Adult Services		
*b. Employer/Taxpayer Identification Number (EIN/TIN):		*c. Organizational DUNS:
50-1035412		0007023630000
*d. Address:		
* Street: 2111 Mail Service Center		
Street2: <input type="text"/>		
* City: Raleigh		
County/Parish: <input type="text"/>		
* State: NC: North Carolina		
Province: <input type="text"/>		
* County: 000: UNITED STATES		
* Zip / Postal Code: 27639-2101		
*e. Organizational Unit:		
Department Name:		Division Name:
DCA: DHS		Aging and Adult Services
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Mr.		* First Name: Kimberly
Middle Name: <input type="text"/>		
* Last Name: Crawford		
Suffix: <input type="text"/>		
Title: Nonstop Program Coordinator		
Organizational Affiliation: DHS: Division of Aging and Adult Services		
* Telephone Number: 919-455-4941		* Fax Number: 919-733-5593
* Email: kim.crawford@dhs.nc.gov		

Application for Federal Assistance SF-424		
* 5. Type of Applicant: Select Applicant Type: A: State Government Type of Applicant 2: Select Applicant Type: Type of Applicant 3: Select Applicant Type: * Other (specify):		
* 10. Name of Federal Agency: US Department of Housing and Urban Development		
11. Copying of Federal Domestic Assistance Number: 14.231 CFDA Title: Emergency Solutions Grant		
* 12. Funding Opportunity Number: Title:		
13. Competition Identification Number: Title:		
14. Areas Affected by Project (Cities, Counties, States, etc.): CY 2017 R.C. grantee: Alex <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>		
* 15. Descriptive Title of Applicant's Project: The State Administered Emergency Solutions Grant assist programs throughout North Carolina providing funds for emergency shelter, rapid re-housing, homeless prevention, case management and more.		
Attach supporting documents as specified in agency instructions: <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>		

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="MC-204"/>	* b. Program/Project: <input type="text" value="A12"/>
Attachment #1: List of Program/Project Congressional Districts (if relevant)	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="01/01/2018"/>	* b. End Date: <input type="text" value="12/31/2018"/>
18. Estimated Funding (\$):	
* a. Federal:	<input type="text" value="4,500,463.00"/>
* b. Applicant:	<input type="text"/>
* c. State:	<input type="text"/>
* d. Local:	<input type="text"/>
* e. Other:	<input type="text"/>
* f. Program Income:	<input type="text"/>
* g. TOTAL:	<input type="text" value="4,500,463.00"/>
19. Is Application Subject to Review By State Under Executive Order 12872 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12872 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12872 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12872	
20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" provide explanation and attach: <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fraudulent, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 5051) <input checked="" type="checkbox"/> I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specification section.	
Authorized Representative:	
Prefix: <input type="text" value="Dr."/>	* First Name: <input type="text" value="Mandy"/>
Middle Name: <input type="text" value="S."/>	
* Last Name: <input type="text" value="Cohen"/>	
Suffix: <input type="text" value="P.D."/>	
* Title: <input type="text" value="Secretary, RC DGBH"/>	
* Telephone Number: <input type="text" value="919 455 3400"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="Mandy.Cohen@dhs.doe.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="7/6/2017"/>



**STATE GRANTEE**  
**EMERGENCY SOLUTIONS GRANTS PROGRAM**  
**FY 2018 CERTIFICATIONS**

I, Dr. Mandy K. Cohen, Secretary, NC Department of Health and Human Services authorized to act on behalf of the state of North Carolina, certify that the state will ensure compliance by units of general local government and nonprofit organizations to which it distributes funds under the Emergency Solutions Grants Program with:

- (1) The requirements of 24 CFR 576.25(b)(2) concerning the submission by nonprofit organizations applying for funding of a certification of approval of the proposed project(s) from the unit of local government in which the proposed project is located.
- (2) The requirements of 24 CFR 576.53 concerning the continued use of buildings for which Emergency Solutions Grant funds are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services, concerning the population to be served.
- (3) The building standards requirement of 24 CFR 576.55.
- (4) The requirements of 24 CFR 576.56, concerning assurances on services and other assistance to the homeless.
- (5) The requirements of 24 CFR 576.57, other appropriate provisions of 24 CFR Part 576, and other applicable Federal law concerning nondiscrimination and equal opportunity.
- (6) The requirements of 24 CFR 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
- (7) The requirements of 24 CFR 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
- (8) The requirements of 24 CFR 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the Emergency Solutions Grants Program and that the address or location of any family violence shelter project assisted with ESG funds will not be made public, except with written authorization of the person or persons responsible for the operation of the shelter.

- (9) The requirement of that recipients involve, to the maximum extent practicable, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 CFR 576.56(h)(2).
- (10) The requirements of 24 CFR 576.21(a)(4) which provide that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services meet the following standards: (A) that the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) that the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) that there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) that the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
- (11) The new requirement of the McKinney-Vento Act, 42 USC 11301, to develop and implement to the maximum extent practicable, and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that State and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.
- (12) The Drug Free Workplace requirements of 24 CFR Part 24 concerning the Drug Free Workplace Act of 1988.
- (13) The state will comply with the provisions of, and regulations and procedures applicable under 24 CFR 576.57(e) with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 CFR Part 58 as applicable to activities of nonprofit organizations funded directly by the state. The state also agrees to assume the Department's responsibility and authority as set forth in 24 CFR 576.57(e) for acting on the environmental certifications and requests for the release of funds submitted to the state by local government recipients.
- (14) The state's requirement to provide matching funds required by 24 CFR 576.51 and 42 USC 11375, including a description of the sources and amounts of such supplemental funds, as provided by the State, units of general local government or nonprofit organizations.

- (15) HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a complete and approved Consolidated Plan with its relevant certifications, which is treated as the application for an Emergency Solutions Grants, is Authorized under state law, and that the state possesses legal authority to fund the carrying out of grant activities by units of general local government and nonprofit organizations in accordance with applicable laws and regulations of the Department of Housing and Urban Development.

By:

  
Signature and Date

Dr. Mandy K. Cohen  
Typed Name of Signatory

Secretary, NC Department of Health and Human Services  
Title

Application for Federal Assistance SF-424		
<div> <div> 1. Type of Submission  <input type="checkbox"/> Preapplication  <input checked="" type="checkbox"/> Application  <input type="checkbox"/> Change/Corrected Application </div> <div> 2. Type of Application  <input type="checkbox"/> New  <input checked="" type="checkbox"/> Continuation  <input type="checkbox"/> Renewal </div> <div> 3. Revision, select appropriate letter(s)  <input type="checkbox"/> None  <input type="checkbox"/> Other (Specify)  <input type="checkbox"/> </div> </div>		
3. Date Received		4. Applicant Identifier
5a. Federal Entity Identifier		5b. Federal Award Identifier
		NC0117 F339
State Use Only:		
6. Date Received by State		7. State Application Identifier
8. APPLICANT INFORMATION:		
a. Legal Name: NC Department of Health and Human Services		
b. Employer/Proposer Identification Number (EIN/ID):		c. Organizational OMB
51010302402		000765033
d. Address:		
<div> <div>Street1</div> <div>Street2</div> <div>City</div> <div>County</div> <div>State</div> <div>Province</div> <div>Country</div> <div>Zip / Postal Code</div> </div> <div> <div>2701 Mer Service Center</div> <div></div> <div>Raleigh</div> <div></div> <div>North Carolina</div> <div></div> <div>USA</div> <div>27603-2001</div> </div>		
e. Organizational Unit:		
Department Name		Division Name
NC Department of Health and Human Services		Division of Public Health
f. Name and contact information of person to be contacted on matters involving this application:		
<div> <div>Prefix</div> <div>First Name</div> <div>Last Name</div> <div>Suffix</div> </div> <div> <div>Mr</div> <div>Pat</div> <div>Ward</div> <div></div> </div>		
Title: NC DHHS Chief of Police		
Organizational Address:		
NC Division of Public Health		
Telephone Number		Fax Number
(919) 707-2075		
Email: pat.ward@dhhs.nc.gov		

Application for Federal Assistance SF-424	
9. Type of Applicant: Select Applicant Type:	
A. State	
Type of Applicant 2: Select Applicant Type	
Type of Applicant 3: Select Applicant Type	
* Other (specify):	
* 10. Name of Federal Agency:	
HUD	
11. Catalog of Federal Domestic Assistance Number:	
10.4 2.5.1	
CFDA Title	
State Administered Small Cities	
* 12. Funding Opportunity Number:	
* Title	
13. Competition Identification Number:	
Title:	
14. Areas Affected by Project (Cities, Counties, States, etc.):	
State of North Carolina (except on/through cities)	
* 15. Descriptive Title of Applicant's Project:	
State Administered NOPWA Program	
Attach supporting documents as specified in agency instructions	

Application for Federal Assistance SF-424	
16. Congressional District Of:	
* a. Applicant <u>6h</u>	* b. Program/Project <u>45</u>
Attaches additional list of Program/Project Congressional Districts if needed	
17. Proposed Project:	
* a. Start Date <u>05/01/2017</u>	* b. End Date <u>05/31/2018</u>
18. Estimated Funding (\$):	
* a. Federal	<u>2,504,422.00</u>
* b. Applicant	<u>0.00</u>
* c. State	<u>0.00</u>
* d. Local	<u>0.00</u>
* e. Other	<u>0.00</u>
* f. Program Income	<u>0.00</u>
* g. TOTAL	<u>2,504,422.00</u>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <u>        </u> . <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No    If "Yes", provide explanation and attach	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an alternate list where you may obtain the list is contained in the procurement or agency specific instructions	
Authorized Representative:	
Prefix <u>        </u>	* First Name <u>Mindy</u>
Middle Name <u>        </u>	
* Last Name <u>Oshon</u>	
Suffix <u>MD, MPH</u>	
* Title <u>Secretary, NC DHHS</u>	
* Telephone Number <u>(919) 855-4400</u>	Fax Number <u>        </u>
* Email <u>loyd.jones@dhhs.nc.gov</u>	
* Signature of Authorized Representative <u>[Signature]</u>	* Date Signed <u>6/23/17</u>



Housing Opportunities for Persons with AIDS (HOPWA) Certifications

The State of North Carolina's HOPWA grantee certifies that:

**Activities** – Activities funded under the HOPWA program will meet urgent housing and supportive services needs that are not being met by available public and private sources.

**Building** – Any building or structure assisted with HOPWA program funds shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance;
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

**Affirmatively Furthering Fair Housing** – The NC HOPWA Grantee and its Sub-recipients shall take meaningful actions, in addition to combating discrimination, that overcome patterns of segregation and foster inclusive communities free from barriers that restrict access to opportunity based on protected characteristics. Specifically, affirmatively furthering fair housing means to take meaningful actions that, taken together, address significant disparities in housing needs and in access to opportunity, replacing segregated living patterns with truly integrated and balanced living patterns, transforming racially and ethnically concentrated areas of poverty into areas of opportunity, and fostering and maintaining compliance with civil rights and fair housing laws. The duty to affirmatively further fair housing extends to all of a program participant's activities and programs relating to housing and urban development.

By:

Mark T. Brink for MC

Mandy Cohen, Secretary  
NC Department of Health and Human Services

10/24/17

Date